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T-321 P002/009 F-702

#### ATTORNEY DOCKET NO: M-11130 US (03-0899)

U.S. DEPARTMENT OF COMMERCE

| TO THE HOP ORIGINAL DO.  10. Name of conveying parties:  SiWarve, Inc.  Additional name(s) of conveying party(ses) attached?  SiWarve, Inc.  Additional name(s) of conveying party(ses) attached?  I Name of conveying party(ses) attached?  I Name and address of receiving party(ses) attached?  I Name of Conveyance:  Additional name(s) of conveying party(ses) attached?  I Name and address of party to whom correspondence concerning document should be mailed.  Name: Norman E. Carte  Internal Address:  A State CA 210 BS110  To the best of my knowledge and baller, the foregoing information is true and correct and any attached copy is a frue copy of signal and country.  To the best of my knowledge and baller, the foregoing information is true and correct and any attached copy is a frue copy of signal and country.  To the best of my knowledge and baller, the foregoing information is true and correct and any attached copy is a frue copy of signal and country.  Name: Name of Parson Signing  Address:  State CA 91008  Country: U.S.A. Additional name(s) of receiving party(see) attached?  Yee \inches No.  No.  No.  Additional name(s) of receiving party(see) attached?  Yee \inches No.  No.  Additional numbers attached?  Yee \inches No.  Additional numbers attached?  Yee \inches No.  No.  Additional numbers attached | 03-16-2007 <sub>FORM</sub> (  | COVER SHEET PATENT AND TRADEMARK OFFICE  |  |
|--|---|--|--|
| RECEIVED  1. Name of conveying parties: SiWeye, Inc.  Additional name(s) of conveying party(ies) attached?    Yes   No   No   Street Address: 400 East Live Oak Avenue   City:: Arcadia   State: CA 91006   Country: U.S.A   Additional name(s) of receiving party(ies) attached?   Yes   No   No   Additional name(s) of receiving party(ies) attached?   Yes   No   No   No   No   No   No   No    3. Nature of Conveyance:   Assignment   Merger   March 9, 2005   No   No   No   No     Security Agreement   Change of Name   Other   Execution Date: March 9, 2005   No   No     A Application number(s) or patient number(s): If this document is being filed together with a new application, the execution date of the application is:   No   No   No   No   No    A. Patent Application No.(a)   B. Patent No.(a) 6,661,962   Entitled "Optical Element Support Structure And Methods Of Using And Making The Same'   Yes   No   No   No   No   No   No   No   N  |   |  |  |
| 1. Name of conveying parties:  SiWave, Inc.  Additional name(s) of conveying party(ses) attached?    Yes   No  |   |  |  |
| Additional name(s) of conveying party(ies) attached?    Ves  | Name of conveying parties:  | 1 a 11 d 11 a 11 a 11 a 11 a 11 a 11 a   |  |
| Additional name(s) of conveying party(ses) attached?    Yes  | SiWave, Inc.  | Name: Simpel Corporation MAR 1 2 2007  |  |
| City: Artesian State: CA 91008    Yes  | A latter to the second of the | Street Address: 400 East Live Oak Avenue   |  |
| Additional name(s) of receiving party(ies) attached?    Yes  | Additional name(s) of conveying party(les) attached?  | 1  |  |
| Yes   No   | Yes X No  | i i  |  |
| Assignment   | ,   |  |  |
| Security Agreement   | 3. Nature of Conveyance:  |  |  |
| City San Joss State CA Zip 95110  A. Patern Address: Macch 12, 2005  Additional number of applications and patents involved: One City San Joss State CA Zip 95110  A. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true coff of sile original document.  Merch 12, 2005  B. Patent No.(s) 6,661,962 Entitled "Optical Element Support Structure And Methods Of Using And Making The Same"  Additional numbers attached? Yes No  6. Total number of applications and patents involved: One City San Joss State CA Zip 95110  7. Total fee (37 CFR 3.41): \$40.00  Authorized to be charged to Deposit Accounts 50-2257 for any additional fees required for this conveyance and credit deposit account 50-2257 any amounts overpaid  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true coff of sile original document.  Norman E. Carte 30,455   | Assignment Merger   |  |  |
| Execution Date:March 9, 2005  4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  | Security Agreement Change of Name   |  |  |
| 4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s) –  B. Patent No.(s) 6,661,962  Entitled "Optical Element Support Structure And Methods Of Using And Making The Same"  Additional numbers attached? Yes No  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Norman E. Carle  Internal Address: MACPHERSON, KWOK CHEN & HEID LLP  Street Address: 2033 Gateway Place, Suite 400  City San Jose State CA Zip B5110  7. Total fee (37 CFR 3.41): \$40.00  Authorized to be charged to Deposit Account 50-2257 for any additional fees required for this correspondence and credit deposit account 50-2257 any amounts overpaid  DO NOT USE THIS SPACE  8. Statement and signature.  Yo the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true coff of sie original document.  Norman E. Carle 30.455  | Other   |  |  |
| If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s) —  B. Patent No.(s) 6,661,962  Entitled "Optical Element Support Structure And Methods Of Using And Making The Same"  Additional numbers attached? — Yes — No  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Norman E. Carle  Internal Address: MACPHERSON, KWOK CHEN 8, HEID LIP  Street Address: 2033 Gateway Place, Suite 400  City San_Jose — State CA — Zip —95110  DO NOT USE THIS SPACE  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true coff of Se original document.  Norman E. Carte — 30,455  March 12, 2008   | Execution Date: March 9, 2005   |  |  |
| A. Patent Application No.(s) –  B. Patent No.(s) 6,661,962  Entitled "Optical Element Support Structure And Methods Of Using And Making The Same"  Additional numbers attached? Yes No  5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Norman E. Carte  Internal Address: MACPHERSON, KWOK CHEN & HEID LLP  Street Address: 2033 Gateway Place, Suite 400  City San Jose State CA Zip S5110  Authorized to be charged to Deposit Account 50-2257.  Charge Deposit Account 50-2257 for any additional fees required for this correspondence and credit deposit account 50-2257 any armounts overpaid  DO NOT USE THIS SPACE  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a frue copy of Se original document.  Norman E. Carte 30.455  March 12, 2005   | Application number(s) or patent number(s):  |  |  |
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| Additional numbers attached?    Yes   No   | A. Patent Application No.(s) —  | B. Patent No.(s) <b>6,661,962</b>  |  |
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| 5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Norman E. Carte  Internal Address: MACPHERSON, KWOK CHEN & HEID LLP  Street Address: 2033 Gateway Place, Suite 400  City San Jose State CA Zip 95110  Authorized to be charged to Deposit Account 50-2257 for any additional fees required for this conveyance and credit deposit account 50-2257 any amounts overpaid  DO NOT USE THIS SPACE  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of see original document.  Norman E. Carte 30,455  |   | <del></del>  |  |
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| Internal Address: MACPHERSON, KWOK CHEN & HEID LLP  Street Address: 2033 Gateway Place, Suite 400  City San Jose State CA Zip 95110  Authorized to be charged to Deposit Account 50-2257.  Charge Deposit Account 50-2257 for any additional fees required for this conveyance and credit deposit account 50-2257 any amounts overpaid  DO NOT USE THIS SPACE  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Norman E. Carte 30,455  March 12, 2005   | Name and address of party to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved: One  |  |
| Street Address: 2033 Gateway Place, Suite 400  City San Jose State CA Zip 95110  Authorized to be charged to Deposit Account 50-2257.  Charge Deposit Account 50-2257 for any additional fees required for this conveyance and credit deposit account 50-2257 any amounts overpaid  DO NOT USE THIS SPACE  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Norman E. Carte 30,455   | Name: Norman E. Carte   | ·  |  |
| City San Jose State CA Zip 95110  Authorized to be charged to Deposit Account 50-2257.  Charge Deposit Account 50-2257 for any additional fees required for this conveyance and credit deposit account 50-2257 any amounts overpaid  DO NOT USE THIS SPACE  8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Norman E. Carte 30,455  March 12, 2005  | Internal Address: MACPHERSON, KWOK CHEN & HEID LLP  |  |  |
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| 8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of se original document.  Norman E. Carte 30,455  DO NOT USE THIS SPACE  Reserved  March 12, 2002  March 12, 2002  |   |  |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of se original document.  Norman E. Carte 30,455  March 12, 200   |   |  |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of se original document.  Norman E. Carte 30,455  March 12, 200   | DO NOT USE  | 2257 any amounts overpaid  E THIS SPACE  |  |
| Norman E. Carte 30,455 March 12, 200   |   | 2257 any amounts overpaid  E THIS SPACE  |  |
| Name of Person Signing   | Statement and signature.  To the best of my knowledge and belief, the foregoing informati   | 2257 any amounts overpaid  THIS SPACE  THI |  |
|  | 8. Statement and signature.  To the best of my knowledge and belief, the foregoing informati document.  All   | 2257 any amounts overpaid  THIS SPACE  CONTROL OF THE SPACE  CONTR |  |

PAGE 2/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

REEL: 019028 FRAME: 0384

**PATENT** 



RECEIVED **CENTRAL FAX CENTER** 

MAR 1 2 2007

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SIWAVE, INC.", CHANGING ITS NAME FROM "SIWAVE, INC." TO "SIIMPEL CORPORATION", FILED IN THIS OFFICE ON THE EIGHTH DAY OF MARCH, A.D. 2005, AT 6:01 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

3214629 8100 050196280

Harrist Smith Windsor, Secretary of State
AUTHENTICATION: 3730228

DATE: 03-09-05

PAGE 3/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

PATENT

REEL: 019028 FRAME: 0385

State of Delaware Secretary of State Division of Corporations Delivered 06:01 PM 03/08/2005 FILED 06:01 PM 03/08/2005 SRV 030196280 - 3214629 FILE

RECEIVED
CENTRAL FAX CENTER

MAR 1 2 2007

CERTIFICATE OF AMENDMENT
TO THE
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION
OF
SIWAVE, INC.
a Delaware corporation

The undersigned, Dr. Eric R. Fossum and Ted S. Hollifield, hereby certify that:

ONE: They are the duly elected and acting Chief Executive Officer and Assistant Secretary, respectively, of said corporation.

TWO: The Amended and Restated Certificate of Incorporation of said corporation, filed on November 1, 2004, shall be amended as set forth in this Certificate of Amendment.

THREE: This amendment of the Amended and Restated Certificate of Incorporation herein certified has been duly adopted in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

FOUR: Article 1 of the Certificate of Incorporation of said corporation is hereby amended to read in its entirety as follows:

#### "ARTICLE 1.

The name of the corporation is Siimpel Corporation."

The undersigned further declare under penalty of perjury that the matters set forth in this Certificate of Amendment are true and correct of their own knowledge.

MP1:958308.1

PAGE 4/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

PATENT REEL: 019028 FRAME: 0386 IN WITNESS WHEREOF, the corporation has caused this Certificate of Amendment to be signed by Dr. Etic R. Fossum, its Chief Executive Officer, and attested by Ted S. Hollifield, its Assistant Secretary, on March 5, 2005.

Dr. Eric R. Fossum Chief Executive Officer

Ted S. Hollifield
Assistant Secretary

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PAGE 5/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

REEL: 019028 FRAME: 0387

### State of California Secretary of State

#### NAME CHANGE CERTIFICATE OF QUALIFICATION

#### C2237493

I, CATHY MITCHELL, Acting Secretary of State of the State of California, hereby certify that on the 9th day of March, 2005, there was filed in this office an Amended Statement and Designation by Foreign Corporation whereby the corporate name of SIWAVE, INC., a corporation organized and existing under the laws of Delaware, was changed to SIIMPEL CORPORATION. This corporation complied with the requirements of California law in effect on that date for the purpose of qualifying to transact intrastate business in the State of California and as of said date has been and is qualified and authorized to transact intrastate business in the State of California, subject however, to any licensing requirements otherwise imposed by the laws of this State.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of March 10, 2005.



CATHY MITCHELL
Acting Secretary of State

lt

## State of California Secretary of State



I, Cathy Mitchell, Acting Secretary of State of the State of California, hereby certify:

That the attached transcript of page(s) was prepared by and in this office from the record on file, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

MAR 1 0 2005

CATHY MITCHELL
Acting Secretary of State

PAGE 7/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

ENDORSED - FILED in the office of the State of California

-MAR - 9 2005

## AMENDED STATEMENT BY FÖREIGN CORPORATION

| Siimp  | el Corporation                            |   |
|--|---|---|
| (Name of Corporation)  |   |   |
|  |   | , a corporation organized                             |
| and existing under the laws of(Sta                                     | Delaware<br>ite or Place of Incorporation | n), and which is presently                            |
| qualified for the transaction of intrast                               | tate business in the S                    | State of California, makes the                        |
| following statement:   |   |   |
| That the name of the corporation ha                                    | s been changed to th                      | nat hereinabove set forth and                         |
| that the name relinquished at the time of such change was SiWave, Inc. |   |   |
|  |   |   |
| ,  |   | ,   |
|  |   | Y   |
|  | •   |   |
|  | Slimpe                                    | el Corporation  |
| ,  | W (Nam                                    | ne of Corporation)                                    |
|  | (Signatur                                 | e of Corporate Officer)                               |
| •  | Warren T, Lazare                          | ow, Assistant Secretary and Title of Officer Signing) |

Secretary of State Form ASDO (03-98)

PAGE 8/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

PATENT

REEL: 019028 FRAME: 0390

# Delaware The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "SIWAVE, INC.", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "SIIMPEL CORPORATION", THE EIGHTH DAY OF MARCH, A.D. 2005, AT 6:01 O'CLOCK P.M.





3214629 8320

050199200

AUTHENTICATION: 3731843

DATE: 03-09-05

PAGE 9/9 \* RCVD AT 3/12/2007 6:55:28 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-3/18 \* DNIS:2738300 \* CSID:9497527049 \* DURATION (mm-ss):02-18

**PATENT** REEL: 019028 FRAME: 0391

RECORDED: 03/12/2007