

03-05-2007



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To the Honorable Commissioner of Patents and Tr.

103379092

cuments or copy thereof.

1. Name of conveying party(ies)

Yasushi SUGAYA

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: November 3, 2005

2. Name and address of receiving party(ies)

Name: FUJITSU LIMITED

Address: 1-1, Kamikodanaka 4-chome
Nakahara-ku, Kawasaki-shi
Kanagawa, 211-8588 JAPAN

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 11/319,362

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Edward A. Pennington, Esq.

Address: Bingham McCutchen LLP
3000 K Street, NW
Suite 300
Washington, DC 20007-5116

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward A. Pennington, R. N. 32,588

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Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

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1. Name of conveying party(ies)/Execution Date(s):
Yashushi SAGAYAExecution Date(s)
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3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other _____

2. Name and address of receiving party(ies)

Name: FUJITSU LIMITED

Internal Address: _____

Street Address: 1-1, Kamikodanaka 4-chome

Nakahara-ku, Kawasaki-shi

City: Kanagawa

Country: Japan Zip: 211-8588

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Edward A. Pennington, Esq.

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3000 K Street, NW, Suite 300

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Email Address: eapennington@swidlaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

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- ☐ None required (government interest not affecting title)

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Edward A. Pennington, Reg. No. 32,588

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U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter, "ASSIGNOR") by

(Insert Name(s) & Address(es) of ASSIGNEE(S))

FUJITSU LIMITED

1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled
(Title of Invention)

OPTICAL TRANSMISSION APPARATUS

relating to International Patent Application PCT/JP / and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on NOV. - 3, 2005;

(Insert date of execution of application, if not concurrent)

(b) filed on _____

Serial No. ____/____;

Assignee's attorney is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

| | | |
|---|--------------------------------------|-------------------------------|
| 1) <u>Yasushi Sugaya</u> (Signature) | <u>Yasushi SUGAYA</u> (Type Name) | <u>Nov. 3, 2005</u> (Date) |
| 2) _____ (Signature) | _____ (Type Name) | _____ (Date) |
| 3) _____ (Signature) | _____ (Type Name) | _____ (Date) |