

Docket No.:

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
P08/REV04

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Werner Hug

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: BioTools, Inc.

Internal Address: \_\_\_\_\_

Street Address: 950 North Rand RoadUnit 123City: WaucondaState: ILZIP: 60084Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: July 2, 2004

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

11/301,943

12/13/05

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. RosenbaumInternal Address: ROSENBAUM & ASSOCIATES, P.C.Street Address: 650 Dundee RoadSuite #380City: NorthbrookState: ILZIP: 60062

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

18-2000

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

David G. Rosenbaum

Name of Person Signing

Signature

May 1, 2007

Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

700322902

PATENT  
REEL: 019236 FRAME: 0382

CH \$40.00 182000 11301943

ASSIGNMENT AGREEMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, **WERNER HUG**, have sold, assigned and transferred and do hereby sell, assign and transfer unto **BIOTOOLS, INC.**, a corporation of the state of Illinois, country of USA and its successors, assigns and legal representatives, the entire right, title and interest for the United States of America in and to certain inventions relating to improvements in **POLARIZATION STATE CONVERSION IN OPTICALLY ACTIVE SPECTROSCOPY** described, illustrated and claimed in an application for Letters Patent of the United States of America, filed February 27, 2003 as Serial No. 10/376,710, together with the entire right, title and interest in and to said application, and in and to Letters Patent which may be issued upon said application, and upon any division, extension, continuation or reissue thereof.

I also hereby sell, assign and transfer unto the said **BIOTOOLS, INC.**, the entire right, title and interest in and to said invention and in and to applications for Letters Patent therefor in all countries foreign to the United States of America, including all rights under any and all international conventions and treaties in respect of said inventions and said applications for Letters Patent in foreign countries, and I further authorize the said **BIOTOOLS, INC.** to apply for Letters Patent in foreign countries directly in its own name, and to claim the priority of the filing date of the said application for Letters Patent of the United States of America under the provisions of any and all international conventions and treaties.

I hereby authorize and request the Commissioner of Patents of the United States of America to issue Letters Patent upon the aforesaid application, division, extension, continuation or reissue, to **BIOTOOLS, INC.** for the sole use and behoof of said **BIOTOOLS, INC.**, its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted, the same as they would have been held and enjoyed by me had this assignment not been made, and I hereby authorize and request the equivalent authorities in foreign countries to issue the patents of their respective countries to the said **BIOTOOLS, INC.**

I agree that, when requested, I will, without charge to said **BIOTOOLS, INC.**, but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in all countries and for vesting title thereto in said **BIOTOOLS, INC.**, its successors, assigns and legal representatives or nominees.

I covenant with said **BIOTOOLS, INC.**, its successors, assigns and legal representatives, that the interest and property hereby conveyed is free from all prior assignment, grant, mortgage, license or other encumbrance.

Dated: July 2, 2004 W. Hug  
Werner Hug

WE HEREBY ACCEPT THE ABOVE ASSIGNMENT

**BIOTOOLS, INC.**

Rene F. Dinkel  
Name: Rene Dinkel  
Title: President & CEO

# ROSENBAUM ASSOCIATES

## FAX COVER SHEET

FAX NUMBER TRANSMITTED TO: (571) 273-0140

To: Mail Stop Assignment Recordation Services  
Of: U.S. Patent and Trademark Office  
From: Rosenbaum & Associates, P.C.  
Client/Matter: 6065-006  
Date: Tuesday, May 01, 2007

DOCUMENTS	NUMBER OF PAGES*
Transmittal document	1
Assignment Recordation Letter	1
Assignment Agreement	2
TOTAL	4

COMMENTS: In Re: U.S. Serial No. 11/301,943  
Applicant: Werner Hug  
Attorney Docket No. 6065-006

THE INFORMATION CONTAINED IN THIS FACSIMILE COMMUNICATION MAY BE CONFIDENTIAL AND IS INTENDED SOLELY FOR THE USE OF THE RECIPIENT NAMED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, ANY DISSEMINATION, DISTRIBUTION, COPYING OR OTHER USE OF THIS COMMUNICATION FOR ANY PURPOSE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE TELEPHONE THE SENDER IMMEDIATELY, AND MAIL THE ORIGINAL COMMUNICATION TO THE SENDER AT THE ABOVE ADDRESS. THANK YOU.

A PROFESSIONAL CORPORATION PRACTICING INTELLECTUAL PROPERTY LAW  
650 DUNDEE ROAD • SUITE 380 • NORTHBROOK, ILLINOIS 60062  
TELEPHONE (847) 770-6000 • FACSIMILE (847) 770-6006