

Form PTO-1595 (Rev. 07/05)

OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Sing-Fatt Chin, Lon Annest, and Robert O'Reilly

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 03/12/07; 03/15/07; and 02/27/07

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Bioventrix, a CHF Technologies Company

Internal Address: Suite 400

Street Address: 12647 Alcosta Boulevard

City: San Ramon

State: California

Country: USA Zip: 94583

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**A. Patent Application No.(s)  
11/507,146☐ This document is being filed together with a new application.  
B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: JP Department

Internal Address:

Street Address: Sills Cummis Epstein &amp; Gross

One Riverfront Plaza

City: Newark

State: New Jersey Zip: 07102-5400

Phone Number: 973-643-7000

Fax Number: 973-643-6500

Email Address: pto@sillscummis.com

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 03-1250

Authorized User Name Barry J. Marenberg

**9. Signature:**

Signature

Barry J. Marenberg

Name of Person Signing

March 20, 2007  
Date

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (671) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 031250 11507146

## JOINT ASSIGNMENT

WHEREAS, Sing-Fatt Chin, Lon Anest, and Robert O'Reilly (ASSIGNORS), are the sole inventors of the invention identified in U.S. Patent Application No. 11/507,146 filed on August 21, 2006, under the title "METHOD AND DEVICE FOR TREATING DYSFUNCTIONAL CARDIAC TISSUE" (hereinafter "Invention").

WHEREAS, it is the intention of ASSIGNORS, and BIOVENTRIX, a CHF Technologies Company, (hereinafter "ASSIGNEE"), a corporation having a place of business at 12647 Alcosta Boulevard, Suite 400, San Ramon, California 94583, that ASSIGNEE own all of ASSIGNORS' right, title and interest in and to the Inventions, including all of ASSIGNORS' U.S., foreign and international patent rights therein;

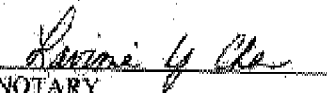
NOW, THEREFORE, pursuant to this Assignment, ASSIGNORS hereby distributes, assigns, conveys and transfers to ASSIGNEE, its successors and assigns, all of ASSIGNORS' right, title and interest in and to the Inventions, including all patent applications, and to the inventions therein disclosed and claimed and to all international priority rights relating thereto throughout the world, and in and to any continuations, divisions, reissues, reexaminations and extensions thereof, along with any and all rights of enforcement with respect thereto, including all rights to sue and/or recover for the past, present and future infringement thereof, and any and all causes of action related thereto. ASSIGNEE may apply for and receive patents in its own name wherever so permitted by law.

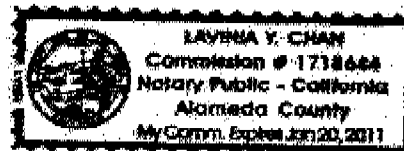
ASSIGNORS shall, when requested by ASSIGNEE, execute all rightful oaths, assignments, and powers of attorney, including but not limited to the right to file and prosecute in its own name, wherever so permitted by law, patent applications, including corresponding applications outside of the U.S., and to claim priority of patent applications pursuant to the Paris Convention, to ASSIGNEE and to agents and legal representatives of ASSIGNEE, and all other papers necessary and proper to carry out the intent and purpose of this Invention Assignment.

(1)   
Sing-Fatt Chin

STATE OF CALIFORNIA )  
 ) SS.  
COUNTY OF ALAMEDA )

On this 12<sup>th</sup> day of March, 2007, before me personally appeared SING-FATT CHIN with adequate proof of identification, and executed the above Invention Assignment. WITNESS my hand and official seal.

  
NOTARY  
My Commission expires: Jan. 20, 2011  
[Seal]



(2) *Lon Annest*  
Lon Annest

STATE OF New York

COUNTY OF New York SS.

On this 15 day of March, 2007, before me personally appeared LON ANNEST, with adequate proof of identification, and executed the above Invention Assignment. WITNESS my hand and official seal.

*Carlos A. Forde*  
NOTARY

My Commission expires: 05/31/2010  
[Seal]

CARLOS A. FORDE  
NOTARY PUBLIC, STATE OF NEW YORK  
QUALIFIED IN KINGS COUNTY  
NO. 244925001  
MY COMMISSION EXPIRES MAY 31, 2010

(3) \_\_\_\_\_  
Robert O'Reilly

STATE OF \_\_\_\_\_ )

COUNTY OF \_\_\_\_\_ ) SS.

On this \_\_\_\_\_ day of \_\_\_\_\_, 2007, before me personally appeared ROBERT O'REILLY, with adequate proof of identification, and executed the above Invention Assignment. WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY

My Commission expires:  
[Seal]

(2) Lon Annest

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) SS.

On this \_\_\_\_\_ day of \_\_\_\_\_, 2007, before me personally appeared **LON ANNEST**, with adequate proof of identification, and executed the above Invention Assignment. WITNESS my hand and official seal.

NOTARY  
My Commission expires:  
[Seal]

(3) Robert O'Reilly

STATE OF California )  
COUNTY OF Santa Clara ) SS.

On this 27<sup>th</sup> day of February, 2007, before me personally appeared **ROBERT O'REILLY**, with adequate proof of identification, and executed the above Invention Assignment. WITNESS my hand and official seal.

Edwina Reina  
NOTARY  
My Commission expires: 12/30/2008  
[Seal]

