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Doc Code: **S-102,655**

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**

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**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 3/13/2007

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☒ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: U.S. Department of Energy

Internal Address: GC-62 6F-067

Street Address: 1000 Independence Avenue, S.W.

City: Washington

State: D.C.

Country: \_\_\_\_\_ Zip: 20585-0162

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/471,464

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Brenda K. Banks

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U.S. Department of Energy

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Fax Number: 202-586-2805

Email Address: brenda.banks@hq.doe.gov

**6. Total number of applications and patents involved:****7. Total fee (37 CFR 1.21(h) & 3.41) \$**

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☒ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Brenda K. Banks

Signature

4/16/07

Date

Brenda K. Banks

Name of Person Signing

Total number of pages including cover

**2**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

11/471,464

Patent Application Filed in the Name of the Contractor  
Electable (197)

### CONFIRMATORY LICENSE

**Title:** Prefoldin 4 Is An Oncogene That Enhances Tumor

**Progression:** Implications For Development of New Diagnostic and Therapeutic Methods

**Inventor:** Joe W. Gray, Jeffrey Hager, Oriol Casanovas, Gracne Hodgson, Douglas Hanahan

**DOE Contract No.:** W-7405-ENG-48

**Contractor:** The Regents of the University of California

**Contractor UC Case No:** 2004-415-1 & 2

**DOE Docket No.:** 2004-415-1 & 2

**Provisional Serial No.:** 60/692,847

**Prov. Filing Date:** June 20, 2005, now abandoned in favor of:

**U.S. Application No.:** 11/471,464

**Filing Date (U.S.):** June 19, 2006

Foreign Applications filed in or intended to be filed at Contractor's expense in:

PCT/US06/023710 - all members

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated October 29, 1996.

WHEREAS, the above-identified contract provides the Contractor with the right to elect to retain title in certain inventions and the Contractor has reported the above-identified invention as a subject invention under the contract, has elected to retain title therein, and has agreed to file a domestic patent application thereon, if not previously filed.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application, and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

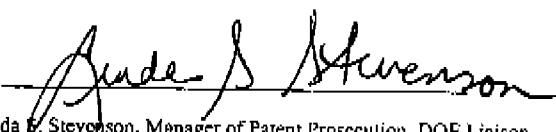
The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application, whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed this 13th day of March, 2007

On behalf of The Regents of the University of California

By



Linda E. Stevenson, Manager of Patent Prosecution, DOE Liaison  
University of California, Office of Technology Transfer,  
1111 Franklin Street, 5<sup>th</sup> Floor, Oakland, CA 94607-5200

**PATENT**

**RECORDED: 04/16/2007**

**REEL: 019167 FRAME: 0856**