

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Alfanix	11/13/2006
RECEIVING PARTY DATA	
Name:	Research Foundation of the City University of New York
Street Address:	230 West 41st Street
City:	New York
State/Country:	NEW YORK
Postal Code:	10031
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11656738
CORRESPONDENCE DATA	
Fax Number:	(914)723-4301
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	9147234300
Email:	MGREENSPAN@LSLLP.com
Correspondent Name:	Myron Greenspan
Address Line 1:	1 Chase Road
Address Line 2:	Lackenbach Siegel LLP
Address Line 4:	Scarsdale, NEW YORK 10583
ATTORNEY DOCKET NUMBER:	ALFANIX.P2
NAME OF SUBMITTER:	Myron Greenspan
Total Attachments: 4 source=alfanixcoversheet#page1.tif source=alfanixassignment#page1.tif source=alfanixassignment#page2.tif	

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PATENT  
REEL: 019126 FRAME: 0265



## RECORDATION FORM COVER SHEET

## PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Alfanix

## 2. Name and address of receiving party(ies)

Name: Research Foundation of the

City University of New York

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Street Address: 230 West 41st Street

7th Floor

City: New York State: NY Zip: 10031

Execution Date: November 13, 2006

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 11/656,738

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Myron Greenspan, Esq.

Internal Address: \_\_\_\_\_

Street Address: Lackenbach Siegel, LLP

One Chase Road

City: Scarsdale State: NY Zip: 10583

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number:

10-0100

DO NOT USE THIS SPACE

## 9. Signature.

Myron Greenspan, Esq.

Name of Person Signing



Signature

April 5, 2007

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 019126 FRAME: 0267

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Alfanix Technology Limited

Corporate Address:

3777 Independence Avenue,

Riverdale, NY 10463

State of Incorporation:

New York

having obtained ownership from the inventors by assignment, hereby sells, assigns and transfers to

ASSIGNEE:

Research Foundation of the City University of New York

(Type or print name of ASSIGNEE)

Address:

555 West 57<sup>th</sup> Street, 11<sup>th</sup> Floor

New York, New York 10019

State of Incorporation:

New York

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

Changing Skin Color Perception Using Quantum and  
Optical Principles in Cosmetic Preparation

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S application Serial No. 11/656,738 filed on January 22, 2007  
(e) ☐ International application No. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.


ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

Dated:

November 13, 2006

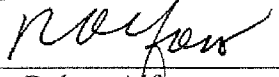
Alfanix Technology Limited

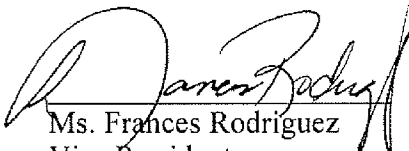
By   
(signature)

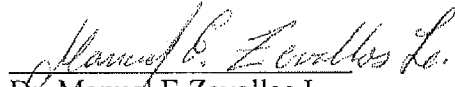
Robert R. Alfano  
President/CEO

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Approved by Alfanix's Board of Directors on November 13, 2006

  
Dr. Robert Alfano  
President/CEO

  
Ms. Frances Rodriguez  
Vice President

  
Dr. Manuel E. Zevallos L.  
Chief Technical Officer/COO