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OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Guillermo C. Bazan; Bin Liu, Xiong Gong; Alan J. Heeger; Wanli Ma; Parameswar K. Iyer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 8/21, 8/22, 8/10, 7/21, 7/22/06

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address:

Street Address:

1111 Franklin St. 5th Floor

City: Oakland

State: CA Zip: 94607

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

11/221,026

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert Berliner
BERLINER & ASSOCIATES

Internal Address: Atty. Dkt.:1279-456

Street Address:
555 West 5th St. 31st FloorCity:
Los Angeles

State: CA Zip: 90013

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account
☐ Authorized to be charged to credit card
 (Form 2038 enclosed)

8. Deposit account number:

50-3881

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert Berliner
Name of Person Signing

Signature

1/23/07
Date

Total number of pages including cover sheet, attachments, and documents: 11

Recordation Form Cover Sheet

I hereby certify that this correspondence is being faxed to: Commissioner for Patents, MS Assignment Recordation to 571 273-0140 on the date shown below.

Dated: 1/23/07

Signature:

(Jean Bova)

02/01/2007 HJAMA1 00000081 503881 11221026

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JAN 29 2007

ASSIGNMENT

U.C. Case No.: 2004-338-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S):

1. Guillermo C. Bazan
2. Bin Liu
3. Xiong Gong
4. Alan J. Heeger
5. Wanli Ma
6. Parameswar K. Iyer

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative office located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"METHODS AND DEVICES UTILIZING SOLUBLE CONJUGATED POLYMERS"

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named person as inventors
- (b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) X U.S. patent application ser. no. 11/221,026, filed on Sept. 6, 2005; and
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

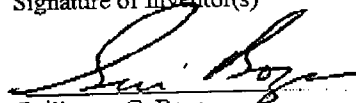
AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

21 day of August, 2006

____ day of _____, 2006

Signature of Inventor(s)


Guillermo C. Bazan_____
Bin Liu

ASSIGNMENT

U.C. Case No.: 2004-338-3

Page 2 of 2

U.S. pat. app. ser. no. 11/221,026

____ day of _____, 2006

Xiong Gong

____ day of _____, 2006

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Guillermo C. Bazan22 day of August, 2006Bin Liu

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_____ day of _____, 2006

Guillermo C. Bazan

_____ day of _____, 2006

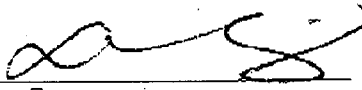
Bin Liu

ASSIGNMENT

U.C. Case No.: 2004-338-3
U.S. pat. app. ser. no. 11/221,026

Page 2 of 2

10 day of August, 2006


Xiong Gong

____ day of _____, 2006

Alan J. Hoeger

10^{PM} day of August, 2006


Wanli Ma

____ day of _____, 2006

Parameswar K. Iyer

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_____ day of _____, 2006

Bin Liu

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U.C. Case No.: 2004-338-3

Page 2 of 2

U.S. pat. app. ser. no. 11/221,026

____ day of _____, 2006

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____ day of _____, 2006

Alan J. Heeger

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21st day of July, 2006



Parameswar K. Iyer

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____ day of _____, 2006

22 day of July, 2006

____ day of _____, 2006

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