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02-08-2007

Attorney Docket U 016400-8



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To the Director of Patents and Trademarks

(with signed English translation if needed)

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1. Name of conveying party(ies):

1. GAMESA EOLICA, S.A.
- 2.
- 3.
- 4.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: GAMESA INNOVATION & TECHNOLOGY, S.L.

Address: POLIGONO INDUSTRIAL LOS AGUSTINOS
CALLE A S/N
ES-31013 PAMPLONA (NAVARRA)
SPAIN

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

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| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
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Execution Date(s): 1. January 15, 2007
2.
3.

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.

☒ Patent Application No.(s): 11/485,805

☐ Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William R. Evans

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 1 @ \$40.00 each

☒ Check Enclosed

☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

9. Signature:

William R. Evans

Name of Person Signing

Signature

January 30, 2007

Date

Total number of pages including coversheet, attachments, and documents:

5

Practitioner's Docket No. U 016400-8

PATENT

For: ☒ U.S. and/or ☐ Foreign Rights
 For: ☒ U.S. Application or
☐ U.S. Provisional Application
 For: ☐ U.S. Patent
 For: ☐ PCT Application
 By: ☐ Inventor(s) or ☒ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
 who own the invention

GAMESA EOLICO, S.A.
(type or print name(s) of ASSIGNOR(S))
Poligono Industrial los Agustinos
 Address
Calle A S/N
ES-31013 Pamplona (Navarra) Spain
Spanish
 Nationality

*(if assignment is by person or entity to whom invention was previously assigned
 and this was recorded in PTO, add the following)*

Recorded on July 13, 2006 Reel 018119
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hereby sells, assigns and transfers to

ASSIGNEE:

GAMESA INNOVATION & TECHNOLOGY, S. L.
(type or print name(s) of ASSIGNEE(S))
Poligono Industrial los Agustinos
 Address
Calle A S/N
ES-31013 Pamplona (Navarra) Spain
Spanish
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention—page 1 of 3) 16-3

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) right, title and

interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

METHOD OF OPERATING A WIND TURBINE

Name of inventor(s) Jose Ignacio LLORENTE GONZALEZ

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

(a) ☐ Not yet filed U.S. patent application executed on even date herewith

(b) ☐ Not yet filed U.S. patent application executed on _____

(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. patent or provisional patent application no. 11/485,805
filed on July 13, 2006

(e) ☐ International application no. PCT / _____ / _____ filed on _____

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention—page 2 of 3) 16-3

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this


WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: (X) January 15, 2007

Date: _____

Date: _____

Date: _____

(X)  **Gamesa Eólica**
Parque Tecnológico de Zamudio
Edificio 207 C
48170 ZAMUDIO - Vizcaya
94 431 89 00

(If ASSIGNOR is a legal entity, complete the following information)



(X) INAKI OCHOA
(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

(X) MANAGER IPR DEPT.
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention—page 3 of 3) 16-3



Attorney Docket No. U 016400-8

Director of the United States Patent and Trademark Office
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CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

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Feb 2

Date: January 30, 2007

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- ☐ transmitted by facsimile to the Patent and Trademark Office to **(571) 273-0140**


Signature

William R. Evans
(type or print name of person certifying)

(Assignment (Document) Cover Sheet—page 1 of 2) 16-6