

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

1. Jun Zhang  
2. Robert M. Leach

## 2. Name and address of receiving party(ies)

Name: **Osmose Holdings, Inc.**

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) **January 2, 2007**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

Street Address: **980 Ellicott Street**

City: **Buffalo**

State: **New York**

Country: **U.S.A.** Zip: **14209-2398**

Additional name(s) & address(es) attached? ☐ Yes ☐ No

## 4. Application or patent number(s):

A. Patent Application No.(s)

**11/526,765**

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: **Einar Stole**

Internal Address: \_\_\_\_\_

**Milbank, Tweed, Hadley & McCloy LLP**

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**Suite 1100**

City: **Washington**

State: **D.C.** Zip: **20006**

Phone Number: **(202) 835-7553**

Fax Number: **(202) 835-7586**

Email Address: **estole@milbank.com**

## 6. Total number of applications and patents involved: \_\_\_\_\_

7. Total fee (37 CFR 1.21(h) & 3.41) \$ **40.00**

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers **1000**  
Expiration Date **08/10**

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

## 9. Signature:

Signature

**Einar Stole**

Name of Person Signing

**January 25, 2007**

Date

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
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700307812

PATENT  
REEL: 018817 FRAME: 0687

OP \$40.00 11526765

Docket No. 38184.00900US

Worldwide Rights -- Sole or Joint

# Assignment

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

**OSMOSE HOLDINGS, INC.**  
980 Ellicott Street  
Buffalo, NY 14209-2398

(hereinafter ASSIGNEE) all right, title and interest in and to his invention relating to

## Micronized Wood Wood Preservative Formulations

as set forth in his United States Patent Application

☐ executed concurrently herewith  
☐ executed on \_\_\_\_\_  
☒ Serial No. 11/526,765

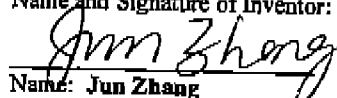
in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof and all applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all divisions, continuations, additions, extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

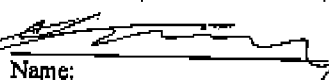
Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said applications including divisions, continuations, reissues, extensions, additions, and renewals thereof and to any and all Letters Patent which may be granted therefor or thereon, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent for said invention;

Name and Signature of Inventor:

  
Name: Jun Zhang

Date 1/12/07

Name and Signature of Witness:

  
Name:

Date 1/12/07

ELIZABETH M. TOMMANEY

No. 02705086229

Notary Public, State of New York

Qualified in Erie County

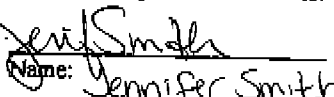
My Commission Expires 10/30/09

Name and Signature of Inventor:

  
Name: Robert M. Leach

Date 1/12/07

Name and Signature of Witness:

  
Name: Jennifer Smith

Date 1/12/07

Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

DC1: #8129595

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