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RECORDATION FORM COVER SHEET

PATENTS ONLY

Atty Docket No. 2006P04728US01

U.S. Department of COMMERCE

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nd Trademark Office: Please record the attached documents or the new address(es) below. 103386123 1. Name of conveying party(ies)/Execution Date(s): 2. Name and address of receiving party(ies) Name: Siemens Power Transmission & Distribution, Inc. Dingguo Chen Internal Address: Execution Date(s) March 2, 2007 Additional name of conveying party(ies) attached? 🗌 Yes 🛛 No Street Address: 7000 Siemens Road 3. Nature of conveyance: ☐ Merger City: Wendell Security Agreement ☐ Change of Name State: NC ☐ Government Interest Assignment Country: U.S.A. Executive Order 9424, Confirmatory License Other \_\_\_ Additional Name(s) & address(es) attached? 

Yes 

No 4. Application number(s) or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) TO BE ASSIGNED Additional numbers attached? ☐ Yes ☒ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: ONE concerning this document should be mailed: Name: Elsa Keller 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Internal Address: Siemens Corporation Authorized to be charged by credit card Intellectual Property Department Authorized to be charged to deposit account Enclosed Street Address: 170 Wood Avenue South None required (government interest not affecting title) City: Iselin 8. Payment Information Zip: <u>08830</u> State: NJ a. Credit Card Last 4 Numbers Phone Number: 732-321-3026 Expiration Date Fax Number: 732-321-3014 b. Deposit Account Number 19-2179 § Email Address: elsa.keller@siemens.com Authorized User Name Daniel J. Staudt 9. Signature : March 6, 2067 Signature Daniel J. Staudt Total number of pages including cover sheet, attachments, and documents

> Documents to be recorded (including cover sheet) should be faxed to (703) 3065995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 223131450

Name of Person Signing

PATENT REEL: 019066 FRAME: 0471

## **ASSIGNMENT**

For good and valuable consideration, I, DINGGUO CHEN, residing at 9687 Marshall Rd., Eden Prairie, MN 55347, a citizen of China; hereinafter referred to as Assignor, hereby sell, assign and transfer to SIEMENS POWER TRANSMISSION & DISTRIBUTION, INC., a Corporation, organized and existing under the laws of Delaware, having its principal place of business at 7000 Siemens Road, Wendell, North Carolina 27591, hereinafter referred to as Assignee, its successors, assigns and legal representatives, the entire right, title and interest, in and for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters patent, which has been executed by the undersigned concurrently herewith, and is entitled:

## APPARATUS AND METHOD FOR PREDICTIVE CONTROL OF A POWER GENERATION SYSTEM

in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said inventions;

Assignor agrees that Assignee may apply for and receive Letters Patent for said inventions in its own name;

Assignor agrees that, at the request of the Assignee and, without charge to but at the expense of said Assignee, Assignor will carry out in good faith the intent and purpose of this Assignment; execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to Assignee, all facts known to the undersigned relating to said inventions and the history thereof; and generally do everything possible which Assignee shall consider desirable for aiding in securing and mainlining proper patent protection for said inventions and for vesting title to said inventions, and all applications for patents and all patents on inventions in said Assignee;

PATENT REEL: 019066 FRAME: 0472 Covenant with Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

STATE OF Minnesota COUNTY OF Henneson DINGGUO CHEN

On this <u>a = day of law</u>, 2007, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared DINGGUO CHEN, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.

CHARLOTTE ANN DAVIS

Notary Public-Mirrnesota
My Commission Expires Jan 31, 2010

Notary Public

My commission expires:

PATENT

**REEL: 019066 FRAME: 0473** 

**RECORDED: 03/06/2007**