No. 2964 P. 1

Docket No.: DKT 10317

FÖRM PTÖ-1595 (Modified)

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

(Rev. 03-01) OMB No. 0851-0027 (exp.5/31/2002) PAR/REV/03

# PATENTS ONLY

Patent and Trademark Office

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7 11 11 11 11 11	States Patent and Trademark C				thereof.
<ol> <li>Name of conveying party(ies): David A. Gerstenhaber</li> </ol>	2. Name and	d address of rece	oiving party(ies):		
		Name: <u>H</u>	ercules Incorpor	ated	
		Internal A	ddress: Law De	partment	
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Additional names(s) of conveying party	((es) Lives Livo		,		
Nature of conveyance:			<u>-</u> .		
Assignment	☐ Merger	Street Add	dress: Hercules	Plaza	
☐ Security Agreement	☐ Change of Name	1313 North	Market Street		
☐ Other	<u></u>	City: Wilr	mington	State: <u>DE</u> Z	IP: 19894
Execution Date: 02/26/2007		Additional nar	me(s) & address(e	s) attached?	<b>⊠</b> No
4. Application number(s) or pate	ent numbers(s):		· · · · · · · · · · · · · · · · · · ·		
If this document is being filed	• •	ion, the execution	date of the appl	ication is:	
A. Patent Application No.(s)			Patent No.(s)		
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11/649,005					
	Additional numbers a	ttached? 🔲 Ye	es 🛭 No		
5. Name and address of party to	6. Total num	nber of applicatio	ns and patents involve	ed: 1	
concerning document should				<del> </del>	الت
Name: Joanne Mary Fobare	— 7. Total fee	7. Total fee (37 CFR 3.41):\$ 40.00			
Internal Address: Law Depar		☐ Enclosed - Any excess or insufficiency should be			
	. <del></del>		ted or debited to		
	_	<b>⊠</b> Autho	orized to be charg	ged to deposit accoun	ıt
Street Address: Hercules Pla	8. Deposit a	8. Deposit account number:			
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9. Statement and signature.					
To the best of my knowledge	and belief, the foregoing info	ormation is true ar	nd correct and ar	y attached copy is a t	тие сору
of the original document.		San 11	him Ar-	April 9, 2007	
Gary A. Samuels  Name of Person Sign		Signature	W-N VIII	April 9, 2007	
<del>-</del>	number of pages including cove		, * *	1_+ ■	

DKT 10317 Application No. 11/649,005

#### assign<u>ment</u>

WHEREAS, I, David A. Gerstenhaber of Newark, and State of

Delaware; have invented certain new and useful improvements in

## "Preparation of Alkyl Ketene Dimers"

described in a patent application executed by me on the \_\_\_\_\_ day of \_\_\_\_\_; and identified as DKT 10317 and of which improvements, in and for the United States, its territories, dependencies and possessions, and for all foreign countries, I am now the sole owner; and

WHEREAS, HERCULES INCORPORATED, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, and having its principal office and place of business in Wilmington, Delaware, is desirous of acquiring the entire right, title and interest, for the United States, its territories, dependencies and possessions, and for all foreign countries, in and to the said improvements, application, invention or inventions, and any Letters Patent that might be granted therefor or thereupon, the said HERCULES INCORPORATED, its successors and assigns, being hereinafter referred to as "HERCULES."

NOW, THEREFORE, be it known that for good and valuable consideration by me received from said HERCULES, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to and unto HERCULES, my entire right, title and interest, for and in the United States, it territories, dependencies and possessions, and for and in all foreign countries, in and to:

- (1) Said invention or inventions, and, in, to and under the above-identified patent application, and
- (2) All improvements and modifications of said invention or inventions which I, solely or jointly have made or conceived, or shall make or conceive, while I am employed by HERCULES, and
- (3) All Letters Patent which may be granted by the United States of America, and countries foreign thereto, for or upon said application, invention or inventions, or said improvements or modifications, and in, to and under all reissues and extensions of said Letters Patent, and in, to and under all

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renewals, divisions, substitutes and continuations of the aforesaid application, and of any application filed in any country for any improvement or modification mentioned in clause (2) above; as well as any priority rights derived from the aforesaid application for Letters Patent by virtues of the International Convention for the Protection of Industrial Property and any other treaty or understanding for intellectual property for any and all member countries of the aforesaid International Convention or other treaty or understanding.

and I hereby authorize and request the Commissioner of Patents to issue all Letters Patent of the United States of America on the said invention or inventions to HERCULES as assignee of the entire interest therein.

The inventions and the improvements and modifications mentioned in clauses (1), (2), and (3), above, are hereinafter referred collectively as "said inventions".

And, for the above-mentioned considerations, I do hereby covenant and agree:

First: That I will promptly and fully disclose to HERCULES all such improvements and

modifications invented by me, and I will freely, fully and promptly communicate (in writing if requested) to HERCULES, or its nominee, all facts, information and evidence possessed or known by or available to me which concern "said inventions", and, at the request of HERCULES, that I will testify in interferences and other legal proceedings which may involve "said inventions".

Second: That I will render HERCULES, at its expense, all assistance which in its sole opinion is necessary to obtain and enforce patent protection in the United States, its territories, dependencies and possessions, and countries foreign thereto, for "said inventions". If I am not employed by HERCULES, a reasonable per diem charge shall be paid by HERCULES for time spent by me in such connection.

Third: That I will, at the request and at the expense of HERCULES, but without further compensation therefor, execute - (a) any and all original, divisional, continuation, substitute, renewal or other applications for Letters Patent of the United States, its territories, dependencies and possessions, and of foreign countries, for "said inventions", (b) any and all applications for the reissue or extension of any Letters Patent of the United States, its territories, dependencies and possessions, and of foreign countries that may be granted upon said application or for "said inventions", and (c) all assignments and other papers that

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HERCULES may deem necessary or expedient to secure the grant of each and all of said Letters Patent to HERCULES, or its nominee, and to protect and vest in HERCULES the entire right, title and interest, for the United States, its territories, dependencies and possessions, and for foreign countries, in and to "said inventions" and Letters Patent of the United States, its territories, dependencies and possessions, and for foreign countries.

[L.S.

David A. Gerstenhaber

STATE OF December )

COUNTY OF Men Course )

I, the undersigned, a Notary Public in and for the State and County aforesaid, do hereby certify that <u>David A. Gerstenhaber</u>, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he executed the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal this \_\_\_\_\_\_\_, 2007.

Notary Public

My commission expires 6/07

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**RECORDED: 04/23/2007**