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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103372453

To the Director of the U.S. Pat.

Documents or the new address(es) below.

1. Name of conveying party(ies):

SGL Carbon AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): September 13, 2005

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Audi AG

Internal Address:

Street Address:

Patentabteilung
D-85045
Ingolstadt
Germany

City:

State:

Country: Zip:

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

6,703,117; 6,926,127; 6,908,660;
6,824,862; 6,908,667; 6,759,117;
6,838,162; 6,527,092

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Richard M. Beck

Internal Address: Atty. Dkt.: 11885*70

Street Address: Connolly Bove Lodge & Hutz LLP
P.O. Box 2207

City: Wilmington

State: DE Zip: 19899

Phone Number: 302-658-9141

Fax Number: 302-658-5614

Email Address: RBeck@cblh.com

6. Total number of applications and patents involved:

8

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 240.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 03-2775
Authorized User Name Richard M. Beck

9. Signature:

Richard M. Beck
Signature

January 23, 2007
Date

Richard M. Beck
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

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02/14/2007 LKUELLER 00000003 032775 6703117

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Assignment Recordation Services, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 2/9/2007

Signature:

A. L. Hamm (Amy L. Hamm)

ASSIGNMENT

WHEREAS, SGL CARBON AG, a German corporation having its principal place of business at Wiesbaden, Germany, is the owner of the entire right, title and interest in and to the following patent numbers:

Patent Number	Issue Date	Title
6,703,117	09.03.2004	Friction body or sliding body formed from composite materials reinforced with fiber bundles and containing a ceramics matrix and process for the production of a friction or sliding body
6,926,127	09.08.2005	Friction members made from fiber-reinforced ceramic composite materials and processes for making friction members
6,908,660	21.06.2005	Shaped body made of fiber-reinforced composites having a segmented covering layer, its production and its use
6,824,862	30.11.2004	Fiber-reinforced ceramic composite
6,908,667	21.06.2005	Fiber-reinforced material composed, at least in a surface region, of a metal/ceramic composite, molding composed of the fiber-reinforced material and method of producing the fiber-reinforced material
6,759,117	06.07.2004	Multilayer ceramic composite and process for forming the composite
6,838,162	04.01.2005	Fiber-bundle-reinforced composite material having a ceramic matrix
6,527,092	04.03.2003	Method for producing brake discs formed of ceramic parts with metal hubs and brake disc produced according to the method

and the invention therein described and claimed;

AND WHEREAS, AUDI AG, a German corporation having its principal place of business at Ingolstadt, Germany, is desirous of acquiring the entire interest in and to said inventions, and in and to said U.S. patent numbers;

NOW, THEREFORE, be it known that pursuant to and in consideration of a contractual agreement between said two entities, and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, SGL CARBON AG has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said AUDI AG, its legal representatives, successors and assigns, the full and exclusive right to the said invention as fully set forth and described in the above-mentioned application, and to the application and any and all divisions and continuations thereof, and any and all Letters Patent of the United States which may be granted therefor, and any and all reissues of the Letters Patent, the same to be held and enjoyed by the said AUDI AG, its legal representatives, successors and assigns, to the full end of the term for which the Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by SGL CARBON AG, had this assignment and sale not been made.

by: ppa. [Signature]
for: SGL CARBON AG

Dr. Hubert Jäger
Chief Officer
Technology & Innovation

13.09.05
Date

by: ppa. [Signature] Peter Fischer
for: SGL CARBON AG

Director
Title

13.9.2005
Date

Accepted by: ppa. Klaus la Vrang

by: [Signature]
for: AUDI AG

i.V. Konrad Asch

by: [Signature]
for: AUDI AG

General Manager
Title
Patent Department

22.09.2005
Date

Manager Patent Department
Title

22.09.2005
Date

Under the Paperwork Reduction Act of 1995, no persons are **required** to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: SGL CARBON AGApplication No./Patent No.: see attachment Filed/Issue Date: see attachmentEntitled: see attachmentSGL CARBON AG

(Name of Assignee)

, a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel see attachment, Frame see attachment, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

by: [Signature]
for: SGL CARBON AG

Dr. Hubert Jäger
Chief Officer
Technology & Innovation
Title

13.09.05
Date

by: [Signature]
for: SGL CARBON AG

Director
Title

13.9.2005
Date

*Attachment to Statement under 37 C.F.R. 3.73 (b) for assigning the below-mentioned
US patents to AUDI AG*

Patent Number	Issue Date	Reel/Frame
6,703,117	09.03.2004	
6,926,127	09.08.2005	
6,908,660	21.06.2005	
6,824,862	30.11.2004	013866/0725
6,908,667	21.06.2005	
6,759,117	06.07.2004	015182/0448
6,838,162	04.01.2005	013958/0488
6,527,092	04.03.2003	013657/0221