

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

GRAFF, Richard

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Graff/ Ross Holdings, LLP

Internal Address: Suite 1616

Street Address: 400 N. Michigan Ave.

City: Chicago

State: Illinois

Country: USA

Zip: 60611

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 1, 2007

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/599,086

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Peter K. Trzyna

Internal Address: _____

Street Address: P. O. Box 7131

City: Chicago

State: IL

Zip: 60680

Phone Number: (312) 240-0824

Fax Number: (312) 240-0825

Email Address: _____

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

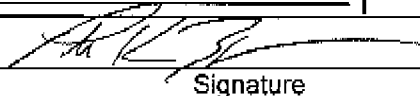
- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0235

Authorized User Name Peter K. Trzyna

9. Signature:

Signature

February 23, 2007

Date

Peter K. Trzyna

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

7

Documents to be recorded (Including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500235 11599086

PATENT

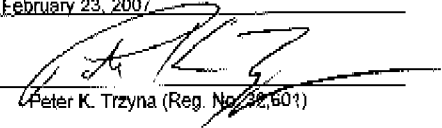
I hereby certify that this correspondence is being filed by facsimile and addressed to MS: Assignment Recordation Services, Commissioner of Patents, P.O. Box 1450, Washington, D.C. 22313 on the date indicated below.

PATENT

Paper No.

Date: February 23, 2007

File No. Graff-P1-06

Signed: 
Peter K. Trzyna (Reg. No. 22,501)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	GRAFF, Richard A.
Serial No.	:	11/599,086
Filed	:	November 14, 2006
For	:	BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTS
Group Art Unit	:	
Examiner	:	

MS: Assignment Recordation Services
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT OF INVENTION

S I R :

WHEREAS, Richard A. Graff (hereinafter "I"), the undersigned is the inventor of the subject matter described in the above-referenced patent application; and

WHEREAS, Graff/Ross Holdings LLP (hereinafter, the "Assignee"), a corporation organized under the laws of the State of Illinois, having an address at 400 N. Michigan Avenue, Suite 1616, Chicago, IL 60611, has an acknowledged right to the entire right, title, and interest therein, thereto, and thereunder, including, patent rights and any applications and patents related thereto or claiming priority therefrom, not including continuation-in-part applications or reissue applications;

PATENT

Ser. No. 11/599,086
Atty. Ref. Graff-P1-06

NOW, THEREFORE, in consideration of ten dollars (\$10.00) in hand paid to me, the receipt of which by me is hereby acknowledged, and/or other good and valuable consideration received by me, I hereby acknowledge that I have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified patent application and any and all rights thereto, including the above-identified patent application, any and all United States and foreign patents which may be granted pursuant thereto, and any and all United States and foreign applications, continuations, divisions, renewals, and substitutes thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said invention to the Assignee its successors, and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant that I have full right to convey the entire interest assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

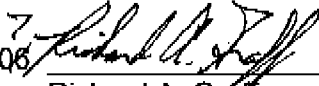
AND I HEREBY further covenant that I respectively will communicate to the Assignee, its successors, legal representatives, and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, and continuing, make all rightful oaths, and generally do everything possible to aid the assignee, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said invention;

THIS ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this Assignment of Invention is determined to be invalid, illegal, or unenforceable under any present or future law by the final judgment of any court of competent jurisdiction, the remainder of this Assignment of Invention shall not be effected thereby. It is the

Ser. No. 11/599,086
Atty. Ref. Graff-P1-06

intention of the undersigned that if any portion of this Assignment of Invention is determined to be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in lieu thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal on the day and year set opposite my signature.

Date: Feb. 6, 2006 
Richard A. Graff
Residence and Post Office Address: 221 W. Walton Place, No. 1502
Chicago, IL 60611
Citizenship: US