

01-22-2007



SHEET

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Docket No.: AF-005-CIP

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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103363634

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hamilton, Paul
Kenan, Daniel
Grinstaff, Mark

1.19.07

2. Name and address of receiving party(ies):

Name: Affinergy, Inc.

Internal Address: (mailing address)

P.O. BOX 14650

Research Triangle Park, North Carolina, 27709-4650

Street Address: Suite 100

617 Davis Drive

City: Durham State: NC ZIP: 27713

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 12 January 2007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

11/638,973

12/14/2006

01/19/2007 DBYRNE 00000129 11638973

01 FC:8021

(40.00 DP)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Bud Nelson

Internal Address: (mailing address)

P.O. BOX 14650

Research Triangle Park, North Carolina, 2709-4650

Street Address: Suite 100

617 Davis Drive

City: Durham State: NC ZIP: 27713

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

503850

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. Bud Nelson

Name of Person Signing

MBN

Signature

16 January 2007

Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 018800 FRAME: 0944

ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, Paul Hamilton, Daniel Kenan, and Mark Grinstaff, the undersigned

Hereby sell, assign and transfer to Affinergy, Inc.

a company of the State of Delaware, having a place of business at Suite 100, 617 Davis Drive, Durham, North Carolina, 27713, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the applications for United States Letters Patent or corresponding foreign applications, which has been invented by the undersigned and is entitled:

"Biofunctional, antimicrobial coatings for medical devices"

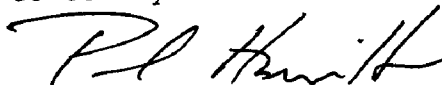
and in and to said application and all provisional, nonprovisional, divisional, conventional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States, all other countries in the world, and with any conventions (including the Patent Cooperation Treaty) on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all other countries in the world on said inventions;

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all nonprovisional, divisional, conventional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said inventions and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

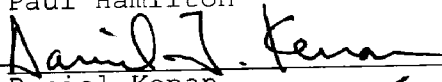
Executed this:

Date 12 January 2007



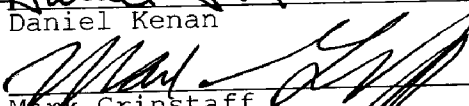
Paul Hamilton

Date 12 January 2007



Daniel Kenan

Date 12 January 2007

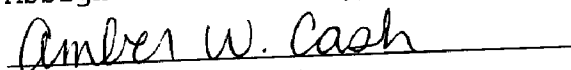


Mark Grinstaff

State of North Carolina

County of Durham

Before me personally appeared Paul Hamilton, Daniel Kenan, and Mark Grinstaff, who acknowledged the foregoing instrument to be an act of free will and deed, and also represented that each individually is authorized to execute this Assignment on this 12th day of January 2007.


Amber W. Cash

My commission expires on June 26, 2011.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Hamilton et al.**

Docket No.

AF-005-CIP

Application No.

11/638,973

Filing Date

12/14/2006

Examiner

Customer No.

Group Art Unit

Invention: **Biofunctional, antimicrobial coatings for medical devices**I hereby certify that this **Assignment with Recordation Form Cover sheet & check***(Identify type of correspondence)*

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope

addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

16 January 2007*(Date)***M. Bud Nelson***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

P07A/REV04

PATENT**RECORDED: 01/19/2007****REEL: 018800 FRAME: 0946**