

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

- 1) Richard MARTIN
- 2) Brenton Todd FLATT
- 3) Jeffrey Dean KAHL

Additional name of conveying party(ies) attached? ☐ Yes ☒ No**2. Name and address of receiving party(ies)**Name: EXELIXIS, INC.Internal Address: ATTN: Patent DepartmentStreet Address: 210 East Grand AvenueP.O. Box 511City: South San FranciscoState: CaliforniaCountry: USA Zip: 94083-0511Additional Name(s) & address(es) attached? ☐ Yes ☒ No**3. Nature of conveyance:**Execution Date(s) 1 and 2) 03/23/2007; 3) 03/27/2007

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☐ Other \_\_\_\_\_

**4. Application number(s) or patent number(s):**

A. Patent Application No.(s)  
11/573,614

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning this document should be mailed:**Name: Peter Seperack, Esq.Internal Address: EXELIXIS, INC.Street Address: 210 East Grand AvenueP.O. Box 511City: South San FranciscoState: California Zip: 94083-0511Phone Number: 650 837-7284Fax Number: 650 837-8234Email Address: pseperac@exelixis.com**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
- ☒ Authorized to be charged to deposit account
- ☐ Enclosed
- ☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-1108Authorized User Name Joyce G. Cohen**9. Signature :**

Signature

Joyce G. Cohen, Reg. No. 44,622

April 4, 2007

Date

Total number of pages including cover sheet, attachments, and documents

5

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

**ASSIGNMENT**

Case No.: 05-1077-A9

Inventors: Martin et al.

Int'l Filing Date: August 9, 2005

Serial No.: 11/573,614

Date of Execution

of Application:

February 12, 2007

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

**Exelixis, Inc.**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**Heterocyclic Compounds as Pharmaceutical Agents**

and identified as:

**Case No. 05-1077-A9**

in the offices of McDONNELL BOEHNNEN HULBERT & BERGHOFF LLP and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

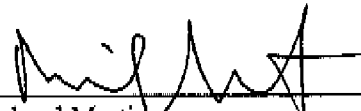
The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

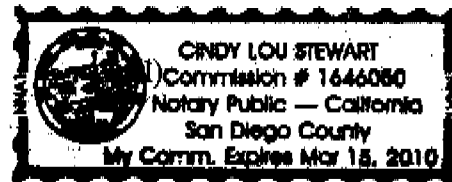
WITNESS my hand and seal this 23 day of March, 2007.

  
Richard Martin

STATE OF CALIFORNIA )  
COUNTY OF San Diego ) ss.

On March 23, 2007 before me, Cindy Lou Stewart (here insert name and title of the officer), personally appeared **Richard Martin**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



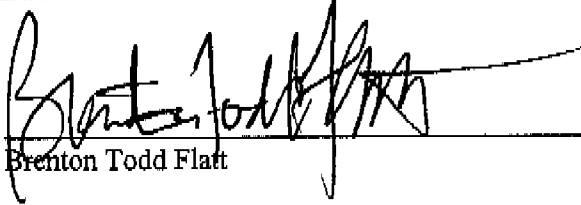


Signature of Notary Public

Print Name: Cindy Lou Stewart

My Commission Expires: March 15, 2010

WITNESS my hand and seal this 23 day of March, 2007.

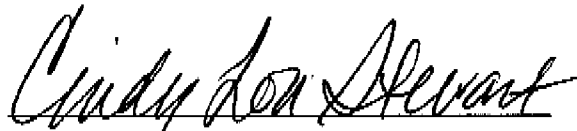
  
Brenton Todd Flatt

STATE OF CALIFORNIA )  
COUNTY OF San Diego ) ss.

On 3/23/07 before me, Cindy Lou Stewart (here insert name and title of the officer), personally appeared **Brenton Todd Flatt**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.






Signature of Notary Public

Print Name: Cindy Lou Stewart

My Commission Expires: March 15, 2010

WITNESS my hand and seal this 27 day of March, 2007.

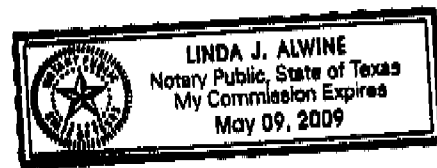
  
 Jeffrey Dean Kahl


TEXAS  
 STATE OF ~~CALIFORNIA~~ )  
 ) ss.  
 COUNTY OF BEXAR )

On 03/27/07 before me, LINDA J. ALWINE (here insert name and title of the officer), personally appeared Jeffrey Dean Kahl, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Seal)



  
 Signature of Notary Public  
 Print Name: LINDA J. ALWINE  
 My Commission Expires: MAY 09, 2009