

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Paul Lubock
Michael L. Jones

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12/6/2006, 12/7/2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: SenoRx, Inc.

Internal Address: _____

Street Address: 11 Columbia, Ste. A

City: Aliso Viejo

State: California

Country: USA Zip: 92656

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
11/593,952

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edward J. Lynch

Internal Address: _____

Street Address: Duane Morris LLP

One Market, Spear Tower, Suite 2000

City: San Francisco

State: CA Zip: 94105

Phone Number: 415 957-3067

Fax Number: 415 957-3001

Email Address: eilynch@duanemorris.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 04-1679

Authorized User Name Edward J. Lynch

9. Signature:

Edward J. Lynch, Reg. No. 24,422

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

This Assignment is made by, Assignors, Paul Lubock of Laguna Niguel, and Michael L. Jones of San Clemente, California, to SENORX, INC., a California Corporation, Assignee, of Aliso Viejo, California.

WHEREAS, Assignors have invented a new and useful TISSUE IRRADIATION WITH SHIELDING, for which an application for a United States Letters Patent filed on November 6, 2006 and assigned Serial No. 11/593,952.

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and,

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assignees will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assignees, to the interest assigned herein to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the assignment herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Assignors

December 6, 2006
Date


Paul Lubock

December 7, 2006
Date


Michael L. Jones

DuaneMorris

DUANE MORRIS LLP

ONE MARKET, SPEAR TOWER, SUITE 2000

SAN FRANCISCO, CA 94105-1104

PHONE: 415.957-3000

FAX: 415.957-3001

FACSIMILE TRANSMITTAL SHEET

TO: Mail Stop Assignment Recordation Services

FIRM/COMPANY: Director of the USPTO

FACSIMILE NUMBER: 571 273-0140

**CONFIRMATION
TELEPHONE:**

FROM: Ruth Der, Paralegal

DIRECT DIAL: 415.957-3031

DATE: January 22, 2007

USER NUMBER:

FILE NUMBER: Atty. Docket No. R0367-06302, US Serial No. 11/593,952

TOTAL # OF PAGES: 6
(INCLUDING COVERSHEET)

MESSAGE: Attached are *Recordation Form Cover Sheet* (form PTO-1595)
and signed *Assignment* (2 pages).

Please confirm receipt of this facsimile.

NOTE: Original will not follow

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If there is a problem with this transmission, please call us as soon as possible at 415.957-3000.

PATENT
REEL: 018794 FRAME: 0588

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of
Lubock et al.

Examiner: Not yet assigned
Group Art Unit: 3735

For: **TISSUE IRRADIATION WITH SHIELDING**

Serial No.: 11/593.952

TRANSMITTAL

Filed: November 6, 2006

Attv. Docket No.: R0367-06302

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile (571) 223-0140 addressed to Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1440, Alexandria, VA 22313-1450, on 1/22/2007, in San Francisco, CA.

Bv:

Mail Stop Assignment Recordation Services
Director of the USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

1. Transmitted herewith for recordation in the above-identified patent application are

X Form PTO-1595 - Recordation Form Cover Sheet;

X Signed Assignment Document (2 pages).

- ## 2. Calculation of Fees

X	Assignment recordation fee, \$40.00.
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- ### 3. Payment of Fees

X The Commissioner is authorized to charge the required fees and to credit any overpayment of fees set forth under 37 C.F.R. §1.16 or §1.17, to Deposit Account No 04-1679, referencing Att. Docket No. R0367-06302. **A duplicate copy of this transmittal is enclosed for this purpose.**

Respectfully Submitted,

By:

Edward J. Lynch
Registration No. 24,422
Attorney for Applicants

Duane Morris LLP
One Market
Spear Tower, Suite 2000
San Francisco, CA 94105
Phone: (415) 957-3000
Facsimile: (415) 957-3001
Direct Dial: (415) 957-3067

PATENT

REEL: 018794 FRAME: 0589

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of
Lubock et al.

) Examiner: Not yet assigned
)
)

) Group Art Unit: 3735
)
)

For: **TISSUE IRRADIATION WITH
SHIELDING**

Serial No.: 11/593,952

TRANSMITTAL

Filed: November 6, 2006

Atty. Docket No.: R0367-06302

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X Signed Assignment Document (2 pages).

2. Calculation of Fees

X Assignment recordation fee, **\$40.00.**

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Respectfully Submitted,

By: 

Edward J. Lynch
Registration No. 24,422
Attorney for Applicants

Duane Morris LLP
One Market
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PATENT**RECORDED: 01/22/2007****REEL: 018794 FRAME: 0590**