

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

(1) Leslie P. Duke  
(2) Wayne Barrett

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) (1) April 6, 2007; (2) April 9, 2007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

### 2. Name and address of receiving party(ies)

Name: Ballistics Research, Inc.

Internal Address: 475 Watters Street

Rome, Georgia 30161

Street Address: 475 Watters Street

City: Rome

State: Georgia

Country: U.S.A. Zip: 30161

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/620,810

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Womble Carlyle Sandridge & Rice

Internal Address: P.O. Box 7037

Atlanta, Georgia 30357-0037

Street Address: 1201 West Peachtree Street

Suite 3500

City: Atlanta

State: Georgia Zip: 30309

Phone Number: 404-962-7524

Fax Number: 404-870-8174

Email Address: steve.kerr@wgsr.com

### 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \$40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

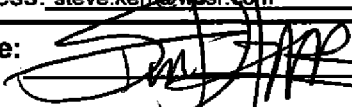
### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 09-0528

Authorized User Name \_\_\_\_\_

### 9. Signature:

 Signature

Steven D. Kerr, Registration No. 32,472

Name of Person Signing

April 11, 2007  
Date

Total number of pages including cover sheet, attachments, and documents:

5

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 090528 11620810

700319859

PATENT  
REEL: 019150 FRAME: 0314

**PATENT AND INVENTION ASSIGNMENT**

WHEREAS, the undersigned:

**Leslie P. Duke**, a citizen of the United States of America, residing at

Eight Ridgedale Drive, Silver Creek, Georgia 30173; and

**Wayne Barrett**, a citizen of the United States of America, residing at

1148 Siloam Church Road, Dahlonega, Georgia 30533,

(hereinafter referred to as "**Assignors**") have invented certain inventions and improvements disclosed in a non-provisional application for Letters Patent entitled **MODULAR POLYMERIC PROJECTILE ABSORBING ARMOR**, which application was filed in the United States Patent and Trademark Office on January 8, 2007, and assigned Patent Application No. 11/620,810;

WHEREAS, it is agreed that **BALLISTICS RESEARCH, INC.**, a Corporation of the State of Georgia, having its principal place of business at 475 Watters Street, Rome, Georgia 30161, is entitled to or is desirous of acquiring all right, title and interest related to the same;

NOW, THEREFORE, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, **Assignors**, by these presents, does sell, assign and transfer unto **BALLISTICS RESEARCH, INC.**, the full, exclusive and entire right, title and interest: (i) in and to all inventions and improvements disclosed and described in said application, (ii) in and to said application, (iii) in and to all divisional, continuation, and continuation-in-part applications describing in whole or in part the said inventions and improvements, preparatory to obtaining Patents of the United States therefor, (iv) in and to all patents of the United States which may be granted on the foregoing and all reissues and extensions thereof, (v) in and to any provisional patent applications filed in the United States describing in whole or in part the said inventions and improvements, from which priority may be claimed under Title 35 of the United States Code, and (vi) in and to the right to claim any applicable foreign and domestic priority rights arising from any of the afore-mentioned patent applications; and Assignors do hereby request the Commissioner of Patents to issue any and all Patents of the United States resulting from said application, or from a division, continuation, continuation-in-part, or reissue thereof, to **BALLISTICS RESEARCH, INC.** as Assignee, for its interest and for the sole use and behoof of **BALLISTICS RESEARCH, INC.** and its assigns and legal representatives;

For the same consideration, Assignors, by these presents does sell, assign and transfer to **BALLISTICS RESEARCH, INC.** the full, exclusive and entire right, title and interest: in and to any and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates and designs, (the "Foreign Applications") filed or which are hereafter filed in countries foreign to the United States and describing in whole or in part the said inventions and improvements, in and to any Patents and similar protective rights granted on said Foreign Applications, and in and to the right to file such applications and the right to claim any applicable priority rights arising from or required for said Foreign Applications under the terms of any applicable conventions, treaties, statutes, or regulations; said Foreign Applications to be filed and issued in the name of **BALLISTICS RESEARCH, INC.** or its respective designees, insofar as permitted by applicable law;

AND, for the same consideration, Assignors agree to communicate to the said **BALLISTICS RESEARCH, INC.**, its successors, legal representatives and assigns, any facts known to Assignors respecting said invention, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **BALLISTICS RESEARCH, INC.**, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

4-6-07  
Date

Leslie P. Duke  
LESLIE P. DUKE

STATE OF GEORGIA

COUNTY OF Floyd

Before me, a Notary Public in and for the County and State aforesaid, on this 6<sup>th</sup> day of April, 2007, personally appeared Leslie P. Duke, known to me to be the same person whose name is subscribed to the foregoing Patent and Invention Assignment, who being duly sworn acknowledged that he signed, sealed and delivered the said Patent and Invention Assignment as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal.

Carol J. Tucker  
NOTARY PUBLIC

(SEAL)

My Commission Expires: 4-27-10

4-09-07  
Date

Wayne Barrett  
WAYNE BARRETT

STATE OF GEORGIA

COUNTY OF Forsyth

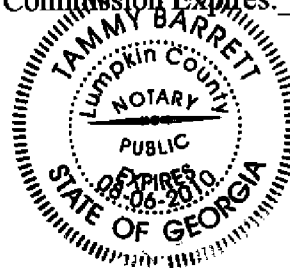
Before me, a Notary Public in and for the County and State aforesaid, on this 9th day of April, 2007, personally appeared Wayne Barrett, known to me to be the same person whose name is subscribed to the foregoing Patent and Invention Assignment, who being duly sworn acknowledged that he signed, sealed and delivered the said Patent and Invention Assignment as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal.

Tammy Barrett  
NOTARY PUBLIC

(SEAL)

My Commission Expires: 08/06/2010



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Leslie P. Duke  
Application No.: 11/620,810  
Filed: January 8, 2007  
For: MODULAR POLYMERIC PROJECTILE ABSORBING ARMOR

## CERTIFICATE OF FACSIMILE TRANSMISSION

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

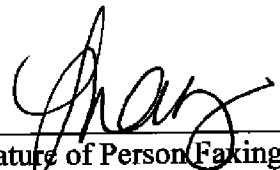
Sir:

I hereby certify that the following papers are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Recordation Form Cover Sheet -- in duplicate  
Patent Assignment

April 11, 2007  
Date

Sheila Gray  
(Printed Name of Person Faxing Corresp.)

  
(Signature of Person Faxing Corresp.)

WOMBLE  
CARLYLE  
SANDRIDGE  
& RICE

A PROFESSIONAL LIMITED  
LIABILITY COMPANY

ATLANTA  
CHARLOTTE  
RALEIGH  
RESEARCH TRIANGLE PARK  
WASHINGTON, D.C.  
WINSTON-SALEM

## FACSIMILE

Steven D. Kerr  
Direct Dial: (404) 962-7524  
Direct Fax: (404) 870-8174  
E-mail: [steve.kerr@wcsr.com](mailto:steve.kerr@wcsr.com)  
Attorney Number: 1406

TO: Assignment Recordation Services COMPANY: U.S. Patent Office

FAX: 571-273-0140 PAGES: 8 (including cover)

PHONE: DATE: April 11, 2007

RE: U.S. Patent Application No.: 11/620,810 ATTORNEY  
DOCKET/REF. NO. B219 1021.1

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In re application of: Leslie P. Duke

Application No.: 11/620,810

Filed: January 8, 2007

For: MODULAR POLYMERIC PROJECTILE ABSORBING ARMOR

Attached in connection with the above-identified patent application are the following:

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Assignment

Certificate of Facsimile Transmission

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Rome, Georgia 30161

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Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Womble Carlyle Sandridge & Rice

Internal Address: P.O. Box 7037

Atlanta, Georgia 30357-0037

Street Address: 1201 West Peachtree Street

Suite 3500

City: Atlanta

State: Georgia Zip: 30309

Phone Number: 404-962-7524

Fax Number: 404-870-8174

Email Address: steve.kerr@wcr.com

### 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \$40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
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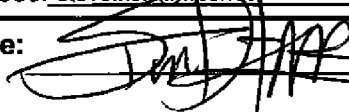
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RECORDED: 04/11/2007

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