



RECC

02-16-2007

Attorney Docket U 014714-5



T

103373243

To the Director

ached document copy

(with signed English translation if needed).

1. Name of conveying party(ies):

1. TAKASHI OYANAGI
2. KIYOHICO TAKEMOTO
3. YUKIO SUGITA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: SEIKO EPSON CORPORATION

Address: 4-1 NISHI-SHINJUKU 2-CHOME
SHINJUKU-KU
TOKYO, JAPAN

AND

Name: THE INCTEC INC.

Address: 450, AOTO-CHO, MIDORI-KU
YOKOHAMA-SHI, KANAGAWA-KEN
JAPAN

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- | | |
|---|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Joint Research Agreement | <input type="checkbox"/> Change of Address * |
| <input type="checkbox"/> Government Interest Assignment | (old address above) |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | * may be by attorney |
| <input type="checkbox"/> Other _____ | |

Execution Date(s):
1. JULY 14, 2003
2. JULY 14, 2003
3. JULY 22, 2003

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☒ Patent Application No.(s): 10/466,229☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CLIFFORD J. MASS

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

02/07/2007 DBYRNE 00000127 10466229

01 FC:8021

40.00 DP

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 40 @ \$40.00 each

☐ Check Enclosed☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

9. Signature:

CLIFFORD J. MASS

Name of Person Signing

Signature

January 29, 2007
Date

Total number of pages including cover sheet, attachments, and documents:

4

譲渡証 (Translation/日本語訳)

下記に署名した私、

小柳 崇、竹本 清彦、杉田 行生 は、

ある発明を創出し、これについて合衆国特許出願は

☒ ここに私により署名され、
☒ 2002 年 1 月 15 日に 出願され、出願番号 PCT/JP02/00176
 が交付され、

その発明は

インクジェット記録用油性インク組成物、及びインクジェット記録方
 法

という名称である。そして、ここにその受領を認める対価で：私は、当該発明／出願について、合衆国とその属領及び全ての外国に於ける全面的かつ独占的な権利；合衆国とその属領及び全ての外国に於いて発行される特許証に関わる全ての権利、所有権、利益；一部継続出願、継続出願、分割出願、差替え出願、再発行出願、特許期間延長等、合衆国とその属領及び全ての外国に於いて既に出願されたか若しくは今後出願される特許に関わる全ての権利；そして、国際条約、同盟、契約、法令、協定（将来制定されるものを含む）に基づく全ての優先権を伴う一切の権利；を、日本国東京都新宿区西新宿 2 丁目 4 番 1 号に住所を有するセイコーエプソン株式会社、及び日本国神奈川県横浜市緑区青砥町 450 番地に住所を有するザ・インクテック株式会社、その後継者、譲受人及び法定代理人に対して、売却、譲渡、移転するものとする。

さらに、私は、セイコーエプソン株式会社及びザ・インクテック株式会社、（以下譲受人と言う）が単数ないしは複数の当該発明（以下当該発明という）に関わる特許権を、自己の名により、合衆国とその属領及び全ての外国に於いて出願し、特許を受けること；またこの譲渡証の意図と目的を誠実に実行することを求められた場合、下記に署名した私が、当該譲受人、その後継者、その被譲渡者、及び法定代理人の費用負担にて、一部継続出願、継続出願、分割出願、差替え出願、再発行出願、特許期間延長等を行い、合法的宣誓書、譲渡証、委任状等の書類を作成し、あらゆる法的または準法的訴訟手続に於いて証言を行うこと；当該発明とその経緯に関連して、下記に署名した私が知り得た全ての事実を、当該譲受人、後継者、被譲渡者、及び法定代理人に連絡すること；そして当該譲受人、後継者、被譲渡者、及び法定代理人が、当該発明の特許権の適切な保護、維持、権利行使するために望ましいと考慮すること、また、当該発明に関わる特許出願に際し、当該譲受人、後継者、被譲渡者、及び法定代理人に対して法的権限を付与することが望ましいと考慮することについて、可能な限り行うことを承諾する。

Assignment

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned,

Takashi OYANAGI, Kiyohiko TAKEMOTO, Yukio SUGITA,

who have created a certain invention for which an application for United States Letters Patent

☒ executed by me on even date herewith,
☒ filed on January 15, 2002 and assigned Serial No.
 PCT/JP02/00176,

and entitled:

OIL BASED INK COMPOSITION FOR INK JET RECORDING AND INK JET
 RECORDING METHOD

Do hereby sell, assign and transfer to Seiko Epson Corporation, a corporation of Japan, having a place of business at 4-1, Nishi-shinjuku 2-chome, Shinjuku-ku, Tokyo, Japan, and The Inctec Inc., a corporation of Japan, having a place of business at 450, Aoto-cho, Midori-ku, Yokohama-shi, Kanagawa-ken, Japan, their respective successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that Seiko Epson Corporation and The Inctec Inc. hereinafter referred to as Assignees, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in their own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignees, their successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignees, their successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignees, their respective successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignees, their successors, assigns, or legal representatives; and

そして、私は、この書面により譲渡された権利や財産に影響する、如何なる譲渡、授權、抵当権、ライセンス等その他の協定も他の第三者との間で行っていないこと；下記に署名した私によって、この書面に記載されている権利が所有されていることを、当該譲受人、後継者、被譲渡者、及び法定代理人に対し誓約するものである。

さらに、下記に署名した私はこの譲渡書は英語の部分の表現によってのみ解釈されることに同意する。

上記を証明するため、私は下記日付で署名する。

Covenant with said Assignees, their respective successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

I, the undersigned do further agree that this Assignment is to be construed solely according to the terms of the English language portions thereof.

IN TESTIMONY WHEREOF I have hereunto set MY/OUR signature seal on the date indicated below.

唯一または第一発明者名 小柳 崇		Full name of sole or first inventor Takashi OYANAGI	
発明者の署名 小柳 崇	日付 2003年 7月 14日	Inventor's signature Takashi Oyanagi	Date July 14, 2003
第二共同発明者 (いる場合) 竹本 清彦		Full name of second joint inventor, if any Kiyohiko TAKEMOTO	
第二共同発明者の署名 竹本 清彦	日付 2003年 7月 14日	Second Inventor's signature Kiyohiko Takemoto	Date July 14, 2003
第三共同発明者 (いる場合) 杉田 行生		Full name of third joint inventor, if any Yukio SUGITA	
第三共同発明者の署名 杉田 行生	日付 2003年 7月 22日	Third Inventor's signature Yukio Sugita	Date July 22, 2003
第四共同発明者 (いる場合)		Full name of fourth joint inventor, if any	
第四共同発明者の署名	日付	Fourth Inventor's signature	Date
第五共同発明者 (いる場合)		Full name of fifth joint inventor, if any	
第五共同発明者の署名	日付	Fifth Inventor's signature	Date
第六共同発明者 (いる場合)		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth Inventor's signature	Date
第七共同発明者 (いる場合)		Full name of seventh joint inventor, if any	
第七共同発明者の署名	日付	Seventh Inventor's signature	Date
第八共同発明者 (いる場合)		Full name of eighth joint inventor, if any	
第八共同発明者の署名	日付	Eighth Inventor's signature	Date

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. TAKASHI OYANAGI
2. KIYOHICO TAKEMOTO
3. YUKIO SUGITA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: SEIKO EPSON CORPORATION

Address: 4-1, NISHI-SHINJUKU 2-CHOME,
SHINJUKU-KU, TOKYO, JAPAN

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

Execution Date(s):

1. JULY 14, 2003
2. JULY 14, 2003
3. JULY 22, 2003

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.

☒ Patent Application

No.(s): 10/466,229

☐ Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CLIFFORD J. MASS

Address: LADAS & PARRY
26 WEST 61ST STREET
NEW YORK, NEW YORK 10023

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ _____

- ☐ Check Enclosed
- ☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

DO NOT USE THIS SPACE

9. Signature:

CLIFFORD J. MASS

Name of Person Signing

Signature

DECEMBER 9, 2003

Date

Total number of pages including coversheet, attachments, and documents:

4