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FORM PTO-1595 (Modified) (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03

RECOF



et No.: NEC-668-US/TN

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Other Execution Date: January 19, 2007	Country: Japan ZIP: 211-8668 Additional name(s) & address(es) □ Yes ☒ No
 Application number(s) or patent numbers(s): If this document is being filed together with a new application, t Patent Application No. Filing date 	he execution date of the application is: B. Patent No.(s)
Additional numbers	☐ Yes ☒ No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Sean M. McGinn, Esq. Registration No. 34,386 Address: McGinn Intellectual Property Law Group, PLLC 8321 Old Courthouse Road	7. Total fee (37 CFR 3.41):\$ 40.00 ☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account ☐ Authorized to be charged to deposit account
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9. Statement and signature.	January 23, 2007 Signature Date

Total number of pages including cover sheet, attachments, and document: Mail documents to be recorded with required cover sheet information to:
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40.00 DP

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS YASUYIKI AOKT C/o NEC Yamagata, Ltd., 11-73, Takarada 1-chome, Tsuruoka-shi, Yamagata, Japan

NAME AND ADDRESS OF ASSIGNOR

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented and own a certain new and useful invention entitled:

TITLE OF INVENTION:

SEMICONDUCTOR DEVICE FEATURING COMMON CAPACITOR ELECTRODE LAYER, AND METHOD FOR MANUFACTURING SUCH SEMICONDUCTOR DEVICE

WHEREAS

NEC Electronics Corporatiom, 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japam

ن بان ربو

FULL NAME AND ADDRESS
OF ASSIGNEE

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title, and interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment of ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR, by these presents, hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States Patent obtained therefor on said application or any

(Assignment of Application for Patent -- page 1 of 2)

PATENT REEL: 018827 FRAME: 0590 continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 19thday of January, 2007

SIGNATURE	By: Yasuyuki Aoki The Yasuwuki Aoki
SIGNATURE	Ву:
SIGNATURE	By:

WITNESSES

AKIRA MUTO

(Assignment of Application for Patent - page 2 of 2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Yasuyuki AOKI

Serial No.:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Filing Date:

Concurrently Herewith

Examiner:

Not Yet Assigned

For:

SEMICONDUCTOR DEVICE FEATURING COMMON CAPACITOR

ELECTRODE LAYER, AND METHOD FOR MANUFACTURING SUCH

SEMICONDUCTOR DEVICE

Honorable Commissioner of Patents Alexandria, Virginia 22313-1450

SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Yasuyuki AOKI to NEC ELECTRONICS CORPORATION, for the above-identified patent application. The recording fee of \$40.00 is attached hereto, a check for which accompanies this submission.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn, Esq.

Registration No. 34,386

Date

MCGINN INTELLECTUAL PROPERTY

LAW GROUP, PLLC

8321 Old Courthouse Road, Suite 200

Vienna, Virginia 22182-3817

(703) 761-4100

Customer No. 21254

PATENT

REEL: 018827 FRAME: 0592

Docket No.: NEC-668-US/TN

=ORM PTO-1595 (Modified) Rev. 03-01) DMB No. 0651₋0027 (exp. 5/31/2002) =08A/REV03

RECORDATION FORM COVER SHEET

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To the Director of the United States Patent and Trademark Off	ice: Please record the attached original documents or copy thereof.
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Yasuyuki AOKI	Name: NEC ELECTRONICS CORPORATION
-	Address: 1753 Shimonumabe, Nakahara-ku
	m =
Additional names(s) of conveying party(ies)	2 C S
3. Nature of conveyance:	4/0
☑ Assignment ☐ Merger	1130
☐ Security Agreement ☐ Change of Name	City: Kawasaki State/Prov.: Kanagawa
	Country: Japan ZIP: 211-8668
Other	Country. Japan ZIP. 211-3000
Execution Date: January 19, 2007	Additional name(s) & address(es) ☐ Yes ☒ No
4. Application number(s) or patent numbers(s):	
If this document is being filed together with a new application,	the execution date of the application is: January 19, 2007
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Country: <u>USA</u> ZIP: <u>22182-3817</u>	(Attach duplicate copy of this page if paying by deposit account)
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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy	
of the original document.	1 1 1 1 A 1 4
Sean M. McGinn, Esq.	January 23, 2007
Name of Person Signing	Signature Date
Total number of pages including co	

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PATENT REEL: 018827 FRAME: 0593

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