

Form PTO-1595 (Rev. 08/05)
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Alan P. Kozikowski (7/6/2006) and Jia Zhou
(7/3/2006)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Georgetown University

Internal Address: _____

Street Address: _____

Office of Technology Licensing
3300 Whitehaven Street
Harris Building Suite 1500

City: Washington

State: District of Columbia

Country: United States of America Zip: 20007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

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☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

10/576,620

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Dana M. Gordon
FOLEY HOAG LLP

Internal Address: Atty. Dkt.: GUX-010.01

Street Address: 155 Seaport Blvd.
World Trade Center West

City: Boston

State: MA Zip: 02210

Phone Number: (617) 832-1000

Fax Number: (617) 832-7000

Email Address: dmg@foleyhoag.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

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Authorized User Name Dana M. Gordon

9. Signature:



Signature

April 6, 2007

Date

Dana M. Gordon - 44,719

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

CH \$40.00 061448 10576620

INVENTORS' ASSIGNMENTS

APPL. No.: 10/576,620

DOCKET No.: GUX-010.01

PAGE 1

ASSIGNMENT

We, Alan P. Kozikowski and Jia Zhou, for valuable consideration received from Georgetown University, a Congressionally Chartered Institution of Higher Education located in the District of Columbia, having principal offices at 37th & O Streets Northwest, Washington, DC 20057, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

GEORGETOWN UNIVERSITY

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

*"DOPAMINE-, NOREPINEPHRINE-, AND SEROTONIN-TRANSPORTER-SELECTIVE
HETEROCYCLIC COMPOUNDS AND THEIR THERAPEUTIC APPLICATIONS"*

described in application Serial No. 10/576,620 filed on April 21, 2006 in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand this 6 day of July, 2006.

By: Alan P. Kozikowski

Alan P. Kozikowski

Then personally appeared the above-named Alan P. Kozikowski and acknowledged the foregoing instrument to be his free act and deed, before me, this 6 day of July, 2005.

Arsen Gaysin
Witness signatureArsen Gaysin

Witness Name (please print)

INVENTORS' ASSIGNMENTS
APPL. NO.: 10/576,620
DOCKET NO.: GUX-010.01

PAGE 2

WITNESS my hand this 3rd day of July, 2006.

By: 

Jia Zhou

Then personally appeared the above-named Jia Zhou and acknowledged the foregoing instrument to be his free act and deed, before me, this 3rd day of July, 2006.



Witness signature

WERNER TUECKMANTEL

Witness Name (please print)



**FOLEY
HOAG** LLP
ATTORNEYS AT LAW

Fax

Date: April 6, 2007

U.S. Patent and Trademark
To: Assignment Branch Fax #: 571-273-0140 Confirm#: 571-272-1149

Client Matter#: 24201-1001 (GUX-010.01)

From: Dana M. Gordon Sender's Number: 617-832-1765 User #: 0685
Total Pages Sent (Including Cover Sheet): 4 Office: Boston

Message

Re: U.S. Patent Application No.: 10/576,620
Based on PCT/US2004/035162 Filed 10/22/04
Filed: April, 21 2006
Title: *Dopamine-, Norepinephrine-, and Serotonin-Transporter-Selective
Heterocyclic Compounds and Their Therapeutic Applications*
Applicant: **Georgetown University**
Inventors: Kozikowski, Alan P. *et al.*
Our Reference No.: GUX-010.01 (24201-1001)

Transmitted herewith are the following documents:

This fax cover sheet (1 page);
Recordation Form Cover Sheet (1 page); and
Executed Assignments (2 pages).

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