

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Stephen J. Klaus
David Y. Liu
Irina Aizman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1/4/2007, 1/4/2007, 3/27/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: FibroGen, Inc.

Internal Address: _____

Street Address: 225 Gateway Boulevard

City: South San Francisco

State: California

Country: US Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
11/586,318

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Christopher Turner, Ph.D.

Internal Address: FibroGen, Inc.

Street Address: 225 Gateway Boulevard

City: South San Francisco

State: California Zip: 94080

Phone Number: 650-866-7265

Fax Number: 650-866-7266

Email Address: cturner@fibrogen.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

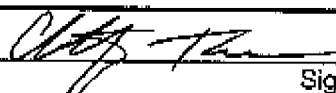
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0811

Authorized User Name Christopher Turner, Ph.D.

9. Signature:



Signature

2 April 2007

Date

Christopher Turner, Ph.D., Reg. No. 45,167

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500811 11586318

Attorney Docket No. FP0815 US

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned, agree, for good and valuable consideration, receipt of which is hereby expressly acknowledged, to hereby sell, assign, and transfer unto

FibroGen, Inc.
225 Gateway Blvd.
South San Francisco, CA 94080

a corporation organized under the laws of the state of Delaware, as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

CONNECTIVE TISSUE GROWTH FACTOR SIGNALING

described in an application for Letters Patent of the United States, identified as Attorney Docket No. **FP0815 US**, filed on **14 July 2006** as U.S. Application No. **10/586,318**, and the inventions and improvements set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for the application for Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of the Letters Patent. We request that any and all Letters Patent for the inventions be issued to the Assignee, to its successors, assigns, and legal representatives, or to such nominees as it may designate.

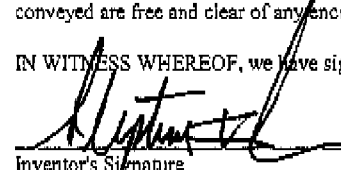
We agree that, when requested, we will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title therein in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

We authorize and empower the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose, and, more particularly, is proof of the right of the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to claim the aforesaid benefit of the right of priority provided by the International Convention which may henceforth be substituted for it.

We covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have signed our names on the day and year set forth below.

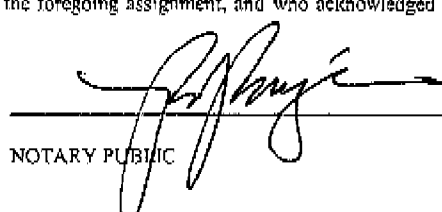

 Inventor's Signature

Jan 4th, 2007
 DATE

STEPHEN J. KLAUS
 Inventor's Printed Name

STATE OF California)
) SS
 COUNTY OF San Mateo)

On this 4th day of January, 2007, before me personally appeared **STEPHEN J. KLAUS** who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.


 NOTARY PUBLIC

(seal of Notary)



U.S. App. No. 11/418,326

PATENT**REEL: 019108 FRAME: 0600**

Attorney Docket No. FP0815 US

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned, agree, for good and valuable consideration, receipt of which is hereby expressly acknowledged, to hereby sell, assign, and transfer unto

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225 Gateway Blvd.
South San Francisco, CA 94080

a corporation organized under the laws of the state of Delaware, as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

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We agree that, when requested, we will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

We authorize and empower the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose, and, more particularly, is proof of the right of the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to claim the aforesaid benefit of the right of priority provided by the International Convention which may henceforth be substituted for it.

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IN WITNESS WHEREOF, we have signed our names on the day and year set forth below.

David Y. Liu
 Inventor's Signature

1/4/07
 DATE

DAVID Y. LIU
 Inventor's Printed Name

STATE OF California)
) SS
 COUNTY OF San Mateo)

On this 4th day of January, 20 07, before me personally appeared **DAVID Y. LIU** who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

(seal of Notary)



[Signature]
 NOTARY PUBLIC

U.S. App. No. 11/418,326
PATENT

REEL: 019108 FRAME: 0601

Attorney Docket No. FP0815 US

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IN WITNESS WHEREOF, we have signed our names on the day and year set forth below.

IRINA AIZMAN
Inventor's Signature

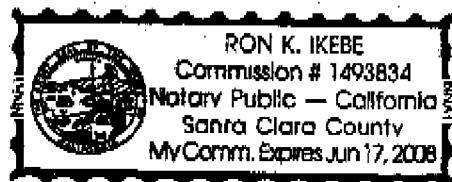
3/27/07
DATE

IRINA AIZMAN
Inventor's Printed Name

STATE OF California)
COUNTY OF San Mateo (RT)) SS
Santa Clara

On this 27th day of March, 20 07, before me personally appeared **IRINA AIZMAN** who is personally known by me or proved to me on the basis of satisfactory evidence to be the person who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

(seal of Notary)



Ron K Ikebe
NOTARY PUBLIC

U.S. App. No. 11/418,326
PATENT

REEL: 019108 FRAME: 0602

FIBROGEN, INC.

225 Gateway Boulevard
South San Francisco CA 94080
Main: 650-866-7200

Michael S. Moores
Senior Patent Paralegal
Telephone (Direct): 650-866-7323
Facsimile: 650-866-7292
mmoores@fibrogen.com

COVER SHEET

The attached transmission contains information of a confidential and proprietary nature subject to attorney-client privilege and is intended only for review by the addressees named below.

Date: Monday, 2 April 2007

To: United States Patent & Trademark Office
Assignment Recordation Services

Fax No.: (571) 273-0140

From: Michael Moores for Christopher Turner, Ph.D., Reg. No. 45,167

No. of pages, including this cover sheet: 6

(Facsimile Cover Sheet)
(Recordation Cover Sheet (in duplicate))
(Assignment (3pgs))

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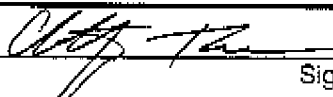
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b. Deposit Account Number 50-0811

Authorized User Name Christopher Turner, Ph.D.

9. Signature:



Signature

Christopher Turner, Ph.D., Reg. No. 45,167

Name of Person Signing

2 April 2007

Date

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