Form **PTO-1595** (Rev. 08/05) OMB No. 0651-0027 (exp. 6/30/2008) Doc Code: 1-28084

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY			
To the Director of the U.S. Patent and Trademark Office: Pleas	e record the attached documents or the new address(es) below.		
1. Name of conveying party(ies) Adriaan Reinhard Pieter van Heiningen - March 8, 2007 Sung-Hoon Yoon - March 6, 2007 Haixuan Zou - March 7, 2007 Jianer Jiang - April 16, 2007 Gopal C. Goyal - April 16, 2007	Name and address of receiving party(ies) Name: <u>University of Maine System Board of Trustees</u> Internal Address:		
Additional name(s) of conveying party(les) attached? Yes No	Street Address: 107 Maine Avenue		
3. Nature of conveyance/Execution Date(s):	Street Address. 107 Maine Avenue		
Execution Date(s) please see above			
🗵 Assignment 🗆 Merger	City: Bangor		
☐ Security Agreement☐ Change of Name☐ Joint Research Agreement	State: Maine		
☐ Government Interest Assignment	Country: <u>U.S.A.</u> Zip: <u>04401</u>		
Executive Order 9424, Confirmatory LicenseOther	Additional name(s) & address(es) attached? Yes No		
4. Application or patent number(s):	document is being filed together with a new application.		
A. Patent Application No.(s)	B. Patent No.(s)		
11/640,820 filed December 18, 2006 Additional numbers att	ached? ☐ Yes ☒ No		
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:		
Name: Gary M. Sutter - Reg. No. 31,574	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00		
Internal Address:	☐ Authorized to be charged by credit card		
MacMillan, Sobanski & Todd, LLC	 ☒ Authorized to be charged to deposit account ☐ Enclosed 		
Street Address: One Maritime Plaza, Fifth Floor	☐ Enclosed ☐ None required (government interest not affecting title)		
720 Water Street	8. Payment Information		
City: Toledo	o. Fayment imormation		
State: OH Zip: 43604-1853	a. Credit Card Last 4 Numbers		
Phone Number: (419) 255-5900	Expiration Date		
Fax Number: (419) 255-9639	b. Deposit Account Number13-0005		
Email Address: sutter@mstfirm.com	Authorized User Name MacMillan Sobanski Todd		
9. Signature: Signature Gary M. Sutter Name of Person Signing	April 23, 2007 Date Total number of pages including cover 10		

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT 1-28084

ASSIGNMENT

WHEREAS, We, Adriaan Reinhard Pieter van Heiningen, of 28 College Heights, Orono, Maine 04473, Sung-Hoon Yoon, of 17 Massachusetts St., Unit 2, Old Town, Maine 04468, and Haixuan Zou, of 18 Sunrise Terrace, Orono, Maine 04473, have invented PROCESS OF TREATING A LIGNOCELLULOSIC MATERIAL, for which we have filed United States patent application Serial No. 11/640,820 on December 18, 2006;

AND WHEREAS, the University of Maine System Board of Trustees, of 107 Maine Avenue, Bangor, Maine 04401, is desirous of acquiring the entire interest in and to said invention and any Letters Patent to issue therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other valuable considerations to us in hand paid, the receipt whereof is hereby acknowledged, We, Adriaan Reinhard Pieter van Heiningen, Sung-Hoon Yoon and Haixuan Zou, do hereby sell, assign and transfer unto the University of Maine System Board of Trustees, its successors or assigns, the entire right, title and interest in and to said application and invention in the United States and all countries foreign thereto, including the right of priority under the International Convention of 1883 and later modifications thereof, and to any Letters Patent to issue therefor, and we hereby request the Commissioner of Patents and Trademarks of the United States to issue to said company any Letters Patent thereon, in accordance with the terms of this assignment.

We agree to execute any and all documents required by said assignee, its successors or assigns, for the prosecution of said application, or of any division, reissue or continuation thereof, or for securing foreign patents covering said invention, and also any documents required by it, its successors or assigns, more fully to vest in it or them the title to said invention, or to any application or patent that may be filed therefor or secured thereon. We hereby irrevocably appoint the University of Maine System Board of Trustees as our attorney in fact to execute any documents on our behalf for procuring in its name and ownership patents in all countries for the invention.

Adriaan Reinhard Pieter van Heiningen

Witness

Date March 8th 2007

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	Date
Sung-Hoon Yoon	
Witness	
Haixuan Zou	Date
Witness	·

P. 02

FAX NO. 3348442063

PATENT 1-28084

<u>ASSIGNMENT</u>

WHEREAS, We, Adriaan Reinhard Pieter van Heiningen, of 28 College Heights, Orono, Maine 04473, Sung-Hoon Yoon, of 1000 North Donahue Dr., Apt. 14-24, Auburn, Alabama 36832, and Haixuan Zou, of 18 Sunrise Terrace, Orono, Maine 04473, have invented PROCESS OF TREATING A LIGNOCELLULOSIC MATERIAL, for which we have filed United States patent application Serial No. 11/640,820 on December 18, 2006;

AND WHEREAS, the University of Maine System Board of Trustees, of 107 Maine Avenue, Bangor, Maine 04401, is desirous of acquiring the entire interest in and to said invention and any Letters Patent to issue therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other valuable considerations to us in hand paid, the receipt whereof is hereby acknowledged, We, Adriaan Reinhard Pieter van Heiningen, Sung-Hoon Yoon and Haixuan Zou, do hereby sell, assign and transfer unto the University of Maine System Board of Trustees, its successors or assigns, the entire right, title and interest in and to said application and invention in the United States and all countries foreign thereto, including the right of priority under the International Convention of 1883 and later modifications thereof, and to any Letters Patent to issue therefor, and we hereby request the Commissioner of Patents and Trademarks of the United States to issue to said company any Letters Patent thereon, in accordance with the terms of this assignment.

We agree to execute any and all documents required by said assignee, its successors or assigns, for the prosecution of said application, or of any division, reissue or continuation thereof, or for securing foreign patents covering said invention, and also any documents required by it, its successors or assigns, more fully to vest in it or them the title to said invention, or to any application or patent that may be filed therefor or secured thereon. We hereby irrevocably appoint the University of Maine System Board of Trustees as our attorney in fact to execute any documents on our behalf for procuring in its name and ownership patents in all countries for the invention.

7	Date
Adriaan Reinhard Pieter van Heiningen	
Witness	

2006

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FAX NO. 3348442063

P. 03

Sung-Hoon Youn

Olla James

Witness Aller I Smith

Date 03/06/2007

Date

Haixuan Zou

Witness

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PROCESS DEVELOPMENT

PATENT 1-28084

<u>ASSIGNMENT</u>

WHEREAS, We, Adriaan Reinhard Pieter van Heiningen, of 28 College Heights, Orono, Maine 04473, Sung-Hoon Yoon, of 1000 North Donahue Dr., Apt. 14-24, Auburn, Alabama 36832, and Haixuan Zou, of 18 Sunrise Terrace, Orono, Maine 04473, have invented PROCESS OF TREATING A LIGNOCELLULOSIC MATERIAL, for which we have filed United States patent application Serial No. 11/640,820 on December 18, 2006;

AND WHEREAS, the University of Maine System Board of Trustees, of 107 Maine Avenue, Bangor, Maine 04401, is desirous of acquiring the entire interest in and to said invention and any Letters Patent to issue therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other valuable considerations to us in hand paid, the receipt whereof is hereby acknowledged, We, Adriaan Reinhard Pieter van Heiningen, Sung-Hoon Yoon and Haixuan Zou, do hereby sell, assign and transfer unto the University of Maine System Board of Trustees, its successors or assigns, the entire right, title and interest in and to said application and invention in the United States and all countries foreign thereto, including the right of priority under the International Convention of 1883 and later modifications thereof, and to any Letters Patent to issue therefor, and we hereby request the Commissioner of Patents and Trademarks of the United States to issue to said company any Letters Patent thereon, in accordance with the terms of this assignment.

We agree to execute any and all documents required by said assignee, its successors or assigns, for the prosecution of said application, or of any division, reissue or continuation thereof, or for securing foreign patents covering said invention, and also any documents required by it, its successors or assigns, more fully to vest in it or them the title to said invention, or to any application or patent that may be filed therefor or secured thereon. We hereby irrevocably appoint the University of Maine System Board of Trustees as our attorney in fact to execute any documents on our behalf for procuring in its name and ownership patents in all countries for the invention.

	Date	
Adriaan Reinhard Pieter van Heiningen		_
_		
Witness		

04/23/07 10:37 FAX 4192559639 03/07/2007 08:51 2075814174 MacMillan Sobanski& Todd

₫008 PAGE 07

PROCESS DEVELOPMENT

Date Sung-Hoon Yoon

Witness

Date 3/7/2007

Haixuan Zou

Betty a. Ingrahem 3/4/07 Witness)

Attorney Docket No. 1-28084 (TEC-034009-US)

Ser. No. 11/640,820 Filed on 18 December 2006

ASSIGNMENT OF INVENTION AND OF LETTERS PATENT

WHEREAS, I, Jianer Jiang, residing at 6892 Fieldstone Place, Mason, OH 45040, am a citizen of United States of America and have invented certain new and useful inventions or improvements relating to process of treating a lignocellulosic material for which I have executed an application for Letters Patent of the United States of America, entitled "PROCESS OF TREATING A LIGNOCELLULOSIC MATERIAL" filed on December 18, 2006, and having been afforded serial no. 11/640,820 by the United States Patent and Trademark Office; and

WHEREAS, I, Gopal C. Goyal, residing at 7838 Plumfield Court, Mason, OH 45040, am a citizen of United States of America and have invented certain new and useful inventions or improvements relating to process of treating a lignocellulosic material for which I have executed an application for Letters Patent of the United States of America, entitled "PROCESS OF TREATING A LIGNOCELLULOSIC MATERIAL" filed on December 18, 2006, and having been afforded serial no. 11/640,820 by the United States Patent and Trademark Office; and

WHEREAS, International Paper Company, a corporation of the State of New York having a place of business at 6400 Poplar Avenue, Memphis, Tennessee 38197 (hereinafter, "Assignee"), is desirous of obtaining the entire right, title and interest in and to the said inventions or improvements throughout the world, the said application for United States Letters Patent and any granted United States Letters Patent elaiming priority thereto, and any applications for Letters Patent and the Letters Patent therefor in any country foreign to the United States claiming priority thereto.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer and set over unto the said Assignee, its successors, legal representatives and assigns, my entire right, title and interest in the said inventions or improvements, and to the said application for Letters Patent and to all divisions, renewals and continuations thereof, and to any invention or improvement made by me which is described in said application, and to all applications for Letters Patent which may hereafter be filed for said invention or improvement, in any country or countries foreign to the United States, and to all Letters Patent which may be granted for said inventions or improvements, in any country or countries foreign to the United States, claiming priority thereto, and all extensions, renewals and reissues thereof;

AND, I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country of countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said inventions or improvements to the said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

Attorney Docket No. 1-28084 (TEC-034009-US)

Ser. No. 11/640,820 Filed on 18 December 2006

AND, I HEREBY covenant that I have full right to convey the entire right, title and interest herein assigned, and that I have not executed and will not execute, any agreement in conflict herewith;

AND, I HEREBY authorize and request the attorney of record in the said application for Letters Patent to insert in the above right hand corner of this Assignment the serial number and filing date of the said application when officially known;

AND, I HEREBY further covenant and agree that I will communicate to the said Assignee, its successors, legal representatives and assigns, any and all facts known to me respecting said inventions or improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, reissue, continuation, and continuation-in-part applications, make all rightful oaths and generally do everything possible to aid the said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said inventions or improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 16 day of

STATE OF 6H) ss:

On this 16th day of April , 2007, before me, a Notary Public in and for the State and County aforesaid, personally appeared Jianer Jiang to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Notary Public

My commission expires:

MICHELE LEE MCROY "" " " " State of Ohio

My Commission Expires July 24, 2011

Attorney Docket No. 1-28084 (TEC-034009-US)

Ser. No. 11/640,820 Filed on 18 December 2006

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 16th day of

GOPAL C. GOYAL

STATE OF OH) ss: COUNTY OF Clermont)

On this // day of // pri/, 2007, before me, a Notary Public in and for the State and County aforesaid, personally appeared Gopal C. Goyal to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Michele Lee McRoy Notary Public

My commission expires:

MICHELE LEE MCROY Notary Public, State of Ohio My Commission Expires July 24, 2011

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