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Form PS 0-1595 (Rev. 07/05) OMB No. 0691-0027 (exp. 6/30/2008)	04-19	-2007	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office
R 1 6 2007			ET
To the Director of the U.S. Patent a	103396		documents or the new address(es) below.
maine of conveying party(ies)			dress of receiving party(ies)
L. Nathan Tumey		Name: Athersys, I	nc.
David C. Born Youssef L. Bennani Michael J. Robarge		Internal Address	3:
Additional name(s) of conveying party(ies) atta	ached? Yes ✓ No		
3. Nature of conveyance/Execution	` '	Street Address:	3201 Carnegie Avenue
Execution Date(s) 02/02/2007; 02/05/07;			
Assignment N	lerger		
Security Agreement C	hange of Name	City: <u>Cleveland</u>	
Joint Research Agreement		State: Ohio	
Government Interest Assignment		Country: USA	Z ip:44115
Executive Order 9424, Confirmat	ory License	Country. OSA	
Other		Additional name(s) & address(es) attached? ☐ Yes 🗹 No
	Additional numbers at	 tached?	No
5. Name and address to whom corr concerning document should be m		6. Total number	r of applications and patents
Name: Martin L. Katz		7 Total fee (37	CFR 1.21(h) & 3.41) \$ 40.00
Internal Address: Wood, Phillips, Katz, Cla	ark & Mortimer	1 — ·	to be charged by credit card
		l ——	to be charged to deposit account
Street Address: 500 West Madison Street		✓ Enclosed	ar ar army are ar property
Street Address: 500 West Madison Street		Enclosed None require	red (government interest not affecting title)
Street Address: 500 West Madison Street Suite 3800 City: Chicago		1 ==	red (government interest not affecting title)
Suite 3800	0661	None requir	red (government interest not affecting title) ormation d Last 4 Numbers
Suite 3800 City: Chicago State: Illinois Zip:6	0661	None requir 8. Payment Info a. Credit Card	red (government interest not affecting title) ormation d Last 4 Numbers Expiration Date
Suite 3800 City: Chicago State: Illinois Zip:60 Phone Number: 312.876.1800	0661	None requir 8. Payment Info a. Credit Card	red (government interest not affecting title) ormation d Last 4 Numbers
Suite 3800 City: Chicago State: Illinois Zip:6		None requir 8. Payment Info a. Credit Card b. Deposit Ad	red (government interest not affecting title) ormation d Last 4 Numbers Expiration Date
Suite 3800 City: Chicago State: Illinois Zip:60 Phone Number: 312.876.1800 Fax Number: 312.876.2020		None requir 8. Payment Info a. Credit Card b. Deposit Ad	red (government interest not affecting title) ormation d Last 4 Numbers Expiration Date ccount Number 23-0785

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Martin L. Katz
Name of Person Signing

04/16/2007 NNGUYEN1 00000026 11654979

Total number of pages including cover sheet, attachments, and documents:

02 FC:8021

4A.AA ND

Assignment

Serial No.:	11/654,979	Filed:	January 17, 2007
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In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in Thiophenyl and Pyrrolyl Azepines as Serotonin 5-HT2c Receptor Ligands and Uses Thereof and in the application for Letters Patent of the United States therefor, executed by the undersigned concurrently herewith, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to Athersys, Inc. a Delaware corporation, having offices at 3201 Carnegie Avenue, Cleveland, Ohio 44115 and the successors, legal representatives and assigns of Athersys, Inc. (hereinafter collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said considerations it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made. And for the said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The undersigned also further agrees, for the said considerations, upon the request of said Assignee, to promptly perform all lawful acts deemed by said Assignee to be necessary or advisable in connection with maintaining, enforcing, or transferring the resulting grants of said Letters Patent in the United States or foreign countries. It is agreed that such lawful acts include, but are not limited to, taking oaths, executing declarations, powers, assignments and other papers and giving testimony.

PATENT PF 2
REEL: 019203 FRAME: 0138

Serial No.:	11/654,979	Filed:	January 17,	2007
			d serial number t	thereof in the
	Executed	this 2 day of	February	,2007.
	, .	* * * *	,	
		David C. Bom		
	Executed	this day of		,2007.
		* * * *		
		Youssef L. Be	nnani	
	Executed	this day of		, 2007.
		* * * *		
		Michael J. Rob	parge	

Executed this _____, 2007.

REEL: 019203 FRAME: 0139

Serial No.: 11/654,979

Filed:

January 17, 2007

The attorneys of record in such application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

L. Nathan Tumey

Executed this _____ day of ________,2007.

David C. Bom

 λ Executed this 5 day of ____

,2007

Youssef L. Bennani

Executed this _____ day of ________, 2007.

* * * * *

Michael J. Robarge

Executed this _____ day of _______, 2007.

REEL: 019203 FRAME: 0140

Serial No.:	11/654,979	File	ed: January 1	7, 2007
	s of record in such app signed to insert in this a ed therefor.			
		L. Nathan	Tumey	
	Execu	ited this day o	f	,2007.
		* * * * *		
		David C. E	Bom	
	Execu	ited this day o	f	,2007.
		* * * *		
	×	Youssef L	Rannani	
	X _{Execu}		f <u>Flh</u>	, 2007.
		* * * *		
		Michael J.	. Robarge	
	Execu	ited this day o	ıf	2007

Filed:

January 17, 2007

The attorneys of record in such application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

L. Nathan Tumey

Executed this ______,2007.

David C. Bom

Executed this _____ day of ________,2007.

* * * * *

Youssef L. Bennani

Executed this _____ day of _______, 2007.

Michael J. Robarge

X Executed this 7th day of February , 2007.

REEL: 019203 FRAME: 0142

FORTPTO-1595 (Rev. 07/05) OMB No. 0651-2027 (exp. 6/30/2008)	U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office	
APR 16 2007 RECORDATION FORM COVER SHEET		
PATENTS ONLY		
To the Dector of the U.S. Patent and Trademark Office: Pleas	se record the attached documents or the new address(es) below.	
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)	
L. Nathan Tumey David C. Born	Name: Athersys, Inc.	
Youssef L. Bennani Michael J. Robarge	Internal Address:	
Additional name(s) of conveying party(ies) attached? Yes 🗹 No		
3. Nature of conveyance/Execution Date(s):	Street Address: 3201 Carnegie Avenue	
Execution Date(s) 02/02/2007; 02/05/07; 02/07/07; 02/07/07		
Assignment Merger	27. 5	
Security Agreement Change of Name	City: Cleveland	
☐ Joint Research Agreement	State: Ohio	
Government Interest Assignment	Country: USA Zip:44115	
Executive Order 9424, Confirmatory License	Country, 00A 21p. 44110	
Other	Additional name(s) & address(es) attached? Yes Vo	
4. Application or patent number(s): This document is being filed together with a new application.		
A. Patent Application No.(s)	B. Patent No.(s)	
11/654,979 Filed January 17, 2007		
Additional numbers att	l tached?	
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1	
Name:Martin L. Katz		
	7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00	
Internal Address: Wood, Phillips, Katz, Clark & Mortimer	Authorized to be charged by credit card	
l	Authorized to be charged to deposit account	
Street Address: 500 West Madison Street	✓ Enclosed	
Suite 3800	None required (government interest not affecting title)	
City: Chicago	8. Payment Information	
State: Illinois Zip:60661	a. Credit Card Last 4 Numbers Expiration Date	
Phone Number: 312.876.1800		
Fax Number: 312.876.2020	b. Deposit Account Number 23-0785	
Email Address: mlkatz@woodphillips.com	Authorized User Name Martin L. Katz	
9. Signature: Mm L/C	April 12, 2007	
Signature	Date	
Martin I Katz	Total number of pages including cover	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1459, Alexandria, V.A. 22313-1450

PATENT REEL: 019203 FRAME: 0143

sheet, attachments, and documents:

RECORDED: 04/16/2007

Name of Person Signing