

Docket No.: JCLA21512

Form 1595
1-31-92

RECORDATION FORM COVER SHEET

U. S. Department of Commerce
Patent and Trademark Office

PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached
Original documents or copy thereof.1. Name of conveying party(ies) with the
execution date:**BOGDAHN, ULRICH** August 31, 2006**AIGNER, LUDWIG** August 04, 2006**WACHS, FRANK-PETER** August 23, 2006**WINNER, BEATE** August 04, 2007**WINKLER, JÜRGEN** August 05, 20062. Name/address of receiving
Party(ies)**REGENION GMBH**RUTISTRASSE 20 CH-9050 APPENZELL,
SWITZERLAND

3. Nature of conveyance:

- ☒ Assignment ☐ Security Agreement
☐ Merger ☐ Change of Name
☐ Reassignment ☐ Other

Add'l names of receiving parties
Attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No. (s)

10/597,813

Additional number attached?

B. Patent No.(s)

☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:**J. C. Patents**
4 Venture, Suite 250
Irvine, CA 92618
(949) 660-07616. Total No. of applications and patents
involved:

ONE (1)

7. Total fee (37CFR § 3.41): \$40.00

- ☐ Enclosed
☒ Charge to Acct. No. 50-0710
(Order No. JCLA21512)

Atty Docket No.: JCLA21512

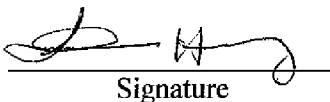
8. Total number of pages, including
Cover sheet, attachments and Document : 3

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the forging information is true and
Correct and any attached copy is a true copy of the original document.

Jiawei Huang

Name of person Signing
Registration No. 43,330
Signature4/13/2007
Date

CH \$40.00 500710 10597813

ASSIGNMENT

WHEREAS, 1. BOGDAHN, Ulrich
2. AIGNER, Ludwig
3. WACHS, Frank-Peter
4. WINNER, Beate
5. WINKLER, Jürgen

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: **INHIBITORS OF TGF-R SIGNALING FOR TREATMENT OF CNS DISORDERS**

☐ Filed: Serial No.

☒ Executed concurrently with the execution of this instrument

WHEREAS, Regenion GmbH
of Rütlistrasse 20, CH-9050 Appenzell, Switzerland

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

PATENT

REEL: 019164 FRAME: 0599

ASSIGNMENT CONTINUED

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Signature: *U. Bogdahn* Date: 31. 1. 06
Sole or First Joint Inventor: BOGDAHN, Ulrich

Signature: *L. Aigner* Date: 04.08.06
Second Joint Inventor (if any): AIGNER, Ludwig

Signature: *Dr. Frank-Peter Wachs* Date: 23.08.06
Third Joint Inventor (if any): WACHS, Frank-Peter

Signature: *Beate Winner* Date: 04.08.06
Fourth Joint Inventor (if any): WINNER, Beate

Signature: *J. Winkler* Date: 5.8.06
Fifth Joint Inventor (if any): WINKLER, Jürgen

PATENT

RECORDED: 04/13/2007

REEL: 019164 FRAME: 0600