

01-24-2007

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

OFFICE OF THE DIRECTOR OF RECORDS

2007 JAN 22 PM 3:52

To the Director of the U.S. Patent and Trademark Office

103364712

and documents or the new address(es) below.

1. Name of conveying party(ies):

Christopher J. Paszty (9/25/2006), Hsieng S. Lu (9/28/2006), Kelly S. Hoffman (10/2/2006), and Aaron G. Winters (9/27/2006)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies)

Name: AMGEN INC.

Internal Address:

Street Address:

One Amgen Center Drive

City: Thousand Oaks

State: California

Country: United States of America Zip: 91320-1799

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/410,540

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Nabeela R. McMillian  
MARSHALL, GERSTEIN & BORUN LLP

Internal Address: Atty. Dkt.: 31173/42277

Street Address: 233 S. Wacker Drive, Suite 6300  
Sears Tower

City: Chicago

State: IL Zip: 60606-6357

Phone Number: (312) 474-6300

Fax Number: (312) 474-0448

Email Address:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00


- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers  
Expiration Date

b. Deposit Account Number  
Authorized User Name

9. Signature:



Signature

January 18, 2007

Date

Nabeela R. McMillian - 43,363

Name of Person Signing

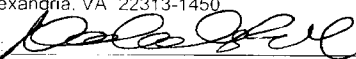
Total number of pages including cover sheet, attachments, and documents:

7

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

Dated: January 18, 2007

Signature:



(Nabeela R. McMillian)

01/23/2007 BYRNE 00000069 11410540

01 FC:8021

40.00 DP

PATENT  
REEL: 018829 FRAME: 0773

## ASSIGNMENT

WHEREAS, we, Hsieng Sen Lu, Christopher Paszty, Kelly Sue Hoffman<sup>CSH</sup> and Aaron George Winters (hereinafter referred to as ASSIGNORS), having post office addresses of 2758 Rainfield Avenue, Westlake Village, CA 91362; 1027 Westridge Drive, Ventura, CA 93003; 795 Green Valley Drive, Newbury Park, CA 91320; and 2501 Pierpont Boulevard, Ventura, CA 93001, respectively, are the joint inventors of an invention entitled "EPITOPES," as described and claimed in the specification forming part of an application for United States letters patent filed May 25, 2006, as United States Patent Application No. 11/410,540. The application claims the benefit of Provisional Application No. 60/792,645, filed on April 17, 2006, and Provisional Application No. 60/782,244, filed on March 13, 2006, and Provisional Application No. 60/776,847, filed on February 24, 2006, and Provisional Application No. 60/677,583, filed on May 3, 2005.

WHEREAS, Amgen Inc. (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware, having a place of business at One Amgen Center Drive, Thousand Oaks, CA 91320, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS

hereby authorize and request that any letters patent that may be granted issue to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

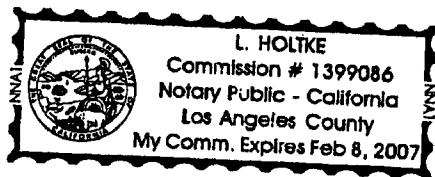
Date 28<sup>th</sup> 28 Sept 2006  
28 September 2006

By Hsieng Sen Lu  
Hsieng Sen Lu

STATE OF California )  
COUNTY OF Ventura ) ss.

I certify that I know or have satisfactory evidence that Hsieng Sen Lu is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 28 Sept. 2006  
Signature of  
Notary Public L. Holtke  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_



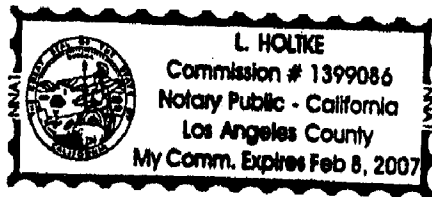
9/25/2006  
Date

By Christopher Paszty  
Christopher Paszty

STATE OF CALIFORNIA )  
COUNTY OF VENTURA ) ss.

I certify that I know or have satisfactory evidence that Christopher Paszty is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 25 Sep 2006  
Signature of \_\_\_\_\_  
Notary Public \_\_\_\_\_  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_



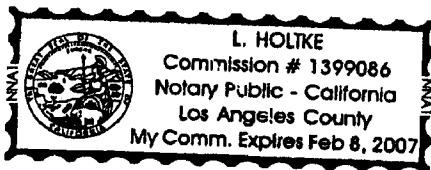
2 October 2006  
Date

By Kelly Sue Hoffman  
Kelly Sue Hoffman<sup>u</sup>  
KSH

STATE OF California )  
COUNTY OF Ventura ) ss.

I certify that I know or have satisfactory evidence that Kelly Sue Hoffman is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 2 October 2006  
Signature of \_\_\_\_\_  
Notary Public [Signature]  
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_

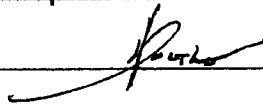


27 Sept. 2006  
Date

By   
Aaron George Winters

STATE OF California )  
COUNTY OF Ventura ) ss.

I certify that I know or have satisfactory evidence that Aaron George Winters is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 27 Sept. 2006  
Signature of Notary Public   
Printed Name \_\_\_\_\_  
My appointment expires \_\_\_\_\_

