

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Frank L. SORGI	03/14/1997
Leaf HUANG	03/14/1997

RECEIVING PARTY DATA

Name:	University of Pittsburgh
Street Address:	200 Gardner Steel Conference Center
City:	Pittsburgh
State/Country:	PENNSYLVANIA
Postal Code:	15260

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	08939874

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:

404802000521

NAME OF SUBMITTER:

Kimberly A. Bolin

Total Attachments: 3

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PATENT

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## PATENT

Docket No. 2710-4000US1

### ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Frank L. Sorgi - 2640 Middletown Road, Pittsburgh, Pennsylvania 15205, U.S.A.

Leaf Huang - 2568 Matterhorn Drive, Wexford, Pennsylvania 15090, U.S.A.  
(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNORS), have made a discovery or invention entitled:

STABLE LIPID-COMPRISING DRUG DELIVERY COMPLEXES  
AND METHODS FOR THEIR PRODUCTION  
(title of discovery or invention)

- ☐ for which application for Letters Patent of the United States has been executed on even date herewith,
- ☒ for which application for Letters Patent of the United States has been filed on November 18, 1996,  
under Serial No. 08/751,888, and

WHEREAS:

University of Pittsburgh - 911 William Pitt Union, Pittsburgh, Pennsylvania 15260, U.S.A.  
(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of one Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

WE, SAID ASSIGNORS, hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

PATENT

Docket No. 2710-4000US1

WE, SAID ASSIGNORS, hereby covenant that we have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND WE, SAID ASSIGNORS hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to ASSIGNORS respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 14 day of march, 1997.

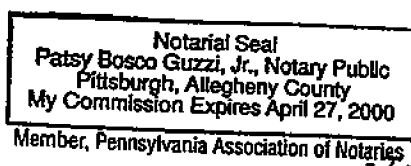
Assignor's signature: Frank L. Sorgi  
Frank L. Sorgi

Citizenship: United States of America

STATE OF PENNSYLVANIA )  
ss.:  
COUNTY OF ALLEGHENY )

On this 14 day of MARCH, 1997, before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Patsy Bosco Guzzi, Jr.  
Notary Public



271041

PATENT

Docket No. 2710-4000US1

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 14<sup>th</sup> day of March, 1997.

Assignor's signature:

Leaf Huang  
Leaf Huang

Citizenship: United States of America

STATE OF PENNSYLVANIA,  
COUNTY OF ALLEGHENY ss.:

On this 14 day of MARCH, 1997, before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Patsy Bosco Guzzi, Jr.  
Notary Public

