

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

JAMES P. ZUCHELMAN
KEN Y. HSU
HENRY A. KLYCE
CHARLES J. WINSLOW
JOHN J. FLYNN
STEVEN T. MITCHELL
SCOTT A. YERBY
JOHN A. MARKOWART

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: ST. FRANCIS MEDICAL TECHNOLOGIES, INC.

Internal Address: _____

Street Address: 1201 MARINA VILLAGE PARKWAY, SUITE 200

City: ALAMEDA

State: CA

Country: USA Zip: 94501

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 4/18,18,11,13,12,13,12,13/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/668,217

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: SHELDON R. MEYER

Internal Address: Fliesler Meyer LLP

Customer No. 23910

Street Address: 650 California Street
14th Floor

City: San Francisco

State: CA Zip: 94108

Phone Number: 415.362.3800

Fax Number: 415.362.2928

Email Address: officeactions@fdml.com

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

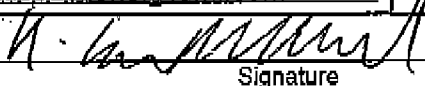
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 06-1325

Authorized User Name Fliesler Meyer LLP

9. Signature:


Signature

APRIL 19, 2007

Date

K. IAIN MCAUSLAND; REG. NO. 37980

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

10

Documents to be recorded (including cover sheet) should be faxed to (877) 273-0148, or mailed to:
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Attorney Docket No.: SFMT-01109US3
Imcausland/sfmt/1109us3/recordassn.pdf

CH \$40.00 061325 11668217

700321311

PATENT
REEL: 019194 FRAME: 0063

JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors;

- (1) James F. Zucherman
a resident of San Francisco, California; and
- (2) Ken Y. Hsu
a resident of San Francisco, California; and
- (3) Henry A. Klyce
a resident of Piedmont, California; and
- (4) Charles J. Winslow
a resident of Walnut Creek, California; and
- (5) John J. Flynn
a resident of West Milford, New Jersey; and
- (6) Steven T. Mitchell
a resident of Pleasant Hill, California; and
- (7) Scott A. Yerby
a resident of Montara, California; and
- (8) John A. Markwart
a resident of Castro Valley, California

have invented certain new and useful improvements in:

INTERSPINOUS PROCESS IMPLANT INCLUDING A BINDER, BINDER ALIGNER AND METHOD OF IMPLANTATION

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention, said invention having been filed on the 29th day of January, 2007, and assigned U.S. Patent Application No. 11/668,217.


WHEREAS St. Francis Medical Technologies, Inc., (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 1201 Marina Village Parkway, Suite 200, Alameda, State of California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee;

4/18/03
Date

(1) 
James P. Zucherman

4/18/07
Date

(2) 
Ken Y. Hsu

Date

(3) _____
Henry A. Klyce

Date

(4) _____
Charles J. Winslow

Date

(5) _____
John J. Flynn

Date

(6) _____
Steven T. Mitchell

Date

(7) _____
Scott A. Yerby

Date

(8) _____
John A. Markwart

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee:

Date

(1) _____
James F. Zucherman

Date

(2) _____
Ken Y. Hsu

Date

(3) _____
Henry A. Klyce

Date

(4) _____
Charles J. Winslow

Date

(5) _____
John J. Flynn

Date

(6) _____
Steven T. Mitchell

4/12/07

Date

(7) _____

Scott A. Yerby

Date

(8) _____
John A. Markwart

JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) James F. Zucherman
a resident of San Francisco, California; and
- (2) Ken Y. Hsu
a resident of San Francisco, California; and
- (3) Henry A. Klyce
a resident of Piedmont, California; and
- (4) Charles J. Winslow
a resident of Walnut Creek, California; and
- (5) John J. Flynn
a resident of West Milford, New Jersey; and
- (6) Steven T. Mitchell
a resident of Pleasant Hill, California; and
- (7) Scott A. Yerby
a resident of Montara, California; and
- (8) John A. Markwart
a resident of Castro Valley, California

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NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

Date _____ (2) _____
Ken Y. Hsu

State of _____)
County of _____)

On _____ before me, _____
(name and title of officer)
personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

4/11/07
Date _____ (3) _____
Henry A. Klyce

State of _____)
County of _____)

On _____ before me, _____
(name and title of officer)
personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

4/13/07
Date

(4) Charles J. Winslow
Charles J. Winslow

State of _____
County of _____

On _____ before me, _____
(name and title of officer)

personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

Date

(5) _____
John J. Flynn

State of _____
County of _____

On _____ before me, _____
(name and title of officer)

personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

Date _____ (4) _____
Charles J. Winslow

State of _____
County of _____

On _____ before me, _____
(name and title of officer)

personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

4/12/07
Date _____

(5) _____
John J. Flynn

State of _____
County of _____

On _____ before me, _____
(name and title of officer)

personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

4-13-07
Date

(6) 
Steven T. Mitchell

State of _____
County of _____

On _____ before me, _____
(name and title of officer)
personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

Date

(7) _____
Scott A. Yerby

State of _____
County of _____

On _____ before me, _____
(name and title of officer)
personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

Date 4/13/07

(8) John A. Markwart
John A. Markwart

State of _____)

County of _____)

On _____ before me, _____
(name and title of officer)

personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____
