

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Paul R. Hinton (10/24/06)
Shankar Kumar (10/25/06)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: PDL BioPharma, Inc.

Internal Address: _____

Street Address: 34801 Campus Drive

City: Fremont

State: CA

Country: USA Zip: 94555

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) in parenthesis following name

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/478,096

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Tara Seshadri

Internal Address: Abbott Bioresearch Center

Street Address: 100 Research Drive

City: Worcester

State: MA Zip: 01605-4314

Phone Number: (508) 688-6053

Fax Number: (508) 688-8110

Email Address: tara.seshadri@abbott.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 01-0025

Authorized User Name Tara Seshadri

9. Signature:

Tara Seshadri
Signature

1/17/2007
Date

Tara Seshadri
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 010026 11478096

ASSIGNMENT

I/We,

- (1) Paul R. Hinton, and
- (2) Shankar Kumar

residing, respectively, at

- (1) 321 Dunsmuir Terrace #4, Sunnyvale, CA 94085 (US), and
- (2) 3930 Kral Place, Pleasanton, CA 94588 (US)

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to PDL BioPharma, Inc., a corporation organized and existing under the laws of the State of California and having an office and a place of business at 34801 Campus Drive, Fremont, CA 94555, USA, its successors and assigns:

1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all my/our inventions and discoveries disclosed in United States Application Ser. No. 11/478,096, filed 29 June 2006 {Atty. Docket No. 7825.US.P1} and International Application Ser. No. PCT/US2006/25584, filed 29 June 2006 {Atty. Docket No. 7825.WO.O1} and designating AP, EA, EP, OA, AE, AG, AL, AM, AT, AU, AZ, BA, BB, BE, BF, BG, BJ, BR, BY, BZ, CA, CF, CG, CH and LI, CI, CM, CN, CO, CR, CU, CY, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, FR, GA, GB, GD, GE, GH, GM, GN, GQ, GR, GW, HR, HU, ID, IE, IL, IN, IS, IT, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MC, MD, MG, MK, ML, MN, MR, MW, MX, MZ, NE, NI, NL, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SI, SK, SL, SN, SY, SZ, TD, TG, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, VC, ZA, ZM and ZW; both entitled: "IL-12/p40 BINDING PROTEINS", including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor;

2) the full and complete right to file patent applications in the name of PDL BioPharma, Inc., its designee, or in my name at the election of PDL BioPharma, Inc. or its designee, on the aforesaid inventions, discoveries and applications in all countries of the world;

3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and

4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to PDL BioPharma, Inc. as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

We further agree, at any time, upon the request of PDL BioPharma, Inc., to execute and to deliver to PDL BioPharma, Inc. any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request to PDL BioPharma, Inc. such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of PDL BioPharma, Inc. under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNORS:

(1) Paul R. Hinton
Paul R. HINTON

ACKNOWLEDGEMENT:

On this 24th day of October, 2006, Paul R. HINTON personally appeared before me, executed the foregoing Assignment, and duly acknowledged to me that such Assignment was executed by his/her free act and deed for the uses and purposes therein expressed.

Linda Pete
Witness signature

LINDA Pete
Witness name (please print)

(2) Shankar KUMAR
Shankar KUMAR

ACKNOWLEDGEMENT:

On this 25th day of October, 2006, Shankar KUMAR personally appeared before me, executed the foregoing Assignment, and duly acknowledged to me that such Assignment was executed by his/her free act and deed for the uses and purposes therein expressed.

Linda Pete
Witness signature

LINDA Pete
Witness name (please print)