NO. 8843

843 P. 2

Substitute Form PTO-1595 Attorney Docket No.: 20750-037003 Client's Ref. No.; 026.US6.CON

RECORDATION FORM COVER SHEET PATENTS ONLY

Commissioner for Patents: Please record the attached original document(s) or copy(ies).		
Name of conveying party(ies):	Name and address of receiving party(les):	
-	Arena Pharmaceuticals, Inc.	
Brian Smith and Jeffrey Smith	6166 Nancy Ridge Drive	
Additional name(s) attached? ☐ Yes 国 No	San Diego, CA 92129	
3. Nature of conveyance:		
□ Assignment □ Merger □ Security Agreement □ Change of Name □ Other:		
Execution Date: 04/01/03	Additional names/addresses attached? ☐ Yes 图 No	
4. Application number(s) or patent number(s):		
If this document is being filed with a new application, the execution date of the application is:		
A. Patent Application No(s).:	B: Patent No(s).:	
11/599,050		
Additional numbers attached? ☐ Yes 図 No		
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1	
CHRISTINE A. GODDARD, PH.D.	7. Total fee (37 CFR §3.41): \$40	
Fish & Richardson P.C.	□ Enclosed	
225 Franklin Street	☑ Authorized to charge Deposit Account.	
Boston, MA 02110	8. Deposit Account No.: 06-1050	
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.	
DO NOT USE THIS SPACE		
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
Christine A. Goddard, Ph.D. Reg. No. 46,731 Name of Person Signing Signature	I Soddard 3-6-07 Date	
Tot	at number of pages including coversheet, attachments and document: 5	

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Ali Sattocking
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PATENT

DOCKET NO. 26.US4.REG

PATENT Joint Inventors

(New Application)

ASSIGNMENT

WHEREAS, we Jeffrey Smith, of San Diego, California, and Brian Smith, of San Diego, California, hereinafter referred to as the assignors, are the joint inventors of certain inventions or improvements for which we have made application for Letters Patent to the United States, identified as Case No. 26.US4.REG, entitled 5HT_{2C} RECEPTOR MODULATORS; and

WHEREAS, Arena Pharmaceuticals, Inc., hereinafter referred to as the assignee, of 6166 Nancy Ridge Drive, San Diego, California 92121, a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer hot been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful eaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States

PATENT

DOCKET NO. 26.US4.REG

PATENT
Joint Inventors

whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

AND this Assignment may be executed in multiple counterparts, each of which shall be deemed to be an original of this Assignment. Additionally, we hereby authorize our attorneys to collect the signature pages of each executed counterpart and to attach those signature pages to a single copy of this instrument, which single copy and attached signature pages together shall constitute an original of this Assignment.

We further authorize and direct my attorneys to insert below* the serial number and filing date of said application now identified as Case No. 26.US4.REG as soon as the same shall have been made known to them by the United States Patent Office.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

9/1/2003 (L.S.)
Date Jeffrey Smith

STATE OF California.

COUNTY OF San Diego

SS

On this 13+ day of Apr. , year of 2003, before me, the undersigned officer, personally came the above named Jeffrey Smith, to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

CAROL BEAM
Commission # 1324307
Notary Public - California
San Diego County
My Comm. Expires Oct 7, 2005

Notary Public

DOCKET NO. 26.US4.REG

PATENT
Joint Inventors

(L.S.)

A/1/2003

Brian Smith

STATE OF California

COUNTY OF Sen Diego

SS

On this 15t day of April, year of 2003, before me, the undersigned officer, personally came the above named Brian Smith, to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

CAROL BEAM
CAROL BEAM
Commission # 1324307
Notary Fubils - California
San Diogo County
Hy Comm. Expires Oct 7, 2009

Notary Public

* The above assignment covers applic	cation Serial No.	
iled on, year of		
The above insertion made by me this	day of	
year of		

of COZEN O'CONNOR

Name:

Registration No.

PHILA1\1721090\1 099994.000

Attorney's Docket No.: 20750-037003 Client's Ref. No.: 026,US6.CON

OFFICIAL COMMUNICATION FACSIMILE

TO THE ATTENTION OF:

MAIL STOP ASSIGNMENT

MAIL STOP ASSIGNMENT FAX NO: 571/273-0140

Number of pages including this page 5

Applicant: Brian Smith and Jeffrey Smith Art Unit: Serial No.: 11/599,050 Examiner:

Filed: November 14, 2006

FACSIMILE COMMUNICATION

Title : 5HT2C RECEPTOR MODULATORS

MAIL STOP ASSIGNMENT RECORDATION SERVICES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a Recordation Form Cover Sheet and Assignment, faxed this 6th day of March, 2007, to Mail Stop Assignment Recordation Services, U.S. Patent and Trademark Office.

Date: March 6, 2007

Christine A. Goddard, Ph.D.

Respectfully submitted.

Reg. No. 46,731

PTO Customer No. 26204 Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

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