

Docket No.: YOR920060247US2

FORM PTO-1585 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08A/REV03

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) **Bonnie K. RAY**
2) **Mary E. HELANDER**
3) **Richard C. HENDERSON**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1) 09/27/06; 2) 09/25/06; 3) 11/06/06

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**Address: **New Orchard Road**City: **Armonk** State/Prov.: **New York**Country: **USA** ZIP: **10504**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

11/619,566

January 3, 2007

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Sean M. McGinn, Esq.**Registration No. **34,386**Address: **McGinn IP Law Group, PLLC****8321 Old Courthouse Road****Suite 200**City: **Vienna** State/Prov.: **VA**Country: **USA** ZIP: **22182-3817**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0510

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

Name of Person Signing

Signature

March 27, 2007

Date

Total number of pages including cover sheet, attachments, and document: **1**

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

700317533

REEL: 019073 FRAME: 0637

CH \$40.00 500510 11619566

(IBM Docket No.: YOR920060247US1)

ASSIGNMENT**Whereas, we**

Inventor and City	(1) Bonnie K. Ray County of Rockland	City of South Nyack and State of New York
Inventor and City	(2) Mary E. Helander County of Westchester	City of North White Plains and State of New York
Inventor and City	(3) Richard C. Henderson County of Shelby	City of Germantown and State of Tennessee

have invented certain improvements in

Title **METHOD AND APPARATUS FOR FORMALIZING INFORMATION TECHNOLOGY (IT) BUSINESS
DECISION MAKING**

**Dates That
Inventors
Signed the
Declaration** and have executed, respectively, a United States patent application therefor on

(1) Sept. 27, 2006, (2) 2006, and (3) 2006

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(IBM Docket No.: YOR920060247US1)

City (1) Signed at *Yorktown Hts, NY*
Date on *Sept. 27, 2006*
Bonnie K. Ray signature
(*Bonnie* *K.* *Ray*) inventor
First Name Middle Initial Last Name

City (2) Signed at
Date on

(*Mary* *E.* *Helander*) signature
First Name Middle Initial Last Name inventor

City (3) Signed at
Date on

(*Richard* *C.* *Henderson*) signature
First Name Middle Initial Last Name inventor

(IBM Docket No.: YOR920060247US1)

ASSIGNMENT

Whereas, we

Inventor and City	(1) Bonnie K. Ray County of Rockland	City of South Nyack and State of New York
Inventor and City	(2) Mary E. Helander County of Westchester	City of North White Plains and State of New York
Inventor and City	(3) Richard C. Henderson County of Shelby	City of Germantown and State of Tennessee

have invented certain improvements in

Title **METHOD AND APPARATUS FOR FORMALIZING INFORMATION TECHNOLOGY (IT) BUSINESS
DECISION MAKING**

Dates That and have executed, respectively, a United States patent application therefor on
Inventors
Signed the (1) 2006, (2) 9/25, 2006, and (3) 2006
Declaration

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

1970-01-01 07:15

ushw2140

914-784-3880 >> 7037612375

P 6/8

(IBM Docket No.: YOR920060247US1)

City (1) Signed at

Date on

(Bonnie K. Ray) signature
First Name Middle Initial Last Name inventor

City (2) Signed at N. White Plains, NY

Date on Sept. 25, 2006

(Mary E. Helander) signature
First Name Middle Initial Last Name inventor

City (3) Signed at

Date on

(Richard C. Henderson) signature
First Name Middle Initial Last Name inventor

(IBM Docket No.: YOR920060247US1)

ASSIGNMENT**Whereas, we**

Inventor and City	(1) Bonnie K. Ray County of Rockland	City of South Nyack and State of New York
Inventor and City	(2) Mary E. Helander County of Westchester	City of North White Plains and State of New York
Inventor and City	(3) Richard C. Henderson County of Shelby	City of Germantown and State of Tennessee

have invented certain improvements in

Title	METHOD AND APPARATUS FOR FORMALIZING INFORMATION TECHNOLOGY (IT) BUSINESS DECISION MAKING
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Dates That Inventors Signed the Declaration	and have executed, respectively, a United States patent application therefor on
(1)	2006, (2) 2006, and (3) 2006

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(IBM Docket No.: YOR920060247US1)

City (1) Signed at

Date on

(Bonnie K. Ray) signature
First Name Middle Initial Last Name inventor

City (2) Signed at

Date on

(Mary E. Helander) signature
First Name Middle Initial Last Name inventor

City (3) Signed at

Date on 11/6/2006

(Richard C. Henderson) signature
First Name Middle Initial Last Name inventor

LAW OFFICES OF
McGINN INTELLECTUAL PROPERTY LAW GROUP, PLLC

A PROFESSIONAL LIMITED LIABILITY COMPANY
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW
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DONALD A. DIPALULA†
†MEMBER OF BAR OTHER THAN VA

March 27, 2007

VIA FACSIMILE
(10 Pages Total)

To: Automated Patent and Trademark
Assignment System
U.S.P.T.O.

Facsimile No.: (571) 273-0140

From: Sean M. McGinn, Esq.

Facsimile No.: (703) 761-2375 or 76

Re: Enclosed Submission of Assignment

U.S. Patent Application Serial No.: 11/619,566

Attorney Docket No.: YOR920060247US1

Our Reference: YOR.656

Enclosed are (1) Submission of Assignment with Certificate of Facsimile Transmission,
(2) Recordation Form Cover Sheet, and (3) executed Assignment document, which we request
that you record in the above-referenced application.

Please charge Assignee's Deposit Account No. 50-0510 the amount of \$40.00 for the
assignment recordation fee.

Very truly yours,



Sean M. McGinn, Esq.
Registration No. 34,386

SMM/jr
Enclosures

Docket No. YOR920060247US1
YOR.656
Serial No.: 11/619,566

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Bonnie K. Ray

Serial No.: 11/619,566

Group Art Unit: 2121

Filing Date: January 3, 2007

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR FORMALIZING INFORMATION
TECHNOLOGY (IT) BUSINESS DECISION MAKING

Honorable Commissioner of Patents
Alexandria, Virginia 22313-1450

SUBMISSION OF ASSIGNMENT

Sir:

Kindly record the attached Assignment from the inventors to International Business Corporation for the above-identified patent application. Please charge Assignee's Deposit Account No. 50-0510 the amount of \$40.00 for the assignment recordation fee.

The Commissioner is authorized to charge any deficiencies in fees and credit any overpayment of fees to Assignee's Deposit Account No. 50-0510.

Respectfully submitted,



Sean M. McGinn, Esq.
Registration No. 34,386

Date: 3/27/07

**MCGINN INTELLECTUAL PROPERTY
LAW GROUP, PLLC**

8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100

Customer No. 48150

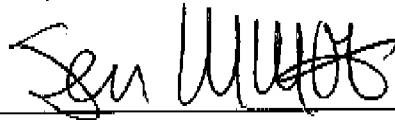
Docket No. YOR920060247US1

YOR.656

Serial No.: 11/619,566

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that I am filing this Assignment by facsimile with the Automated Patent and Trademark Assignment System of the United States Patent and Trademark Office at fax number (571) 273-0140 this the 27th day of March, 2007.

A handwritten signature in black ink, appearing to read "Sean McGinn", written over a horizontal line.

Sean M. McGinn
Registration No. 34,386