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U.S. DEPARTMENT OF COMMERCE
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TM-263



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To the Director of the U.S. Patent and Trademark Office

Address(es) below.

1. Name of conveying party(ies)

Nippon Denko Co., Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) APRIL 5, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: DAITO KASEI KOGYO CO., LTD.

Internal Address:

Street Address: 6-28, Akagawa 1-chome, Asahi-ku,

Osaka-shi

City: Osaka

State:

Country: Japan

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/395,082

B. Patent No.(s)

6,623,858; 6,589,496

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sherman & Associates

Internal Address:

Street Address: 415 N. Alfred Street

City: Alexandria

State: Virginia

Zip: 22314

Phone Number: 703-549-2282

Fax Number: 703-836-0106

Email Address: ipinfo@shermshall.com

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 120.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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9. Signature:

Signature

April 17, 2007

Date

Robert L. Haines

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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ASSIGNMENT

WHEREAS, KOSE CORPORATION, a Japanese corporation having a place of business at 6-2, Nihonbashi 3-chome, Chuo-ku, Tokyo, Japan, and NIPPON DENKO CO., LTD., a Japanese corporation having a place of business at 11-8, Ginza 2-chome, Chuo-ku, Tokyo, Japan, are the owners, by assignment, of the following patents and application, which assignments have been duly recorded in the records of the U.S. Patent and Trademark Office.

U.S. Patent 6,623,858 Metal Oxide Doped Cerium Oxides, Method for the Preparation Thereof, Resin Composition and Cosmetic Composition Therewith.

U.S. Patent 6,589,496 Method For Preparation of Metal Oxide Doped Cerium Oxide.

Serial No. 10/395,082 Metal Oxide Doped Cerium Oxides, Method for the Preparation Thereof, Resin Composition and Cosmetic Composition Therewith.

WHEREAS, DAITO KASEI KOGYO CO., LTD., a Japanese corporation having a place of business at 6-28, Akagawa 1-chome, Asahi-ku, Osaka-shi, Osaka, Japan, is desirous of acquiring the entire undivided right, title and interest of NIPPON DENKO CO., LTD., in and to said Inventions and said U.S. Patents and application and in and to any related Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, the receipt whereof is hereby acknowledged, and other valuable considerations, NIPPON DENKO CO., LTD., has sold, assigned and transferred, and by these presents does sell, assign and transfer unto DAITO KASEI KOGYO CO., LTD., their entire right, title and interest to the said Inventions and said U.S. Patents and application in the United States and its territorial possessions and in all foreign countries and their entire right, title and interest in and to any and all Letters Patent, which may be granted thereof in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof.

Patent Office officials in the United States and in any and all foreign countries are hereby authorized and requested to issue any and all of said Letters Patent, when granted, to DAITO KASEI KOGYO CO., LTD., as the assignee of the entire right, title and interest of NIPPON DENKO CO., LTD., in and to the same, for the use of DAITO KASEI KOGYO CO., LTD., its successors and assigns.

FURTHER, it is agreed that NIPPON DENKO CO., LTD. will communicate to DAITO KASEI KOGYO CO., LTD., or its representatives any facts known respecting said inventions, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to DAITO KASEI KOGYO CO., LTD., make all rightful oaths and generally do everything possible to aid DAITO KASEI KOGYO CO., LTD., its successors and assigns, to obtain and enforce proper protection for said Inventions in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, on behalf of NIPPON DENKO CO., LTD., I have hereunto set my hand this 5th day of April, 2007.

Signed in the presence of:

Witness: Eishiro Iginchi

Signed: Yoichiro Mitsui
Name: Yoichiro Mitsui
Title: President