

04-10-2007

Attorney Docket No. 8023-1039

Form PTO-1595

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U.S. Department of Commerce
U.S. Patent and Trademark Office

To the Honorable Commissioner of P.

103392526

ached original documents or copy thereof.

1. Name of Conveying Party(ies):
Tatsuya UCHIKATA

2. Name and address of receiving party(ies)

Name: **NEC Corporation**

Address: **7-1, Shiba 5-chome
Minato-ku
Tokyo
JAPAN**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: **January 26, 2007**

Additional name(s) and address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer No.: **00466**

YOUNG & THOMPSON

Second Floor

745 South 23rd Street

Arlington, VA 22202

Phone: 703-521-2297

Fax: 703-685-0573

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41).....**\$40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account No. **25-0120**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benoit Castel

Name of Person Signing


Signature

March 23, 2007

Date

Total number of pages including cover sheet, attachments, and documents: **2**

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Y&T March 22, 2007

PATENT
REEL: 019153 FRAME: 0720

ASSIGNMENT

WHEREAS, I/we, Tatsuya UCHIKATA of Tokyo, Japan, hereafter referred to as "applicant(s)", have invented certain new and useful improvements in ,
**DISPERSION COMPENSATION TYPE OPTICAL SIGNAL RECEIVING APPARATUS,
RECEIVING CIRCUIT, RECEIVING METHOD, AND RECEIVING PROGRAM**

- (a) for which an application for a United States patent was
filed on _____, Application No. _____,
- (b) for which an application for a United States patent was executed on January 26, 2007, and

WHEREAS, NEC Corporation, of 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan, hereinafter referred to as "assignee(s)", is desirous of acquiring the entire right, title and interest in and to the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, applicant(s), by these presents, do(es) hereby sell, assign and transfer unto said assignee(s) the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all patents which may be granted therefor in the United States, for its sole use and behoof, and for the use and behoof of its legal representatives, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by applicant(s) had this assignment and sale not been made.

Applicant(s) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue said United States patents to said assignee(s).

Date: January 26, 2007 Tatsuya Uchikata (内方)
Tatsuya UCHIKATA

Date: _____

Date: _____

Witnesses:

Kazufumi Takahashi
[Signature]