

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

- 1) Antoni Kozlowski
2) John Handley

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) See 1 in Addendum

- Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other

2. Name and address of receiving party(ies)

Name: Nektar Therapeutics AL, Corporation

Internal Address: _____

Street Address: 490 Discovery Drive

City: Huntsville

State: AL

Country: _____ Zip: 35806

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)
11/490,268

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark A. Wilson, Nektar Therapeutics

Internal Address: _____

Street Address: 150 Industrial Road

City: San Carlos

State: CA Zip: 94070

Phone Number: (650) 631-3100

Fax Number: (650) 620-6395

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 500348

Authorized User Name _____

9. Signature:

Mark A. Wilson
Signature

March 30, 2007
Date

Mark A. Wilson
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

16

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 600348 11490268

ATTACHMENT FOR RECORDATION FORM COVER SHEET PATENTS ONLY (Form PTO-1595)

1. Name of conveying party(ies):

3) Anthony G. Schaefer _____

4) Brian Bray _____

5) Ryan Odom _____

6) Tony L. Sander _____

Additional name(s) of conveying party(ies) attached?

Yes No

2. Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

Yes No

Attachment to Form PTO - 1595
Recordation Form Cover Sheet

1. 1) September 8, 2006; 2) October 23, 2006; 3) September 8, 2006;
4) September 11, 2006; 5) September 8, 2006; 6) September 11, 2006

Atty Docket No. SHE0124.00

A S S I G N M E N T

WHEREAS, We ANTONI KOZLOWSKI, JOHN HANDLEY, ANTHONY G. SCHAEFER, BRIAN BRAY, RYAN ODOM and TONY L. SANDER, hereinafter referred to as ASSIGNORS, have invented a new and unobvious invention entitled:

METHOD FOR PREPARING POLYMER MALEIMIDES

for which application for Letters Patent of the United States such application being identified by having been granted Serial No. 11/490,268, a filing date of July 19, 2006; and

WHEREAS, NEKTAR THERAPEUTICS AL, CORPORATION, a Delaware corporation having a place of business at 490 Discovery Drive, Huntsville, Alabama 35806, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

WHEREAS, ASSIGNORS acknowledge that pursuant to their employment agreements and the terms of this instrument, they are under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of the referred-to application for Letters Patent and any improvements thereof and all divisions, continuations and continuations-in-part thereof, to NEKTAR THERAPEUTICS AL, CORPORATION, its successors, legal representatives and assigns in accordance with this instrument;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS:

Antoni Kozlowski
2209 Fairfax Drive
Huntsville, Alabama 35811
Nationality: Poland

John Handley
128 Darlington Road
Huntsville, Alabama 35801
Nationality: United States of America

Anthony G. Schaefer
2208 Shades Crest Road
Huntsville, Alabama 35801
Nationality: United States of America

Brian Bray
512 Farmingdale Road
Huntsville, Alabama 35803
Nationality: United States of America

Ryan Odom
232 Turtle Creek Drive
Huntsville, Alabama 35806
Nationality: United States of America

Tony L. Sander
115 Lillians Way
Madison, Alabama 35758
Nationality: United States of America

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE, the entire right, title and interest in and to said application and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to each and every reissue or extension of said Letters Patent.

2. ASSIGNORS hereby warrant, covenant and represent the fact to be that they have not theretofore granted any license, right or privilege in respect to the said application or said invention or improvements, or in any other way encumbered the same, and that they have the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. ASSIGNORS covenant and agree that at the request and expense of ASSIGNEE they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent in ASSIGNEE, and execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by

ASSIGNEE, for reissuance of said Letters Patent, or for the filing of foreign countries of applications for Letters Patent conferring said invention or improvements.

ASSIGNORS further covenant and agree that at the expense and request of ASSIGNEE they will promptly assist ASSIGNEE in interference proceedings involving said invention or improvements, and in litigation involving said Letters Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention or improvements.

4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other, legal representatives, and shall be binding upon ASSIGNORS, their heirs, legal representatives and assigns.

5. I/We hereby authorize and request the attorneys I/We have empowered in the Declaration and Power of Attorney in this application, (application number 11/490,268), filed July 19, 2006.

6. ASSIGNORS hereby authorize and request the COMMISSIONER FOR PATENTS to issue the United States Letters Patent on their invention, when granted, unto

NEKTAR THERAPEUTICS AL, CORPORATION

its successors, assigns and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, ANTONI KOZLOWSKI, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 8-th DAY OF September, 2006.

Antoni Kozlowski
Antoni Kozlowski

County of Madison

On September 8, 2006 before me Evamarie Green

personally appeared Antoni Kozlowski, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Evamarie Green
My commission expires: 10/28/2009

IN TESTIMONY WHEREOF, I, JOHN HANDLEY, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS _____ DAY OF _____, 2006.

John Handley

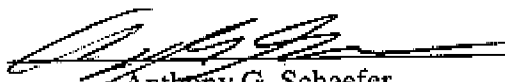
County of _____

On _____ before me

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

IN TESTIMONY WHEREOF, I, ANTHONY G. SCHAEFER, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 8th DAY OF September, 2006.

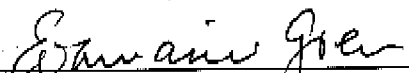

Anthony G. Schaefer

County of Madison

On Sep 8, 2006 before me Evmarie GEEK

personally appeared Anthony G. Schaefer, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.


My Commission expires 10/28/2009

IN TESTIMONY WHEREOF, I, **BRIAN BRAY**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 11 DAY OF September, 2006.

Brian Bray
Brian Bray

County of Madison

On Sep 11, 2006 before me Evamarie Green personally appeared Brian Bray, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Evamarie Green
My commission expires 10/28/2009

IN TESTIMONY WHEREOF, I, **RYAN ODOM**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 8th DAY OF September, 2006.

Ryan Odom
Ryan Odom

County of Madison

On Sep 8, 2006 before me Evamarie Green personally appeared Ryan Odom, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Evamarie Green
My commission expires 10/28/2009

IN TESTIMONY WHEREOF, I, TONY L. SANDER, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 11th DAY OF September, 2006.

Tony L. Sander
Tony L. Sander

County of Madison

On Sep 11, 2006 before me Edamaric Green personally appeared Tony L. Sander, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Edamaric Green
My commission expires 10/28/2009

Atty Docket No. SHE0124.00

ASSIGNMENT

WHEREAS, We ANTONI KOZLOWSKI, JOHN HANDLEY, ANTHONY G. SCHAEFER, BRIAN BRAY, RYAN ODOM and TONY L. SANDER, hereinafter referred to as ASSIGNORS, have invented a new and unobvious invention entitled:

METHOD FOR PREPARING POLYMER MALEIMIDES

for which application for Letters Patent of the United States such application being identified by having been granted Serial No. 11/490,268, a filing date of July 19, 2006; and

WHEREAS, NEKTAR THERAPEUTICS AL, CORPORATION, a Delaware corporation having a place of business at 490 Discovery Drive, Huntsville, Alabama 35806, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to Letters Patent thereon, when granted in the United States and all foreign countries; and

WHEREAS, ASSIGNORS acknowledge that pursuant to their employment agreements and the terms of this instrument, they are under a continuing obligation to assign all right, title and interest in, to and under the invention which is the subject of the referred-to application for Letters Patent and any improvements thereof and all divisions, continuations and continuations-in-part thereof, to NEKTAR THERAPEUTICS AL, CORPORATION, its successors, legal representatives and assigns in accordance with this instrument;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS:

Antoni Kozlowski
2209 Fairfax Drive
Huntsville, Alabama 35811
Nationality: Poland

John Handley
128 Darlington Road
Huntsville, Alabama 35801
Nationality: United States of America

PATENT

REEL: 019144 FRAME: 0080

Anthony G. Schaefer
2208 Shades Crest Road
Huntsville, Alabama 35801
Nationality: United States of America

Brian Bray
512 Farmingdale Road
Huntsville, Alabama 35803
Nationality: United States of America

Ryan Odom
232 Turtle Creek Drive
Huntsville, Alabama 35806
Nationality: United States of America

Tony L. Sander
115 Lillians Way
Madison, Alabama 35758
Nationality: United States of America

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE, the entire right, title and interest in and to said application and said invention and improvements; including all priority rights under the International Convention associated with the filing of said application, for each country of the Union; and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign countries, including each and every Letters Patent granted on any application which is a division, substitution, continuation or continuation-in-part of any application relating to said invention or improvements, and in and to each and every reissue or extension of said Letters Patent.

2. ASSIGNORS hereby warrant, covenant and represent the fact to be that they have not theretofore granted any license, right or privilege in respect to the said application or said invention or improvements, or in any other way encumbered the same, and that they have the full right to convey, free of all licenses and encumbrances, the entire interest hereby assigned.

3. ASSIGNORS covenant and agree that at the request and expense of ASSIGNEE they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent in ASSIGNEE, and execute all oaths and other papers necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by

ASSIGNEE, for reissuance of said Letters Patent, or for the filing of foreign countries of applications for Letters Patent conferring said invention or improvements.

ASSIGNORS further covenant and agree that at the expense and request of ASSIGNEE they will promptly assist ASSIGNEE in interference proceedings involving said invention or improvements, and in litigation involving said Letters Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention or improvements.

4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other, legal representatives, and shall be binding upon ASSIGNORS, their heirs, legal representatives and assigns.

5. I/We hereby authorize and request the attorneys I/We have empowered in the Declaration and Power of Attorney in this application, (application number 11/490,268), filed July 19, 2006.

6. ASSIGNORS hereby authorize and request the COMMISSIONER FOR PATENTS to issue the United States Letters Patent on their invention, when granted, unto

NEKTAR THERAPEUTICAL CORPORATION

its successors, assigns and other legal representatives in accordance with this agreement.

IN TESTIMONY WHEREOF, I, ANTONI KOZLOWSKI, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS _____ DAY OF _____, 2006.

Antoni Kozlowski

County of _____

On _____ before me

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

IN TESTIMONY WHEREOF, I, JOHN HANDLEY, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS 23rd DAY OF October, 2006.

County of St. Louis, MO

John Handley
John Handley

On Oct 23, 2006 before me

personally appeared John Handley, personally known to me/
proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

Marsha Sawyer



IN TESTIMONY WHEREOF, I, ANTHONY G. SCHAEFER, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS _____ DAY OF _____, 2006.

Anthony G. Schaefer

County of _____

On _____ before me

personally appeared _____, personally known to me/
proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

IN TESTIMONY WHEREOF, I, **BRIAN BRAY**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS _____ DAY OF _____, 2006.

Brian Bray

County of _____

On _____ before me

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

IN TESTIMONY WHEREOF, I, **RYAN ODOM**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS _____ DAY OF _____, 2006.

Ryan Odom

County of _____

On _____ before me

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

IN TESTIMONY WHEREOF, I, **TONY L. SANDER**, HAVE EXECUTED AND DELIVERED THIS INSTRUMENT THIS _____ DAY OF _____, 2006.

Tony L. Sander

County of _____

On _____ before me

personally appeared _____, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person, executed the instrument.

WITNESS my hand and official seal.

NEKTAR™

150 INDUSTRIAL ROAD
SAN CARLOS, CA 94070-6256
650-631-3100 • 650-631-3125 FAX

FACSIMILE TRANSMITTAL SHEET

TO: Commissioner for Patents
ASSIGNMENT BRANCH Fax No.: 1 571-273-0140

FROM: Mark A. Wilson Fax Reply: (650) 620-6395
Voice Reply: (650) 631-3100

DATE: March 30, 2007 Total Pages: 16
(including this page)

ATTN: RECORDATION OF ASSIGNMENT

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY PLEASE RECYCLE

RE: Serial No.: 11/490,268
Title: METHOD FOR PREPARING POLYMER MALEIMIDES
Inventors: Antoni Kozlowski, John Handley, Anthony G. Schaefer, Brian Bray,
Ryan Odom and Tony L. Sander

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facsimile in error, please notify us immediately by collect phone to the sender named above.