# PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

## **CONVEYING PARTY DATA**

Name	Execution Date
Gary L Lowery	01/31/2007
Frank T Trautwein	11/14/2006
Guntmar H Eisen	11/14/2006
Marc R Viscogliosi	11/14/2006

## **RECEIVING PARTY DATA**

Name:	Paradigm Spine, L.L.C.	
Street Address:	505 Park Avenue	
Internal Address:	14th Floor	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10022	

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11535210

# **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	09955.0029-00000		
NAME OF SUBMITTER:	Tricia Tedesco		

**PATENT** 

500224969 **REEL: 018897 FRAME: 0869** 

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PATENT REEL: 018897 FRAME: 0870

#### U.S. Department of Commerce RECORDATION FORM COVER SHEET Patent and Trademark Office **PATENTS ONLY** Attorney Docket No. 09955.0029-00000 Mail Stop Assignment Recordation Services To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): Name of conveying party(ies): 1. Gary L. Lowery Frank T. Trautwein Name: Paradigm Spine, L.L.C. Guntmar H. Eisen Marc R. Viscogliosi Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No Internal Address: 505 Park Avenue, 14th Floor Street Address: Nature of conveyance: 3. City: New York $\boxtimes$ Assignment Merger NY Zip Code: 10022 State: Change of Name Security Agreement П Government Interest Joint Research Additional name(s) & Address(es) attached? Assignment Agreement Executive Order 9494, Other: ☑ No ☐ Yes Confirmatory License January 31, 2007 **Execution Date:** November 14, 2006 November 14, 2006 November 14, 2006 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of 4. the application: Patent Number(s): Patent Application Number(s): A. 11/535,210 ☐ Yes ☑ No Additional numbers attached? 6. Total number of applications and patents involved: 1 Name and address of party to whom correspondence 5. concerning document should be mailed: 7. Total fee (37 CFR 1.21(h) and 3.41): \$40 Matthew Van Eman Name: Enclosed (Please charge deficiency or credit $\boxtimes$ FINNEGAN, HENDERSON, FARABOW, GARRETT Internal Address: overpayment to deposit account 06-0916) & DUNNER, L.L.P. 901 New York Avenue, N.W. Street Address: Authorized to be charged to deposit account Washington City: 8. Deposit Account No.: 06-0916 20001-4413 DC Zip: State: 9. Statement and signature.

5. Ctatement and dignature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signed:

Matthew Van Eman

Reg. No. 58,063

Total number of pages including cover sheet, attachments and documents: 2

12/2005

16 Feb 2007

#### **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

#### INTERSPINOUS VERTEBRAL STABILIZATION DEVICES

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on September 26, 2006 (Application No. 11/535,210); and

WHEREAS, Paradigm Spine, a Delaware Limited Liability Company whose post office address is 505 Park Avenue, 14<sup>th</sup> Floor, New York, New York 10022 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional Application No. 60/720,809, filed September 27, 2005 (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF. I/We have hereunto set our hands.

County of	Richmond)		Name:	Gary L. Lowery
	)	SS.	Address:	5635 Clifton Lane
	) .			Jacksonville, FL 32/211
State of	NEW YORK. )		By:	Day J. formy Md, 1 hD
			Date:	1 31 Januar 7 pp.7
		CT.		() () 19 / 200
Subscribe	and sworn to before me this _	3131	day of January	_, 20 <u>07</u> _
	, Notary Pu	ublic		

John M. Donovan
Notary Public
State of New York
Qualified in Richmond County
Certificate Filed in New York County
#02D06112162
Commission Expires

Page 1 of 2

PATENT REEL: 018897 FRAME: 0872

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 09955.0029

County of _	Richmons	) ) ss.	Name: Frank T. Trautwein  Address: Ludwigstr. 26, 70794, Filderstadt, Germany
State of _	Niho Yorn	)	By: + (You divestible) Date: Nov. 14 2006
Subscribed		me this <u>14<sup>TIF</sup></u> d Notary Public	ay of <u>Nosam</u> , 2006
County of _	Richmons	) ) ss.	Name: Guntmar H. Eisen Address: Heubergweg 8, 78532, Tuttlingen, Germany
State of _	Now Your	) )	By: Date: 14. Nov. 2006
Subscribed		me this <u>ı ಚ<sup>⊡+</sup></u> d Notary Public	day of <u>Nov. h. B.a.</u> , 20 <u>06</u>
County of _	Rich Mono	) ) ss.	Name: Marc R. Viscogliosi Address: 340 E. 64 <sup>th</sup> St. Unit 5N, New York, NY 10021
State of _	Nûw Yorn	)	By: Mut. Vices  Date: 14 NOV. OCO
Subscribed		me this <u>। प्राप</u> ्ति c Notary Public	day of <u>Novalar</u> , 20 <u>06</u>

John M. Donovan
Notary Public
State of New York
Qualified in Richmond County
Certificate Filed in New York County
#02DO6112162
Commission Expires

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**RECORDED: 02/16/2007**