

04-27-2007

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To the Director of the U.S. Patent

103400117

1 documents or the new address(es) below.

1. Name of conveying party(ies)

William J. Alford
James K. Gruetzner
Daniel R. Neal

2. Name and address of receiving party(ies)

Name: SANDIA CORPORATION

Internal Address: MS-0161

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 9/23/99 8/31/99 9/2/99

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License

☒ Other To correct Assignment on:
Reel: 010271; Frame 0605

Street Address: P.O. Box 5800

City: Albuquerque

State: New Mexico

Country: USA Zip: 87185

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/111,620

B. Patent No.(s)

5,936,720

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: SANDIA NATIONAL LAB

Internal Address: Intellectual Property, 11500
Mail Stop - 0161

Street Address: P.O. Box 5800

City: Albuquerque

State: New Mexico Zip: 87185

Phone Number: 505-844-5626

Fax Number: 505-844-1418

Email Address: rusty@sandia.gov

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

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Russell D. Elliott

Signature

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Total number of pages including cover sheet, attachments, and documents:

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PATENT

REEL: 019224 FRAME: 0630

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

William J. Alford, James K. Gruetzner and Daniel R. Neal

SANDIA NATIONAL LABORATORIES
P. O. Box 5800 - MS-0161
Albuquerque, New Mexico 87185-0161

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ Other: Assignment & Confirmatory LicenseExecution Date: 9/23/99 8/31/99 9/2/99Additional name(s) & address(es) attached? ☐Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/111,620

B. Patent No.(s)

Filed: 7/7/97 Issued:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy D. Stanley
Sandia National Laboratories
Internal Address: Patent & Licensing, 11500
Mail Stop 0161
Street Address: P. O. Box 5800

6. Total number of applications and patents involved: one (1)7. Total fee (37 CFR 3.41) \$40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit Account number: 19-0131City: Albuquerque State: NM ZIP: 87185-0161

(Attach duplicate copy of this page if paying by account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George H. Libman
Timothy D. Stanley Reg 27, 984

Signature

Date

9/23/99Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 019224 FRAME: 0631

August 25, 1999

ASSIGNMENT

WHEREAS, Daniel R. Neal of Tijeras, New Mexico and William J. Alford and James K. Gruetzner of Albuquerque, New Mexico; has(have) made an invention relating to BEAM CHARACTERIZATION BY WAVEFRONT SENSOR in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-88,406 duly executed by said inventors.

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

PATENT

REEL: 019224 FRAME: 0632

William J. Alford
 William J. Alford

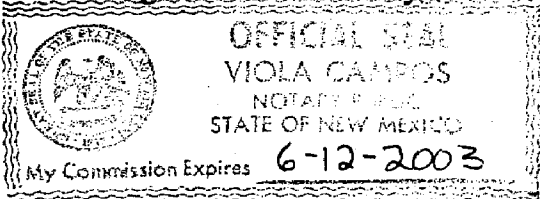
9/23/99
 Date

James K. Gruetzner
 James K. Gruetzner

31 Aug 99
 Date

STATE OF NEW MEXICO)
) ss:
 COUNTY OF BERNALILLO)

On the 9/23/99 + 8/31/99 day of _____, 1999, personally appeared before me, Viola Campos, Notary Public, William J. Alford and James K. Gruetzner to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.




Viola Campos
 Notary Public

Approved and consented to this 23rd day of September, 1999.

SANDIA CORPORATION

for Timothy D. Stanley
 Timothy D. Stanley
 Chief Patent Counsel
 Patent and Licensing Office, MS 0161


Daniel R. Neal

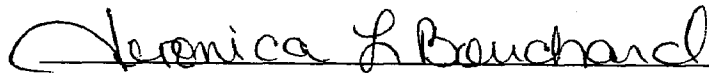
2 Sept 1999
Date

STATE OF NEW MEXICO)
) ss:
COUNTY OF BERNALILLO)

On the 2nd day of September, 1999, personally appeared before me, Veronica L Bouchard Notary Public, Daniel R. Neal to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

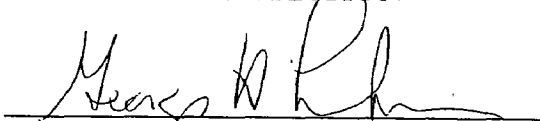


OFFICIAL SEAL
VERONICA L. BOUCHARD
NOTARY PUBLIC-STATE OF NEW MEXICO
My commission expires: 1-13-2003


Notary Public

Approved and consented to this 23rd day of September, 1999.

SANDIA CORPORATION


for Timothy D Stanley
Chief Patent Counsel
Patent and Licensing Office, MS 0161