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Xia Tang Harry E. Eaton
Tania Bhatia John G. Smeggil
David C. Jarmon
Wayde R. Schmidt

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

11/17/2006, 11/17/2006, 11/17/2006, 11/17/2006

Execution Date(s) 11/18/2006, 11/20/2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: United Technologies Corporation

Internal Address: _____

Street Address: United Technologies Building

City: Hartford

State: Connecticut

Country: US Zip: 06101

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/603,622

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Ross J. Christie

Internal Address: Bachman & LaPointe, P.C.

Street Address: 900 Chapel Street, Suite 1201

City: New Haven

State: Connecticut Zip: 06510

Phone Number: 203-777-6628

Fax Number: 203-865-0297

Email Address: christier@bachlap.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
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☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 21-0279

Authorized User Name Ross J. Christie

9. Signature:

Signature

January 8, 2007

Date

Ross J. Christie

Name of Person Signing

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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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PATENT

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Authorized User Name Ross J. Christie

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Date

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Nov-18-06 12:41pm From-Shaw Inc

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T-675 P.006/007 F-464

ASSIGNMENT

WHEREAS, WE, Xia Tang of 119 Montclair Drive, West Hartford, CT 06107, Tania Bhatia of 53 West Wynd Terrace, Middletown, CT 06457, David C. Jarmon of 44 Blue Ridge Road, Kensington, CT 06037, Wayde R. Schmidt of 7 Amberg Drive, Pomfret Center, CT 06259, Harry E. Eaton of 391 Perrin Road, Woodstock, Connecticut 06281, and John G. Smeggil of 12 Tollgate Lane, Simsbury, CT 06060, have invented certain improvements in a OXIDATION RESISTANT COATINGS, PROCESSES FOR COATING ARTICLES, AND THEIR COATED ARTICLES, identified as Attorney Docket No. 0000348-US(05-574), and described in a patent application executed by the undersigned on the dates set after the signatures hereto, being owners of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) each and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation,

continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set their hand and seal on the date after their signature.

(L.S.) Xia Tang
Xia Tang

Nov 17 2006
Date

(L.S.) Tania Bhatia
Tania Bhatia

Nov 17, 2006
Date

(L.S.) David G. Jarmen
David G. Jarmen

Nov 17, 2006
Date

(L.S.) Wayde R. Schmidt
Wayde R. Schmidt

NOV 17 2006
Date

(L.S.) Harry E. Eaton
Harry E. Eaton

Nov. 20, 2006
Date

(L.S.) John G. Smeggil
John G. Smeggil

Nov. 20, 2006
Date

Nov-18-06 12:41pm From:Shaw Inc

8609281586

T-675 P.007/007 F-464

continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have-hereunto set their hand and seal on the date after their signature.

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Date _____

(L.S.) Tania Bhatia

Date _____

(L.S.) David C. Jarmon

Date _____

(L.S.) Wayde R. Schmidt

Date _____

(L.S.) Harry E. Eaton

Date 11/18/2006

(L.S.) John G. Smeggil

Date _____