

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	06/30/1999
CONVEYING PARTY DATA	
Name	Execution Date
W.R. Hambrecht & Co., LLC	06/30/1999
RECEIVING PARTY DATA	
Name:	W.R. Hambrecht + Co., LLC
Street Address:	539 Bryant Street, Suite 100
City:	San Francisco
State/Country:	CALIFORNIA
Postal Code:	94107
PROPERTY NUMBERS Total: 4	
Property Type	Number
Application Number:	09347949
Application Number:	09668255
Application Number:	11216529
Application Number:	11447602
CORRESPONDENCE DATA	
Fax Number:	(617)443-0004
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	617-443-9292
Email:	bmansfield@bromsun.com
Correspondent Name:	Jay Sandvos
Address Line 1:	125 Summer Street
Address Line 2:	Bromberg & Sunstein LLP
Address Line 4:	Boston, MASSACHUSETTS 02110-1618
ATTORNEY DOCKET NUMBER:	2955/001

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PATENT
REEL: 018894 FRAME: 0486

NAME OF SUBMITTER:

Jay Sandvos

Total Attachments: 3

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State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"W.R. HAMBRECHT & CO., LLC", A CALIFORNIA LIMITED LIABILITY COMPANY.

WITH AND INTO "W.R. HAMBRECHT + CO., LLC" UNDER THE NAME OF "W.R. HAMBRECHT + CO., LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE FIRST DAY OF JULY, A.D. 1999, AT 9 O'CLOCK A.M.



A handwritten signature in cursive script, reading "Edward J. Freel", is written over a horizontal line.

Edward J. Freel, Secretary of State

AUTHENTICATION:

3047504 8100M

DATE: 9845153

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07-02-99

CERTIFICATE OF MERGER
OF
W.R. HAMBRECHT & CO., LLC,
A CALIFORNIA LLC
INTO
W.R. HAMBRECHT + CO., LLC,
A DELAWARE LLC

(Under Section 18-209 of the Limited Liability Company Act of the State of Delaware)

W.R. HAMBRECHT + CO., LLC, a Delaware limited liability company ("Delaware LLC"), hereby certifies that:

1. The constituent limited liability companies are:
 - a. W.R. HAMBRECHT & CO., LLC, a California limited liability company ("California LLC"); and
 - b. W.R. HAMBRECHT + CO., LLC, a Delaware limited liability company ("Delaware LLC").
2. An agreement of merger has been approved and executed by California LLC and Delaware LLC.
3. The name of the surviving limited liability company is W.R. HAMBRECHT + CO., LLC.
4. The agreement of merger is on file at the principal place of business of Delaware LLC at 550 15th Street, San Francisco, California 94103.
5. A copy of the agreement of merger will be furnished by Delaware LLC, on request and without cost, to any member of California LLC or Delaware LLC.

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 07/01/1999
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6/4/99/GK/161183.1

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IN WITNESS WHEREOF, W.R. HAMBRECHT + CO., LLC has caused this certificate
to be signed by its Manager on the 30th day of June, 1999.

W.R. HAMBRECHT + CO., LLC,
a Delaware limited liability company

By: W.R. HAMBRECHT + CO., INC.,
a Delaware corporation,
its Manager

By: 

Name: Jay H. Zwicker
Title: President

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6/4/99/GK/161163.1

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RECORDED: 02/15/2007

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