

Client/Matter No. 8627-1482 (PA-5852-RFB) DOCUMENT ID NO. 700317111

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below:

1. Name of conveying Party(ies)/Execution Date:

CHARLES W. AGNEW

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No**2. Name and Address of receiving Party(ies):**

Name: COOK INCORPORATED

Internal Address:

Street Address: 750 NORTH DANIELS WAY

City: BLOOMINGTON

State: INDIANA

Country: U.S.A. Zip: 47404

Additional name(s) and addresses attached? ☐ Yes ☒ No**3. Nature of Conveyance:**

Execution Date(s): December 21, 2006

- ☒ Assignment
 ☐ Change of Name
 ☐ Executive Order 9424, Confirmatory License
☐ Security Agreement
 ☐ Merger
 ☐ Joint Research Agreement
☐ Government Interest Assignment
 ☐ Other

4. Application or patent number(s).A. Patent Application No.(s)
11/595,829☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning document should be mailed:**
 BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, IL 60610
 (312) 321-4200
 (312) 321-4299 Fax
6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 0

- ☐ Authorized to be charged by credit card.
☐ Authorized to be charged to Deposit Account No. 23-1925.
☒ Already paid \$40.00 fee on January 12, 2007.
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information:

- a. ☐ Credit Card: Last 4 Numbers
 Expiration Date
 b. ☐ Charge fee and/or any Deficiencies to Deposit
 Account Number: 23-1925
 Authorized User Name: Brinks Hofer Gilson & Lione

9. Signature:


Signature

Trevor K. Copeland, Reg. No. 50,292

Name of Person Signing

4/12/07

Date

Total number of pages including cover sheet, attachments, and documents: 4

 Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, **PATENT**
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

700320221

REEL: 019162 FRAME: 0456

CH \$40.00 231925 11595829

Client/Matter No. 8627-1482 (PA-5852-Rrd)		01-19-2007	
RECO			
1/16/07 To the Director of the U.S. Patent and Trade.		103363122	
1. Name of conveying Party(ies)/Execution Date:		2. Name and Address of receiving Party(ies):	
CHARLES W. AGNEW		Name: COOK INCORPORATED	
Additional name(s) of conveying party(ies) attached?		Internal Address:	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Street Address: 750 NORTH DANIELS WAY	
		City: BLOOMINGTON	
		State: INDIANA	
		Country: U.S.A. Zip: 47404	
		Additional name(s) and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of Conveyance:			
Execution Date(s): December 21, 2006			
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11/595,829		B. Patent No.(s)	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
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BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, IL 60610 (312) 321-4200 (312) 321-4299 Fax		7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00	
		<input type="checkbox"/> Authorized to be charged by credit card.	
		<input type="checkbox"/> Authorized to be charged to Deposit Account No. 23-1925.	
		<input checked="" type="checkbox"/> Enclosed	
		<input type="checkbox"/> None required (government interest not affecting title)	
9. Signature:		8. Payment Information:	
Signature		a. <input type="checkbox"/> Credit Card: Last 4 Numbers	
Trevor K. Copeland, Reg. No. 50,292		Expiration Date	
Name of Person Signing		b. <input checked="" type="checkbox"/> Charge fee and/or any Deficiencies to Deposit	
		Account Number: 23-1925	
		Authorized User Name: Brinks Hofer Gilson & Lione	
		Date: 1/16/07	
		Total number of pages including cover sheet, attachments, and documents: 4	

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PATENT
REEL: 019162 FRAME: 0457

BHGL No. 8627-1482
PA-5852-RFB

ASSIGNMENT AND AGREEMENT

For value received, I, CHARLES W. AGNEW, residing at 30 STEUBEN COURT, WEST LAFAYETTE, INDIANA 47906, U.S.A., hereby sell, assign, and transfer to **Cook Incorporated**, a corporation of the State of Indiana, having an office at 750 N. Daniels Way, Bloomington, IN, 47404, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively "Cook," the entire right, title and interest, for the United States of America, in and to certain inventions related to "SPIRAL SHAFT CATHETER", described in U.S. Provisional Application Serial No. 60/737,181, filed on November 16, 2005, and any and all applications claiming the benefit thereof including the right of priority, and described in U.S. Nonprovisional Application Serial No. 11/595,829 filed on November 10, 2006, and all the rights and privileges in said applications and under any and all Letters Patent or any continuation, division, renewal, or substitute thereof, and any reissue or re-examination thereof that may be granted in the United States for said inventions, together with the right to recover all damages, including, but not limited to, a reasonable royalty, by reason of past, present, or future infringement or any other violation of patent or patent application rights; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents. We also concurrently hereby sell, assign and transfer to Cook the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the applications aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Cook to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cook to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

PATENT

REEL: 019162 FRAME: 0458

BHGL No. 8627-1482
PA-5852-RFB

I request that any and all patents for said inventions be issued to Cook in the United States and in all countries foreign to the United States, or to such nominees as Cook may designate.

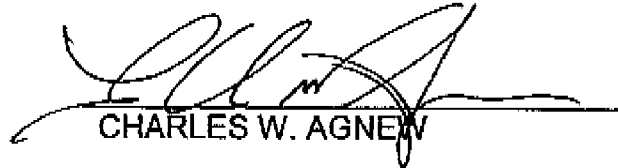
I agree that, when requested, I shall, without charge to Cook but at their expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

DATED:

Dec. 21, 2006


CHARLES W. AGNEW

BHGL No. 8627-1482
PA-5852-RFBSTATE OF Indiana
COUNTY OF Monroe) ss.
OF)

I, Pamela B Garwood, A Notary Public in and for the County and State aforesaid, do hereby certify that CHARLES W. AGNEW, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 21st day of December, 2006.

Pamela B Garwood
Notary Public

(SEAL)

My Commission Expires:

PAMELA B. GARWOOD, Notary Public
Resident of Monroe County
My commission expires 10/24/2007

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-0140 (Assignment Documents)) on the below date:

Date: April 12, 2007 Name: Trevor K. Copeland Signature: 

BRINKS
HOFFER
GILSON
& LIONE

Our Case No. 8627-1482 (PA-5852-RFB)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHARLES W. AGNEW

Serial No. 11/595,829

Filing Date: November 10, 2006

For SPIRAL SHAFT CATHETER

Examiner To Be Assigned

Group Art Unit No. 3763

**REQUEST FOR CORRECTION OF NOTICE OF RECORDATION
OF ASSIGNMENT DOCUMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Application Processing Division
Customer Correction Branch

Sir:

In the Notice of Recordation of Assignment Document, please correct the spelling of the Assignee's name from COOK INCORPPRATED to COOK INCORPORATED.

Assignee respectfully requests the issuance of a corrected Notice of Recordation of Assignment Document (corrected copy enclosed) for the above-referenced patent application.

The Commissioner is hereby authorized to charge any fees required to
Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

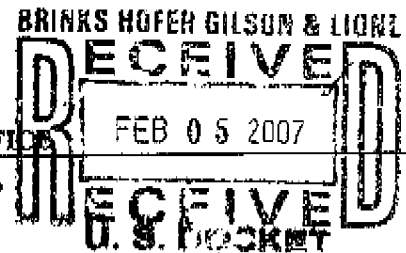


Trevor K. Copeland, Reg. No. 50,292
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 25, 2007

PTAS

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610

103363122A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 01/16/2007

REEL/FRAME: 018804/0907
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AGNEW, CHARLES W.

DOC DATE: 12/21/2006

ASSIGNEE:

COOK ~~INCORPORATED~~ INCORPORATED
750 NORTH DANIELS WAY
BLOOMINGTON, INDIANA 47404

SERIAL NUMBER: 11595829

FILING DATE: 11/10/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: SPIRAL SHAFT CATHETER

KIMBERLY WHITE, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 2 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-0140 (Assignment Documents)) on the below date:

Date: April 12, 2007Name: Trevor K. CopelandSignature: *Trevor K. Copeland*
**BRINKS
HOFFER
GILSON
& LIONE**
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **CHARLES W. AGNEW**
 Appln. No.: **11/595,829**
 Filed: **November 10, 2006**
 For: **SPIRAL SHAFT CATHETER**

Examiner: **To Be Assigned**Art Unit: **3763**

Attorney Docket No: **8627-1482 (PA-5852-RFB)**
DOCUMENT ID NO. 700317111

Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Request for Correction of Notice of Recordation of Assignment Document (in duplicate), copy of marked up Notice of Recordation of Assignment Document, Assignment Recordal Form, Assignment and Agreement (3 pages, signed).
- ☐ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☐ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

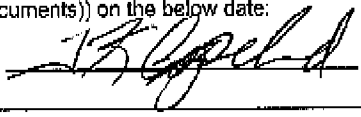
4/12/2007

Respectfully submitted,

Trevor K. Copeland
 Trevor K. Copeland, Reg. No. 50,292

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Our Case No. 8627-1482 (PA-5852-RFB)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHARLES W. AGNEW

Serial No. 11/595,829

Filing Date: November 10, 2006

For SPIRAL SHAFT CATHETER

Examiner To Be Assigned

Group Art Unit No. 3763

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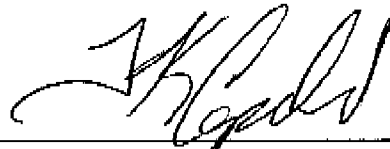
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Respectfully submitted,



Trevor K. Copeland, Reg. No. 50,292
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 12 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-0140 (Assignment Documents) on the below date:

Date: April 12, 2007

Name: Trevor K. Copeland

Signature: 

**BRINKS
HOFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: CHARLES W. AGNEW

Appln. No.: 11/595,829

Filed: November 10, 2006

For: SPIRAL SHAFT CATHETER

Examiner: To Be Assigned

Art Unit: 3763

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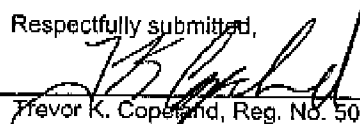
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☐ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

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Respectfully submitted,


Trevor K. Copeland, Reg. No. 50,292