

01-17-2007

Docket No.: LEE.128

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08A REV03



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COVER SHEET

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Pai-Chou LIU

Yu-Hsin LEE

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: January 1, 2007

2. Name and address of receiving party(ies):

Name: Advanced Semiconductor Engineering, Inc.Address: 26 Chin 3rd Road,Nantze Export Processing ZoneCity: Kaohsiung State/Prov.: _____Country: Taiwan, R.O.C. ZIP: _____

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: January 1, 2007

Patent Application No.

Filing date

B. Patent No.(s)

NEW

January 10, 2007

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew J. Telesz, Jr.Registration No. 33,581Address: Volentine & Whitt, P.L.L.C.11951 Freedom Drive, Suite 1260City: RestonState/Prov.: VACountry: U.S.A.ZIP: 20190

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Andrew J. Telesz, Jr.

Name of Person Signing

Signature

January 1, 2007

Date

Total number of pages including cover sheet, attachments, and document:

3

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PATENT

REEL: 018791 FRAME: 0651

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01/18/2007 11:00:01

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

Insert
Name(s) of
Inventor(s)

1. Pai-Chou Liu 5. _____
2. Yu-Hsin Lee 6. _____
3. _____ 7. _____
4. _____ 8. _____

Insert
Name(s) of
Assignee(s) &

the undersigned hereby sell(s) and assign(s) to
Advanced Semiconductor Engineering, Inc.

Address(es)

of 26 Chin 3rd Road, Nantze Export Processing Zone Kaohsiung, Taiwan R.O.C.

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

Title of
Invention

SUBSTRATE STRUCTURE HAVING N-SMD BALL PADS

Date of
Signing of
Application

for which an application for patent in the United States of America has been executed by
the undersigned on 1 January 2007

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuing, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

Page 1 of 2

ASSIGNMENT

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Inventor(s)

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2. Yu-Hsin Lee
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4. _____
5. _____
6. _____
7. _____
8. _____

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The undersigned hereby grant(s) to Adam C. Volentine, Reg. No. 33,289 and William S. Francos, Reg. No. 38,456, and the firm of VOLENTINE FRANCOS, P.L.L.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	<u>1 January 2007</u>	Signature of Inventor	<u>* pai-chou Liu</u> Pai-Chou Liu
Date	<u>1 January 2007</u>	Signature of Inventor	<u>* Yu Hsin Lee</u> Yu-Hsin Lee
Date	_____	Signature of Inventor	_____
Date	_____	Signature of Inventor	_____
Date	_____	Signature of Inventor	_____
Date	_____	Signature of Inventor	_____
Date	_____	Signature of Inventor	_____
Date	_____	Signature of Inventor	_____

(Note regarding Witnessing: It is recommended that the execution by the Inventor(s) be witnessed by at least two witnesses who sign here. However, the current practice of the U.S. Patent and Trademark Office is to record an Assignment even it has not been witnessed.)

Witness _____

Witness _____

.....

Applicant Reference No. A01727/US9870-FMG Attorney Docket No. LEE. 128