

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:70%;">Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Duane James Block</td> <td>04/17/2002</td> </tr> <tr> <td>Gail Jean Dexter</td> <td>04/15/2002</td> </tr> <tr> <td>Paul Eric Krieger</td> <td>04/18/2002</td> </tr> <tr> <td>Todd C Pingaro</td> <td>04/17/2002</td> </tr> <tr> <td>Marcus Paul Sheire</td> <td>04/15/2002</td> </tr> <tr> <td>Kelly Whitaker</td> <td>04/18/2002</td> </tr> </tbody> </table>		Name	Execution Date	Duane James Block	04/17/2002	Gail Jean Dexter	04/15/2002	Paul Eric Krieger	04/18/2002	Todd C Pingaro	04/17/2002	Marcus Paul Sheire	04/15/2002	Kelly Whitaker	04/18/2002
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Marcus Paul Sheire	04/15/2002														
Kelly Whitaker	04/18/2002														
RECEIVING PARTY DATA															
Name:	AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC.														
Street Address:	200 Vesey Street														
Internal Address:	General Counsel's Office														
City:	Phoenix														
State/Country:	ARIZONA														
Postal Code:	85004-2202														
PROPERTY NUMBERS Total: 1															
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11673266</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	11673266										
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Application Number:	11673266														
CORRESPONDENCE DATA															
Fax Number:	(602)382-6070														
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>															
Phone:	6023826000														
Email:	hsobelman@swlaw.com														
Correspondent Name:	Snell & Wilmer L.L.P.														
Address Line 1:	One Arizona Center, 400 E. Van Buren St														
Address Line 2:	Howard I Sobelman														
Address Line 4:	Phoenix, ARIZONA 85004-2202														
ATTORNEY DOCKET NUMBER:	49335.1017														

CH \$40.00 11673266

PATENT

NAME OF SUBMITTER:

Howard I Sobelman

Total Attachments: 6

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ASSIGNMENT

UTILITY PATENT

WHEREAS, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the patent application entitled SYSTEM AND METHOD FOR FACILITATING INVESTMENT ACCOUNT TRANSFERS filed herewith;

AND WHEREAS, AMERICAN EXPRESS FINANCIAL ADVISORS, INC., a subsidiary of AMERICAN EXPRESS COMPANY (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, is desirous of memorializing its interest therein;

NOW, THEREFORE, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee, past employee or a contractor of ASSIGNEE, AMERICAN EXPRESS COMPANY or one of its subsidiary companies, and that ASSIGNOR developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNOR's duties to ASSIGNEE and thereby ASSIGNOR recognizes that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

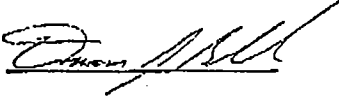
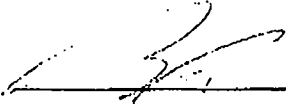
THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

AND HEREBY AGREES to transfer to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, a like interest in and to any improvements, derivative works, and applications based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal representatives, to preserve, acquire and/or perfect ASSIGNEE's full protection and title

representatives, to preserve, acquire and/or perfect ASSIGNEE's full protection and title in and to the invention and any improvements and to file any of the aforementioned applications.

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with Snell & Wilmer L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Duane James Block	<u>2300 N. L. Kishine Dr</u> <u>Chicago, IL 60657</u>		<u>4/17/02</u>
Gail Jean Dexter	_____ Minneapolis, MN _____	_____	_____
Paul Eric Krieger	_____ Champlin, MN _____	_____	_____
Todd C. Pingaro	<u>728 NW 98th Way</u> <u>Plantation, FL 33324</u>		<u>4/17/02</u>
Marcus Paul Sheire	_____ St. Paul, MN _____	_____	_____
Kelly Whitaker	_____ Minneapolis, MN _____	_____	_____

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One Arizona Center
400 East Van Buren
Phoenix, Arizona 85004-2202
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INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Duane James Block	_____ Chicago, IL _____	_____	_____
Gail Jean Dexter	<u>344 E 19th St</u> Minneapolis, MN _____	<u>Gail Dexter</u>	<u>4/15/02</u>
Paul Eric Krieger	_____ Champlin, MN _____	_____	_____
Todd C. Pingaro	_____ Plantation, FL _____	_____	_____
Marcus Paul Sheire	_____ St. Paul, MN _____	_____	_____
Kelly Whitaker	_____ Minneapolis, MN _____	_____	_____

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Duane James Block	Chicago, IL _____	_____	_____
Gail Jean Dexter	Minneapolis, MN _____	_____	_____
Paul Eric Krieger	11215 Tanglewood Lane N Champlin, MN 55316		4/18/02
Todd C. Pingaro	Plantation, FL _____	_____	_____
Marcus Paul Sheire	St. Paul, MN _____	_____	_____
Kelly Whitaker	Minneapolis, MN _____	_____	_____

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Todd C. Pingaro	Plantation, FL _____	_____	_____
Marcus Paul Sheire	1540 Osceola Ave St. Paul, MN 55105	<i>Marcus Sheire</i>	4-15-02
Kelly Whitaker	Minneapolis, MN _____	_____	_____

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Marcus Paul Sheire	St. Paul, MN _____	_____	_____
Kelly Whitaker	4304 31 st Avenue South Minneapolis, MN 55406	<i>Kelly Whitaker</i>	4-18-02

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