

Form PTO-1595  
(Rev. 7-05)  
OMB No. 0651-0011 (exp. 4/94)

# RECORDATION FORM COVER PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):  
Charles Nicolette  
Irina Tcherepanova  
Jason Harris  
Donald Healey

2. Name and address of receiving party(ies):  
Name: Argos Therapeutics, Inc.  
Street Address: 4233 Technology Drive  
City: Durham State: NC ZIP: 27704

Additional name(s) of conveying party(ies) attached?  yes  No  
  
3. Nature of conveyance:  
 Assignment       Merger  
 Security Agreement       Change of Name  
 Other \_\_\_\_\_  
Execution Date: March 14, 2007

Name: Kirin Beer Kabushiki Kaisha  
Street Address: 2-10-1 Shinkawa, Chuo-ku  
City: Tokyo Country: Japan ZIP: 104-8288  
  
Additional name(s) & address(es) attached?  yes  No

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s)  
11/662,828

B. Patent No.(s)  
  
Additional numbers attached?  yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: Elaine Sale  
Internal Address: Argos Therapeutics, Inc.  
Street Address: 4233 Technology Drive  
City: Durham State: NC ZIP: 27704

6. Total number of applications and patents involved:   
  
7. Total Fee (37 CFR 3.41).....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
  
8. Deposit Account Number:  
50-3187  
(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Elaine Sale  
Name of Person Signing

  
Signature

April 20, 2007  
Date

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, PO Box 1450, Alexandria, VA 22313-1450

CH \$40.00 503187 11662828

Atty. Docket No. ARG029

## ASSIGNMENT

WHEREAS, Charles Nicolette, Irina Tcherepanova, Jason Harris and Donald Healey, hereinafter referred to as "ASSIGNORS", have invented and are the owners of an invention entitled "Strain-independent Amplification of Pathogens and Vaccines Thereto", said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention and filed as PCT Patent Application Serial Number PCT/US2005/032710 filed on September 14, 2005, and which has been reviewed by ASSIGNORS; and

WHEREAS, Argos Therapeutics, Inc. a corporation organized and existing under and by virtue of the laws of Delaware, and engaged in business at 4233 Technology Drive, Durham, NC 27704 and Kirin Beer Kabushiki Kaisha, a Japanese corporation engaging in business at 2-10-1 Shinkawa, Chuo-ku, Tokyo Japan 104-8288, hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW THEREFORE, be it known that for valuable consideration, we have sold and by these presents do hereby sell, assign, transfer and convey unto the said assignee, its successors and assigns, the entire right, title and interest in and to said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to all Letters Patent of the United States and all foreign countries or reissues and reexaminations thereof which may be granted thereof or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby covenant and agree that, at the same time of execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree and bind our heirs, legal representatives and assigns, promptly to communicate to said assignee and its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns and other legal representatives.

Atty. Docket No. ARG029

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

*Charles Nicolette*

Charles Nicolette

3/14/07

Date

**Notarial Certificate**

State of North Carolina

ss.

County of Wake

On this 14 day of March, 2007, before me, a Notary Public in and for the state and county aforesaid, appeared Charles Nicolette, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as his free act and deed.

*Alicia M. Clark*  
Notary Public

*Irina Tcherepanova*

Irina Tcherepanova

14 March 2007

Date

**Notarial Certificate**

State of North Carolina

ss.

County of Wake

On this 14 day of March, 2007, before me, a Notary Public in and for the state and county aforesaid, appeared Irina Tcherepanova, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as her free act and deed.

*Alicia M. Clark*  
Notary Public

Atty. Docket No. ARG029

Jason Harris  
Jason Harris

14 March 2007  
Date

**Notarial Certificate**

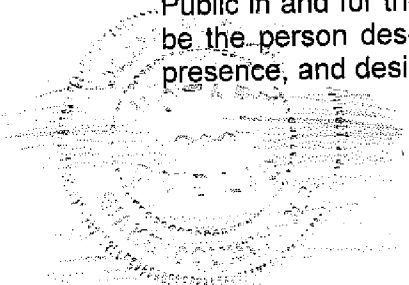
State of North Carolina

.....  
ss.

County of Wake

On this 14 day of March, 2007, before me, a Notary Public in and for the state and county aforesaid, appeared Jason Harris, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as his free act and deed.

Alicia Clark  
Notary Public



Donald Healey  
Donald Healey

14<sup>th</sup> March 2007.  
Date

**Notarial Certificate**

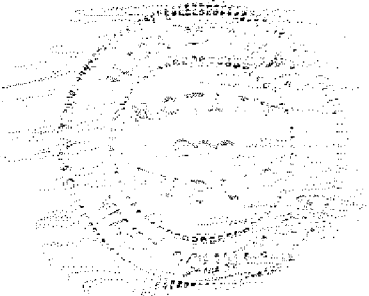
State of North Carolina

.....  
ss.

County of Wake

On this 14 day of March, 2007, before me, a Notary Public in and for the state and county aforesaid, appeared Donald Healey, known to me to be the person described in the foregoing instrument, who signed the instrument in my presence, and desired the same to be acknowledged as his free act and deed.

Alicia Clark  
Notary Public



**Argos Therapeutics, Inc.**4233 Technology Drive  
Durham, NC 27704Phone: 919-287-6300  
Fax: 919-287-6301

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**FACSIMILE TRANSMISSION**

<b>To:</b> USPTO	<b>From:</b> Alida M. Clark
<b>Fax #</b> 571-273-0140	<b>Pages:</b> 5 (Incl. cover)
<b>Ph #</b>	<b>Date:</b> February 9, 2007
<b>Re:</b> Assignment Recordation	<b>CC:</b>

Urgent     Per your request     For review     Please comment     Reply requested

Attached please find an assignment for recordation.

This facsimile is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and/or exempt from disclosure under applicable law. If the reader of this facsimile is not the intended recipient or the employee or agent responsible for delivering this facsimile to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited.

**PATENT****RECORDED: 04/20/2007****REEL: 019196 FRAME: 0506**