| (              | Form PTO-1595 (Rev. 09/04)<br>OMB No. 0651-0027 (exp. 6/30/2005)                           | U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office                |
|----------------|--|--|
| d.0            |  | IEET , Docket No.: 1527.103  |
| 7              | To the Director of the U.S. Patent and machine Since 10340028                              | 200ached documents or the new address(es) below.                                     |
| <u>.</u>       | 1. Name of conveying party(ies)/Execution Date(s):   |  |
|                | Dusan Pavcnik  | Name: Oregon Health Science University   |
|                |  | Name (con't.):   |
|                | Execution Date(s) 1/10/2007  Additional name(s) of conveying party(ies) attached? Yes V No | Street Address: 3781 S. W. Sam Jackson Park Rd.                                      |
|                | 3. Nature of conveyance:   |  |
|                | Assignment Merger  | City:Portland  |
|                | Security Agreement Change of Name  | State:Oregon   |
|                | Government Interest Assignment Executive Order 9424, Confirmatory License                  | Country: US Zip: 97239-3098  |
|                | Other  | Additional name(s) & address(es) attached? ☐ Yes ✓ No                                |
| 04/27<br>01 FC | A. Patent Application No.(s)  11/642,372  2007 DBYRNE 00000080 1164237E  40.00 DP          | document is being filed together with a new application.  B. Patent No.(s)           |
|                | 5. Name and address to whom correspondence   | 6. Total number of applications and patents  |
|                | concerning document should be mailed:  Name: DUNLAP, CODDING & ROGERS P.C.                 | involved: 1 3  |
| l              | Internal Address: P.O. Box 16370   | 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  |
|                | internal Address   | Authorized to be charged by credit card  Authorized to be charged to deposit account |
|                | Street Address: 1601 N.W. Expressway, Suite 1000   | Enclosed   |
|                | Otrect Address.  | None required (government interest not affecting title)                              |
|                | City: Oklahoma City  | 8. Payment Information   |
| !              | State: OK Zip: 73113   | a. Credit Card Last 4 Numbers 1013 Expiration Date 01/11                             |
| 1              | Phone Number: 405-607-8600   | ( V/ ·   |
|                | Fax Number: 405-607-8686   | b. Deposit Account Number  |
|                | Email Address:   | Authorized User Name   |
|                | 9. Signature:  | 4-24-2007  |
| İ              | J. Matthew Buchanan, Reg. 47,459   | Date  Total number of pages including cover  |
|                | Name of Person Signing   | sheet, attachments, and documents:   |

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

BY FACSIMILE: DATE SENT: (571) 273-0140

PATENT

# **ASSIGNMENT**

WHEREAS: Dusan Pavcnik, an individual residing at 7676 S.W. Skyhar Drive, Portland, OR 97223; (hereinafter referred to as ASSIGNOR), has invented and has an ownership interest in a certain invention entitled: IMPLANTABLE VASCULAR DEVICE, for which application for Letters Patent of the United States was filed August 15, 2003 and assigned U.S. Serial No. 10/642,372; and

**WHEREAS**: Oregon Health Sciences University, a University existing under the laws of the State of Oregon, having a place of business at 3781 S. W. Sam Jackson Park Road, Portland, OR 97239-3098, hereinafter called the "Assignee" is desirous of acquiring my interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE his entire and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries, including the right to claim priority under any applicable provisions of the International Convention and the Patent Cooperation Treaty and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

**ASSIGNOR** hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

1 of 2

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

**IN WITNESS WHEREOF**, we have hereunto set hands and seals the day and year below written.

January 10, 2007

Date

Dusan Pavcnik

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### IMPLANTABLE VASCULAR DEVICE

the specification of which was filed on August 15, 2003, as Serial No. 10/642,372, and this application claims subject matter disclosed in my earlier filed Application Serial No. 60/403,783, filed August 15, 2002, and Application Serial No. 09/777,091 filed February 5, 2001, and Provisional Application Serial No. 60/180,002, filed February 3, 2000.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## None

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

| Application No. | <u>Date Filed</u> |
|-----------------|-------------------|
| 60/087,661      | June 2, 1998      |
| 60/180,002      | February 3, 2000  |
| 60/403,783      | August 15, 2002   |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United

States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| <u>Serial Number</u> | <u>Date Filed</u> | <u>Status</u> |
|----------------------|-------------------|---------------|
| 09/777,091           | February 5, 2001  | Pending       |
| 09/324,382           | June 2, 1999      | Issued        |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint (Customer No. 9896) Richard J. Godlewski, Reg. No. 30,056, Charles W. Agnew, Reg. No. 44,497, James B. Hunt, Reg. No. 40,276, and Kevin L. Leffel, Reg. No. 37,379, as my attorneys, with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

It is respectfully requested that all written communications from the Patent and Trademark Office in connection with this application be addressed to:

Charles W. Agnew
Patent Agent
P.O. Box 2269
Bloomington, IN 47402-2269
Phone: (812) 330-1824

Full name of 1st inventor Dusan Pavcnik

Inventor's Signature Date Journay 10, 2007

Residence Portland, OR

Citizenship USA

Post Office Address

Portland, OR 97223

Page 2 of 5

| Full name of 2 <sup>nd</sup> inventor | Thomas A. Osborne             |                    |
|---------------------------------------|-------------------------------|--------------------|
| Inventor's<br>Signature               | Thomas A. Ostorna             | Date Jan. 15, 2007 |
| Residence                             | Bloomington, IN               |                    |
| Citizenship                           | United States of America      |                    |
| Post Office                           | 9480 S. Pointe LaSalles Drive |                    |
| Address                               | Bloomington, IN 47401         |                    |
| Full name of 3 <sup>rd</sup> inventor | Brian C. Case                 |                    |
| Inventor's<br>Signature               |                               | Date               |
| Residence                             | Lake Villa, IL                |                    |
| Citizenship                           | United States of America      |                    |
|                                       | 424 Cyprus Circle             |                    |
| Address                               | Lake Villa, IL 60046          |                    |
| Full name of 4 <sup>th</sup> inventor | Jacob A. Flagle               |                    |
| Inventor's<br>Signature               |                               | Date               |
| Residence                             | Indianapolis, IN              |                    |
| Citizenship                           | United States of America      |                    |
| Post Office                           | 6804 W. Stinemyer Road        |                    |
| Address                               | Now Palastine IN 46163        |                    |

| Full name of 2 <sup>nd</sup> inventor    | Thomas A. Osborne             |                       |
|--|-------------------------------|-----------------------|
| Inventor's<br>Signature                  |                               | Date                  |
| Residence                                | Bloomington, IN               |                       |
| Citizenship                              | United States of America      |                       |
|  | 9480 S. Pointe LaSalles Drive |                       |
| Address                                  | Bloomington, IN 47401         |                       |
| Full name of 3 <sup>rd</sup> inventor    | Brian C. Case                 |                       |
| Inventor's<br>Signature                  | Buan ( lase                   | Date January 11, 2007 |
| Residence                                | Lake Villa, IL                |                       |
| Citizenship                              | United States of America      |                       |
| Post Office                              | 424 Cyprus Circle             |                       |
| Address                                  | Lake Villa, IL 60046          |                       |
| Full name of<br>4 <sup>th</sup> inventor | Jacob A. Flagle               |                       |
| Inventor's<br>Signature                  |                               | Date                  |
| Residence                                | Indianapolis, IN              |                       |
| Citizenship <sub>.</sub>                 | United States of America      |                       |
| Post Office <sub>-</sub><br>Address      | 6804 W. Stinemyer Road        |                       |
|  | Now Polostino IN 46162        |                       |