

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

GRAFF, Richard A.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 1, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Graff/Ross Holdings LLP

Internal Address: _____

Suite 1616

Street Address: 400 N. Michigan Avenue

City: Chicago

State: IL

Country: USA Zip: 60611

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/719,474

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Peter K. Trzyna, Esq.

Internal Address: _____

Street Address: P.O. Box 7131

City: Chicago

State: IL Zip: 60680-7131

Phone Number: (312) 240-0824

Fax Number: (312) 240-0825

Email Address: _____

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

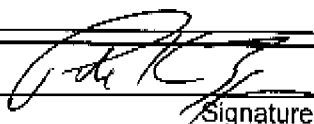
a. Credit Card Last 4 Numbers _____

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b. Deposit Account Number 50-0235

Authorized User Name Peter K. Trzyna

9. Signature:


Signature

Peter K. Trzyna, Esq.

Name of Person Signing

February 20, 2007

Date

Total number of pages including cover sheet, attachments, and documents:

7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

I hereby certify that this correspondence is being filed by facsimile and addressed to MS: Assignment Recordation Services, Commissioner of Patents, P.O. Box 1450, Washington, D.C. 22313 on the date indicated below.

Date: February 20, 2007

Signed: 

Peter K. Trzyna (Reg. No. 325001)

PATENT

Paper No.

File No. Graff-P2-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	GRAFF, Richard A.
Serial No.	:	10/719,474
Filed	:	November 21, 2003
For	:	NON-DEBT FUNDING SYSTEM FOR HOME FINANCE
Group Art Unit	:	3621
Examiner	:	VIG, Naresh

MS: Assignment Recordation Services
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT OF INVENTION

S I R :

WHEREAS, Richard A. Graff (hereinafter "I"), the undersigned is the inventor of the subject matter described in the above-referenced patent application; and

WHEREAS, Graff/Ross Holdings LLP (hereinafter, the "Assignee"), a corporation organized under the laws of the State of Illinois, having an address at 400 N. Michigan Avenue, Suite 1616, Chicago, IL 60611, has an acknowledged right to the entire right, title, and interest therein, thereto, and thereunder, including, patent rights and any applications and patents related thereto or claiming priority therefrom, not including continuation-in-part applications or reissue applications;

NOW, THEREFORE, in consideration of ten dollars (\$10.00) in hand paid to me,

PATENT

Ser. No. 10/719,474
Atty. Ref. Graff-P2-03

the receipt of which by me is hereby acknowledged, and/or other good and valuable consideration received by me, I hereby acknowledge that I have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified patent application and any and all rights thereto, including the above-identified patent application, any and all United States and foreign patents which may be granted pursuant thereto, and any and all United States and foreign applications, continuations, divisions, renewals, and substitutes thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said invention to the Assignee its successors, and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant that I have full right to convey the entire interest assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant that I respectively will communicate to the Assignee, its successors, legal representatives, and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, and continuing, make all rightful oaths, and generally do everything possible to aid the assignee, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said invention;

THIS ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this Assignment of Invention is determined to be invalid, illegal, or unenforceable under any present or future law by the final judgment of any court of competent jurisdiction, the remainder of this Assignment of Invention shall not be effected thereby. It is the intention of the undersigned that if any portion of this Assignment of Invention is determined to

Ser. No. 10/719,474
Atty. Ref. Graff-P2-03

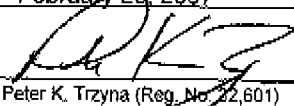
be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in lieu thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal on the day and year set opposite my signature.

Date: Feb. 1, 2006 Richard A. Graff
Richard A. Graff
Residence and Post Office Address: 221 W. Walton Place, No. 1502
Chicago, IL 60611
Citizenship: US

I hereby certify that this correspondence is being filed by
facsimile and is addressed to MS: Assignment Recordation Services,
Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on the date indicated below.

Date: February 20, 2007

Signed: 
Peter K. Trzyna (Reg. No. 22,601)

PATENT

Paper No.

File: Graff-P2-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : GRAFF, Richard A.
Serial No. : 10/719,474
Filed : November 21, 2003
For : NON-DEBT FUNDING SYSTEM FOR HOME FINANCE
Group Art Unit : 3621
Examiner : VIG, Naresh

MS: Assignment Recordation Services
Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

S I R :

Transmitted herewith for filing in the above-identified patent application are the
following:

1. Assignment of Invention; and
2. Recordation Cover Sheet.

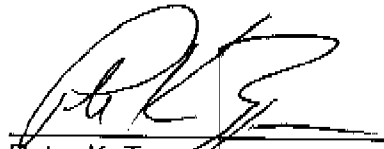
Applicant claims small entity status. The Commissioner is hereby authorized
to charge any fees associated with the above-identified patent application or credit any
overcharges to Deposit Account No. 50-0235.

PATENT

Ser. No. 10/719,474
Atty. Ref. Graff-P2-03
Art Unit 3621

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna
(Reg. No. 32,601)

Date: February 20, 2007

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824

Regular Correspondence:
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Peter K. Trzyna, Esq.

Telephone: (312) 240-0824 Facsimile: (312) 240-0825

E-mail: pkt-law@sbcglobal.net

Fax

To: Assignment Recordation Services

Re: 10/719,474 Assignment

Firm: United States Patent and Trademark Office

Date / Time: February 20, 2007

Street Address: P.O. Box 1450

Phone: (571) 272-3350

City, State Zip: Alexandria, VA 22313

Fax: (571) 273-0140

cc:

No. of Pages: 7 (including cover)

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