

03-20-2007



103384805

Case No.: 55081US002, 55081US008, 55081US009

COVER SHEET OFFICE OF PUBLIC RECORDS

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To: The Director of the U.S. Patent and Trademark Office:

Please record the attached document(s) or the new address(es) below.

FINANCE SECTION

## 1. Name of conveying party(ies) Execution Date(s):

Gardiner, Mark E. December 22, 1999  
 Cobb, Sanford January 3, 2000  
 Epstein, Kenneth A. January 3, 2000  
 Kretman, Wade D. January 3, 2000

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

3M Innovative Properties Company  
 P.O. Box 33427  
 St. Paul, Minnesota 55133-3427

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement ☐ Government Interest Assignment  
☐ Other:

## 4. Application or patent number(s)

☐ This document is being filed together with a new application.

## A. Patent Application No(s).

## B. Patent No(s).

6,707,611; 6,560,026; 6,356,391

Additional Numbers Attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Stephen W. Buckingham  
 Office of Intellectual Property Counsel  
 3M Innovative Properties Company  
 Phone Number: 651-733-3379  
 Fax Number: 651-736-3833

32692

Customer Number

## 6. Total number of applications and patents involved:

3

## 7. Total fee (37 CFR §§ 1.21(h) &amp; 3.41):

\$120.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Payment Information:

Deposit Account Number: 13-3723

Authorized User Name: Stephen W. Buckingham

## 9. Signature:

Printed Name of Attorney/Agent:

Stephen W. Buckingham

Registration No.: 30,035

Signature

Date

3/13/2007

Pages: Total number of pages including COVER SHEET, attachments, and documents

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 019047 FRAME: 0956

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 03/19/2007 NJAMH1  
 01 FC:8021

3-16-07

# ASSIGNMENT

Serial No: 09/415,873  
Filed: October 8, 1999  
Title: OPTICAL FILM WITH VARIABLE ANGLE PRISMS

For ten dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to 3M Innovative Properties Company, a Delaware corporation, 3M Center, St. Paul, Minnesota 55133 (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on December 22, 1999 & January 3, 2000, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the execution date of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6402

WITNESS our hands this 22nd day of December, Nineteen Hundred and Ninety-Nine.

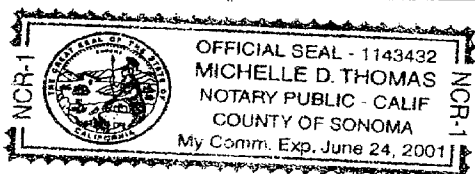
State of California  
County of Sonoma } ss.

Mark E. Gardiner  
Mark E. Gardiner

On this 22nd day of December, 1999, before me, a Notary Public in and for the County and State aforesaid, appeared Mark E. Gardiner, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: June 24, 2001



Michelle D. Thomas  
Notary Public

WITNESS our hands this \_\_\_\_\_ day of \_\_\_\_\_, Nineteen Hundred and Ninety-Nine.

State of \_\_\_\_\_  
County of \_\_\_\_\_ } ss.

\_\_\_\_\_  
Sanford Cobb

\_\_\_\_\_  
Kenneth A. Epstein

\_\_\_\_\_  
Wade D. Kretman

On this \_\_\_\_\_ day of \_\_\_\_\_, 1999, before me, a Notary Public in and for the County and State aforesaid, appeared Sanford Cobb, Kenneth A. Epstein, and Wade D. Kretman, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

WITNESS our hands this \_\_\_\_\_ day of \_\_\_\_\_, Nineteen Hundred and Ninety-Nine.

State of \_\_\_\_\_  
County of \_\_\_\_\_ } ss.

Mark E. Gardiner

On this \_\_\_\_\_ day of \_\_\_\_\_, 1999, before me, a Notary Public in and for the County and State aforesaid, appeared Mark E. Gardiner, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

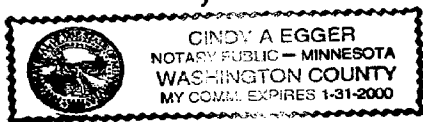
WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

Notary Public

WITNESS our hands this 3 day of January, ~~Nineteen Hundred and Ninety Nine.~~ <sup>Two Thousand</sup>

State of Minnesota  
County of Washington } ss.



Sanford Cobb  
Sanford Cobb

Kenneth A. Epstein  
Kenneth A. Epstein

Wade D. Kretman  
Wade D. Kretman

On this 3 day of January, ~~1999~~ <sup>2000</sup>, before me, a Notary Public in and for the County and State aforesaid, appeared Sanford Cobb, Kenneth A. Epstein, and Wade D. Kretman, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 1-31-2000

Cindy A. Egger  
Notary Public

PATENT

RECORDED: 03/16/2007

REEL: 019047 FRAME: 0959