U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 07/05) United States Patent and Trademark Office OMB No. 0651-0027 (exp. 6/30/2008) RECORDATION FORM COVER SHEET S-110,958 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies) Name: United States Department of Energy Nanosys, Inc. Internal Address: __ Additional name(s) of conveying party(ies) attached? Yes 🗹 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave., S.W. Execution Date(s) March 5, 2007 Assignment Merger City: <u>Washington</u> Security Agreement Change of Name Joint Research Agreement State: D.C. Government Interest Assignment Zip:20585 Country: USA ✓ Executive Order 9424, Confirmatory License Additional name(s) & address(es) attached? Yes No Other 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) Serial No. 11/492,717 Additional numbers attached? Yes ✓ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name:Mark P. Dvorscak 7, Total fee (37 CFR 1.21(h) & 3.41) \$___ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title): 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers _____ _Zip 60439 State: [L Expiration Date _____ Phone Number: 630-252-2393 b. Deposit Account Number _____

Michael J. Dobbs #58.093

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PATENT REEL: 019026 FRAME: 0634

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CONFIRMATORY LICENSE

Title of Invention: NANOCRYSTAL DOPED MATRIXES

Inventor(s): J. Wallace Parce, Paul Bernatis, Robert Dubrow, William P. Freemen, Joel

Gamoras, Shihai Kan, Andreas Meisel, Baixin Qian, Jeffery A. Whiteford

and Jonathan Ziebarth

Filing Date: July 24, 2006 Patent Application Serial No.: 11/492,717

Issued Date: Patent Number:

DOE Contract No.: DE-FG02-04ER84020 Name of Contractor: Nanosys, Inc.

Contractor Docket No.: 01-004320 **DOE Case No.: S-110,958**

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

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