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Form PTO-1595

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Attorney Docket No. SWL-029

3-29-07

1. Name of conveying party(ies):

Yisheng Dai
Sicco W.T. Westra

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Southwall Technologies, Inc.
3788 Fabian Way
Palo Alto, California 94303

Additional name(s) & address(es) attached? Yes No

Corporation of: **Delaware**

3. Nature of conveyance:

- Assignment
- Security Agreement
- Merger
- Other

Change of Name

Execution Date(s): **October 3, 2006**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

11/524,992; filed 09/21/2006

Additional numbers attached? Yes No

OFFICE OF THE ASSISTANT SECRETARY FOR PATENT AND TRADEMARK POLICY
FINANCE SECTION
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5. Name and address of party to whom correspondence concerning document should be mailed:

Terry McHugh
Law Offices of Terry McHugh
101 First Street - PMB 560
Los Altos, CA 94022

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed (Credit Card Payment Form)
- Authorized to be charged to deposit account

8. Deposit account number:

13-0468

(for fee deficiency only)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Terry McHugh

Name of Person Signing

Signature

March 26, 2007

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, the undersigned, Yisheng Dai, a resident of 1901 Halford Avenue #10, Santa Clara, California 95051, and Sicco W.T. Westra, a resident of 10574 Blandor Way, Los Altos Hills, California 94024, hereinafter referred to as Assignors, have co-invented certain new and useful improvements in an invention entitled SEPARATED GRAY METAL AND TITANIUM NITRIDE SOLAR CONTROL MEMBERS, for which an application for Letters Patent was filed in the United States Patent and Trademark Office on September 21, 2006 and assigned Application No. 11/524,992;

WHEREAS, Southwall Technologies, Inc., a corporation of the State of Delaware, having an address of 3788 Fabian Way, Palo Alto, California 94303, hereinafter referred to as Assignee, is desirous of acquiring the entire right, title and interest in and to said application and said invention and improvements thereon, and in and to Letters Patent thereon when granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by said Assignors from said Assignee, the receipt and sufficiency of which in full are hereby acknowledged by said Assignors:

1. Said Assignors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest in and to said application and said invention and in and to any and all improvements on said invention heretofore or hereafter made or acquired by said Assignors; and in and to any and all Letters Patent on said invention and/or said improvements that may be granted in the United States or any foreign country, including each and every Letters Patent granted on any application which is a division, continuation, substitution, renewal, or continuation-in-part of any of said application specifically identified herein, and in and to each and every reissue or extension of said Letters Patent.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee whereby said Assignee may enjoy to the fullest extent the right, title and interest herein conveyed. Such cooperation shall include (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect in it the right, title and interest herein conveyed; (b) prompt execution of all petitions, oaths, specifications or other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee for prosecuting said application, for filing and prosecuting divisional, continuation, substitution, renewal, continuation-in-part, or additional applications in the United States and/or foreign countries covering said invention and/or said improvements, for filing and prosecuting applications for reissuance of Letters Patent included herein, or for interference proceedings involving said invention and/or said improvements; (c) prompt assistance and cooperation in the prosecution of interference proceedings involving said invention and/or said improvements and in the adjudication of said Letters Patent, particularly by the disclosure of facts and the production of evidence relating to said invention and/or said improvements, provided the expenses


which may be incurred by said Assignors in lending such assistance and cooperation shall be paid by the Assignee.

3. The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives, and shall be binding upon said Assignors, their heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered into any assignment, contract or understanding in conflict herewith.

IN WITNESS WHEREOF, said Assignors have executed and delivered this instrument on the date indicated below.

Date: 10/3/2006


10/3/2006
Yisheng Dai

Date: OCTOBER 3, 2006


OCTOBER 3, 2006
Sicco W.T. Westra