

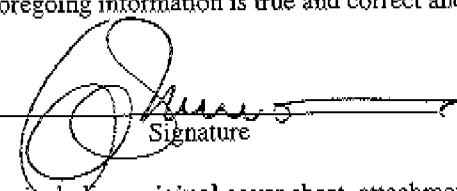
Form PTO-1595  
1-31-92

U.S. Department of Commerce  
United States Patent and Trademark Office

# RECORDATION FORM COVER SHEET

## PATENTS ONLY

Our Ref.: 4223-16

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.	
<p>1. Name of conveying party(ies):</p> <p>1 BTG International Limited 5</p> <p>2 6</p> <p>3 7</p> <p>4 8</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance:</p> <p><input type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name</p> <p><input checked="" type="checkbox"/> Other Request for Correction of Notice of Recordation on Assignment at Reel/Frame 018704/0412 to change address from "6 Kilmardinney Groe" to "6 Kilmardinny Grove"</p> <p>Execution Date: October 25, 2006</p>	<p>2. Name and address of receiving party(ies):</p> <p>1) Name: Ocutech Limited Street Address: 6 Kilmardinny Grove, Bearsden City: Glasgow State/Country: United Kingdom Zip: G61 3NY</p> <p>2) Name Street Address: City: State: Zip:</p> <p>Additional name/s &amp; address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>4. Application number(s) or patent number(s): <input type="checkbox"/> This assignment is being filed together with a new application.</p> <p>A. Patent Application No(s). (1) 10/805,494 (2) (3)</p> <p>B. Patent No(s). (1) (2) (3)</p> <p>Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Leonard C. Mitchard</p> <p>Internal Address:</p> <p>Street Address: Nixon &amp; Vanderhye P.C. 901 North Glebe Road 11th Floor</p> <p>City: Arlington State: VA Zip: 22203</p>
<p>6. Total number of applications &amp; patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) \$ 40.00</p> <p><input checked="" type="checkbox"/> Enclosed</p> <p><input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.</p>	
DO NOT USE THIS SPACE	
<p>9. Statements and signature.</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p>Leonard C. Mitchard Name of Person Signing Reg. No. 29,009</p> <p> Signature</p> <p>February 8, 2007 Date</p> <p>Total number of pages including original cover sheet, attachments, and document: (5)</p>	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

DATED 26 October 2006

**BTG INTERNATIONAL LIMITED**

- and -

**OCUTEC LIMITED**

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**ASSIGNMENT**

of UK patent number 0691992 and related rights

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E.2517/135,284

**PATENT**

THIS ASSIGNMENT is made as a deed on 25 October 2006

BETWEEN

- (1) **BTG INTERNATIONAL LIMITED** whose company registration number in England and Wales is 2664412 and whose registered address is at 10 Fleet Place, Limeburner Lane, London, EC4M 7SB, England ("BTG"), and
- (2) **OCUTEC LIMITED** whose company registration number is SC221667 and whose registered address is 6 Kilmardinney Grove, Bearsden, Glasgow G61 3NY ("Ocutec").

WHEREAS:-

- A. Pursuant to a Technology Transfer Agreement (the "Technology Transfer Agreement") made between the University of Strathclyde (the "University") and the National Research Development Corporation (the statutory predecessor of BTG) and dated 20<sup>th</sup> May 1986, BTG was offered certain patents and applications herein together called the "IPR".
- B. By an assignment agreement dated 8<sup>th</sup> September 1993, in accordance with the Technology Transfer Agreement, the IPR was assigned to BTG (formerly known as the British Technology Group Ltd) by the University and Professor Graham amongst others. BTG is therefore the proprietor and/or beneficial owner of the patents and applications, details of which are set out in the Schedule to this Agreement.
- C. The Technology Transfer Agreements states, amongst other things, that on a reassignment of the IPR by BTG, the IPR should be assigned to the University.
- D. By a letter dated 16<sup>th</sup> August 2006, the University declined the IPR and requested that BTG assign the IPR to Professor Graham.
- E. Professor Graham is one of the founders of Ocutec Limited.

NOW IT IS HEREBY AGREED as follows:-

1. BTG hereby assigns to Ocutec all its right, title, and interest in the IPR, free from encumbrances, except for encumbrances disclosed or otherwise known to Ocutec, and together with all rights transferable with such encumbrances.
2.
  - (1) BTG shall, at the request and cost of Ocutec, execute documents and do all such acts and things as may be necessary on the part of BTG to give effect to the terms of this Agreement.
  - (2) BTG hereby confirms that, as at the date of this Agreement, it is not aware of any unauthorised use of any of the IPR.
3. THIS Agreement shall be read and construed in accordance with, and governed by, English law, and the parties submit to the jurisdiction of the English Courts.

IN WITNESS whereof this document has been executed and delivered as a deed on the date first written above.


The COMMON SEAL of BTG )  
INTERNATIONAL LIMITED was )  
affixed to this deed in )  
the presence of:- )

 Director/Authorised Signatory

Mark Mal Director/Authorised Signatory

EXECUTED and DELIVERED )  
as a DEED by )  
on behalf of )  
**OCUTEC LIMITED** )

Caroline Stretton (Co. Secretary)  
Authorised signatory

 (DIRECTOR  
.....  
Authorised signatory

Internal reference	Country	Filing date	Filing number	Publication date	Publication number	Grant date	Grant number	Expiry	Current Status
135284AT01	AUSTRIA	31/MAR/1994	E166367	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284BE01	BELGIUM	31/MAR/1994	94911266.8	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284CA01	CANADA	31/MAR/1994	2156646					31/MAR/2014	FILING
135284CH01	SWITZERLAND	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284DE01	GERMANY	31/MAR/1994	69410459.0	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284DK01	DENMARK	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284ES01	SPAIN	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284FR01	FRANCE	31/MAR/1994	94911266.8	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284GB03	UNITED KINGDOM	31/MAR/1994	94911266.8	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284GR01	GREECE	31/MAR/1994	960401369						
135284IE01	IRELAND	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284IT01	ITALY	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284JP01	JAPAN	31/MAR/1994	94/521848	03/SEP/1996	86/508314			31/MAR/2014	FILING
135284LU01	LUXEMBOURG	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284MC01	MONACO	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284NL01	NETHERLANDS	31/MAR/1994	94911266.8	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284PT01	PORTUGAL	31/MAR/1994	94911266.8			20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284SE01	SWEDEN	31/MAR/1994	94911266.8	17/JAN/1996	0691992	20/MAY/1998	0691992	31/MAR/2014	GRANTED
135284US03	UNITED STATES OF AMERICA	22/MAR/2004	10/805494					31/MAR/2014	FILING
135284ZA01	SOUTH AFRICA	31/MAR/1994	94/2318			27/DEC/1995	94/2318	31/MAR/2014	GRANTED