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T U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Northwestern University

## 2. Name and address of receiving party(ies)

Name: NASA

Internal Address: \_\_\_\_\_

Street Address: 300 E Street, SW

City: Washington State: DC Zip: 20546

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☒ Other Confirmatory License

Execution Date: 01/15/07

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## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 11/600,679

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathy Bayer

Internal Address: Suite 9T39

Office of the General Counsel

Street Address: 300 E Street, SW

City: Washington State: DC Zip: 20546

## 6. Total number of applications and patents involved: 01

7. Total fee (37 CFR 3.41).....\$ \_\_\_\_\_

- ☐ Enclosed
- ☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

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## 9. Signature.

Kathy Bayer  
Name of Person Signing

Signature

1/26/07  
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Total number of pages including cover sheet, attachments, and documents: 02

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PATENT

REEL: 019016 FRAME: 0706



National  
Aeronautics and  
Space  
Administration

Patent Application

## License to the Government (by Small Entity Contractor)

In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below-named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract, and ☒ the Contractor has elected to retain title to the SUBJECT INVENTION; or ☐ the Contractor has elected not to retain title to the SUBJECT INVENTION and NASA, after consultation with the Contractor, has granted the request for retention of rights by the inventor, an employee of the Contractor subject to the provisions of 35 U.S.C. §202(d) and FAR 52.227-11; Patent Rights-Retention by the contractor but subject to a License to the Government as described below

NOW THEREFORE pursuant to the terms and conditions of the contract the Contractor/inventor does hereby grant to the Government of the United States a nonexclusive, nontransferable irrevocable paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world

NASA Case No: LAR-17174-1

Title:

Stable Water Dispersions Of Graphitic Nanoplatelets Via Reduction Of Exfoliated Graphite Oxide And Polymer Coating

Contractor: Northwestern University

Contract No: Sub to NCC1-02037

Contractor Case No:

This Contractor is a (check one): ☐ small business ☒ college or university, ☐ other nonprofit organization

Inventor(s):

Sasha Stankovich, SonBinh Nguyen, and Rodney Ruoff

At this time at least the following U.S. Patent application has been filed on the SUBJECT INVENTION

Application Serial No: 11/600,679

Filing Date: 11/16/2006

Patent No:

Issue Date:

The Contractor/inventor agrees to include, within the specification of any United States patent applications and any patents issuing thereon covering this SUBJECT INVENTION the following statement as in FAR 52.227-11(f)(4):

"This invention was made with Government support under contract NCC1-02037 awarded by NASA. The Government has certain rights in this invention."

The Contractor/inventor further agrees to notify NASA of any decision not to continue prosecution of the application(s), pay maintenance fees or defend in a reexamination or opposition proceeding on a patent, in any country, not less than 30 days before the expiration period required by the U.S. Patent and Trademark Office

Title to this invention is subject to the March-In-Rights by NASA as stated in 35 U.S.C. §203 and FAR 52.227-11(f).

The Contractor/inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number the issue date and the country shall be made known to the Government as soon as this information is available to the Contractor/inventor

(Typed name and title (if applicable) of: (1) Corporate Officer, if Contractor has elected to retain title, or (2) Inventor(s), if Contractor has elected not to retain title.)

Indrani Mukharji, PhD

Executive Director

Northwestern University, TTP

NASA FORM 1604 DEC 2000 PREVIOUS EDITIONS ARE OBSOLETE

Indrani Mukharji Jan 15, 2007  
(Signature and Date)