

03-16-2007

U.S. PTO
11/712795
03/01/2007Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

103383622

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

CLAUDE R. THOMPSON
WILLIAM M. LECHLER
NEIL F. MARSHALLAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2/27/07

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SEA KNIGHT, LLC

Internal Address: _____

Street Address: 929 WINWOOD DRIVECity: VIRGINIA BEACHState: VIRGINIACountry: USA Zip: 23451Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Peter J. Van Bergen

Internal Address: _____

Street Address: 402 W. Duke of
Gloucester St.City: WilliamsburgState: Virginia Zip: 23185Phone Number: 757-220-2649Fax Number: 757-220-2751Email Address: peterjb@widomaker.com6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: Peter J. Van Bergen

Signature

Peter J. Van Bergen

Date

2/27/2007Total number of pages including cover sheet, attachments, and documents: 4Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450PATENT
REEL: 019032 FRAME: 0139

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR(S):

Claude R. Thompson
1136 Rollingwood Arch
Virginia Beach, VA 23464
U.S.A.

William M. Lechler
929 Winwood Drive
Virginia Beach, VA 23451
U.S.A.

Neil F. Marshall
8247 Wrenfield Drive
Williamsburg, VA 23188
U.S.A.

hereby sell(s), assign(s) and transfer(s) to

ASSIGNEE:

Sea Knight, LLC
929 Winwood Drive
Virginia Beach, VA 23451
U.S.A.

and the successors, assigns and legal representatives of the ASSIGNEE, ✓ the entire right, title and interest or his/her undivided percent (%) interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

"IN-SITU" BALLAST WATER TREATMENT SYSTEM AND METHOD

and which is found in

- U.S. patent application executed on even date herewith;
 - U.S. Patent application executed on ;
 - U.S. Provisional application naming the above inventor(s)
- for the above-entitled invention;
- Express Mail Label:
 Mailed ;
 - To comply with 37 CFR 3.21 for recordal of this assignment, I, the ASSIGNOR signing below, hereby

authorize and request my/our attorney to insert below the filing date and application number when they become known.

☐ U.S. application number 0, Filed _____
☐ International application No. PCT/_____/_____.

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately

(also check the following if foreign application(s) is also being assigned)

☒ and any legal equivalent thereof in a foreign country, including the right to claim priority;

and, in and to all Letters Patent or similar legal protection to be obtained for said invention by the above application and any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination therefor in the United States and in any and all foreign countries.

This assignment is applicable to INVENTOR(S), Claude R. Thompson, William M. Lechler and Neil F. Marshall.

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/we have hereunto set my/our hand and seal.

Date: 02/27/07

Claude R. Thompson
Claude R. Thompson

Date: 2/27/07

William M. Lechler
William M. Lechler

Date: 2/27/07

Neil F. Marshall
Neil F. Marshall