Docket No.: 1038-13 PCT/US 02-22-2007 eet FORM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office 103374021 .tached original documents or copy thereof. To The Honorable Commissioner of Pa. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): **National University Corporation of Tokyo** Name: University of Agriculture and Technology Tadashi MATSUNAGA Additional names(s) of conveying party(ies) __Yes X No Address: 3-8-1 Harumi-cho, Fuchu-shi 3. Nature of conveyance: **Tokyo 183-8538, Japan X** Assignment Merger Security Agreement ____ Change of Name Other Execution Date: Nov. 29, 2006 Additional names(s) & address(es) attached? 4. Application number(s) or registration numbers: If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s): A. Patent Application No.(s) 10/593,514; filed September 19, 2006 Additional numbers attached: Yes X No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total Fee (37 CFR 3.41):....\$ 40.00 Enclosed - Any excess or insufficiency should be Name: Hoffmann & Baron, LLP credited or debited to deposit account. Address: 6900 Jericho Turnpike Fee paid at previous submission Syosset, NY 11791 Charge Deposit Account X 8. Deposit account no: 08-2461 **100000003 08246**1 10093514 02/22/2007 13YKHE (Attach duplicate copy of this page if paying by deposit account) 40.00 04 01 FC:8021

DO NOT USE THIS SPACE

Statement and signature,
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan A. Sipos (Reg. No. 43,128)

Name of Person Signing

Signature

Date: February 16, 2007

4_

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office

P.O. Box 1450, Alexandria, VA 22313-1450

		For: For:	☑ U.S. and/or ☐ Foreign Rights☑ U.S.Application;
			☐ U.S. Provisional Application; ☐ U.S.Patent; or
		By:	☐ PCT Application ☐ Inventors or ☐ Present Owners
	ASSIGNMENT OF INVE	NTION	
T	ha marmant has ACCIONEE to	A CCICN	IOD of the sum of One Dellan
	he payment by ASSIGNEE to An is hereby acknowledged, and		
ASSIGNORS: (inventor(s) or po	erson(s) or entity(ies) who own the invent	ion)	
	Tadashi MATSUNAGA		
	Name		_
	4-20-15, Hon-cho, Koganei-s	shi	
	Address		
	Tokyo 184-0004, Japan		<u> </u>
	Japan		
	Nationality		_
(If assignment is by person or entity	to whom invention was previously assign	ed and this	was recorded in PTO add the following)
Recorded on	Reel Frame		
hereby sells, assigns and tra	nsfers to		
ASSIGNEE:	National University Corporation	on of To	okyo University of
	A - mi and technology		
	(Type or print name of ASSIC	NEE)	
	3-8-1 Harumi-cho, Fuchu-shi		
	Address		
	Tokyo 183-8538, Japan		
	Japan		
	Nationality		Assignment of Invention - Page 1 of 3
			Assignment of historia

Attorney's Docket No.: <u>1038-13 PCT/US</u>

PATENT

PATENT

REEL: 018925 FRAME: 0381

and the successors, assigns and legal representatives of the ASSIGNEE,			
		(complete one of the following)	
		the entire right, title and interest an undivided percent (%) interest	
for the United States and its territorial possessions			
		and in all foreign countries, including all rights to claim priority,	
	•	nd all improvements which are disclosed in the invention entitled: NANOTUBES	
and which is found in			
		(check and complete (a), (b), (c), (d), (e), (f), or (g))	
(a) [(b) [(c) [U.S. patent application executed on even date herewith U.S. patent application executed on U.S. provisional application naming the above inventor(s) for the above-identified invention.	
[Express Mail label No.:, mailed: To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing	
(d) [2 (e) [2 (f) [date and application number when they become known U.S. application Serial No. 10/593,514 filed on 19 September 2006 International application No. PCT/US2005/007953 U.S. Patent No issued A change of address to which correspondence is to be sent regarding patent	
(g) [maintenance fees is being sent separately. and any legal equivalent thereof in a foreign country, including the right to claim priority	
and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.			
prompt and leg same in	will be ASSIC ly with all equipments any into AS and to age and to	e made or entered into which would conflict with this assignment. GNOR further covenants that ASSIGNEE will, upon its request, be provided all pertinent facts and documents relating to said invention and said Letters Patent ivalents as may be known and accessible to ASSIGNOR and will testify as to the interference, litigation or proceeding related thereto and will promptly execute and sIGNEE or its legal representatives any and all papers, instruments or affidavits oply for, obtain, maintain, issue and enforce said application, said invention and said tand said equivalents thereof which may be necessary or desirable to carry out the reof. Assignment of Invention – Page 2 of 3	

PATENT REEL: 018925 FRAME: 0382

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above. Date: X 29 11 2006 X 24541 Automotive Tadashi MATSUNAGA NOTE: No witnessing, potarization or legalization is necessary. If the assignment is notarized or legalized then it will only be

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

RECORDED: 02/21/2007

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

Assignment of Invention - Page 3 of 3

PATENT REEL: 018925 FRAME: 0383